TTIC 31210: Advanced Natural Language Processing

Kevin Gimpel Spring 2019

Lecture 4:

Subword Modeling and Contextualized Word Embeddings

Roadmap

- intro (1 lecture)
- deep learning for NLP (5 lectures)
- structured prediction: sequence labeling, syntactic and semantic parsing, dynamic programming (4 lectures)
- generative models, latent variables, unsupervised learning, variational autoencoders (2 lectures)
- Bayesian methods in NLP (2 lectures)
- Bayesian nonparametrics in NLP (2 lectures)
- review & other topics (1 lecture)

Today

- modeling subword structure in words
- contextualized word embeddings

Recap

 on Monday we briefly reviewed some models and loss functions for word embeddings

Other Work on Word Embeddings

 using subword information (e.g., characters) in word embeddings

 multiple embeddings for a single word type corresponding to different word senses

 tailoring embeddings using particular resources or for particular NLP tasks

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Subword Modeling for Word Embeddings

- Using word embeddings has limitations:
 - closed vocabulary (100k-300k words is typical)
 - large number of parameters! (100k * 300)
 - for morphologically-rich languages, using a separate vector for each word type is "obviously" wrong

- Solution: character-level modeling
 - open vocabulary, fewer parameters, often similar or better performance

Early Neural Methods

morphological analyzer + recursive neural network:

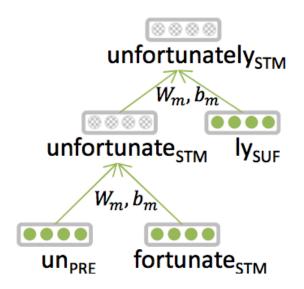


Figure 1: Morphological Recursive Neural Network. A vector representation for the word "unfortunately" is constructed from morphemic vectors: un_{pre} , $fortunate_{stm}$, ly_{suf} . Dotted nodes are computed on-the-fly and not in the lexicon.

Luong et al. (2013): Better Word Representations with Recursive Neural Networks for Morphology

unsupervised morphological analysis & vector addition:

$$\overrightarrow{\operatorname{perfection}} = \overrightarrow{im} + \overrightarrow{perfect} + \overrightarrow{ion}$$
 $= \overrightarrow{perfect} + \overrightarrow{ly}.$

We include the surface form of a word as a factor itself. This accounts for noncompositional constructions (greenhouse = greenhouse + green + house), and makes the approach more robust to noisy morphological segmentation. This strategy also overcomes the order-invariance of additive composition (hangover \neq overhang).

visualization of learned morpheme vectors:

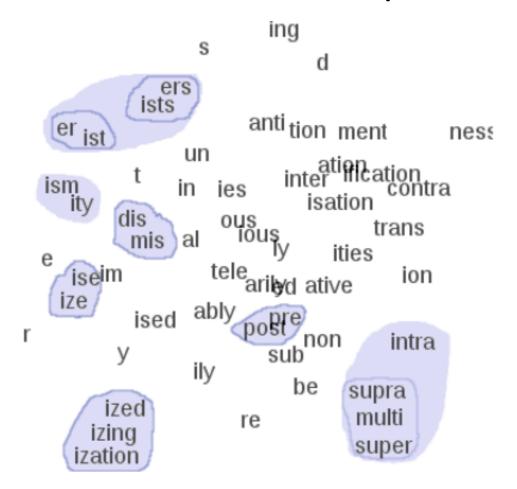
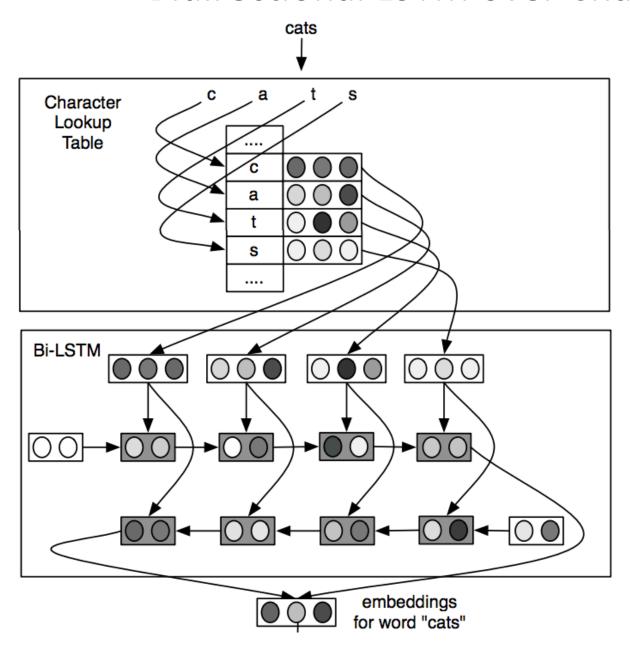


Figure 6. English morpheme vectors learnt by CLBL++.

Botha & Blunsom (2014): *Compositional Morphology for Word Representations and Language Modelling*

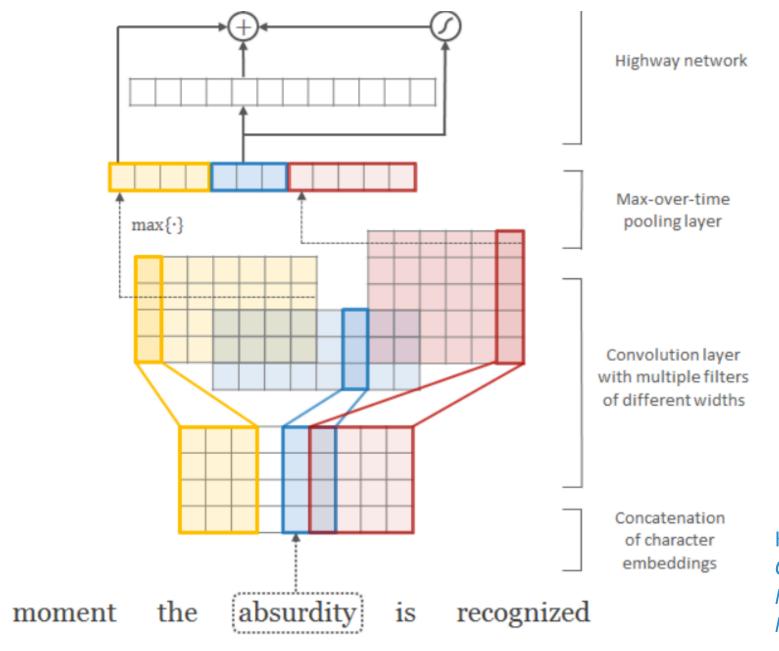
- 2013-2014: morphological analyzers + define composition function on morphemes + learn embeddings for morphemes
- today, researchers use one of the following:
 - RNNs on character sequences (Ling et al., 2015; Ballesteros et al., 2015)
 - CNNs on character sequences (dos Santos and Zadrozny, 2014; Zhang et al., 2015; Kim et al., 2016)
 - represent words as bags of character n-grams,
 learn embeddings for character n-grams

Bidirectional LSTM over Characters



Ling et al. (2015):
Finding Function in
Form: Compositional
Character Models for
Open Vocabulary
Word Representation

Convolutional Neural Network over Character Sequence



Kim et al. (2016): Character-Aware Neural Language Models

Convolutional Neural Networks

- convolutional neural networks (CNNs) use filters that are "convolved with" (matched against all positions of) the input
- informally, think of convolution as "perform the same operation over multiple parts of the input in some systematic order"
- CNNs are often used in NLP to convert a word or sentence into a feature vector

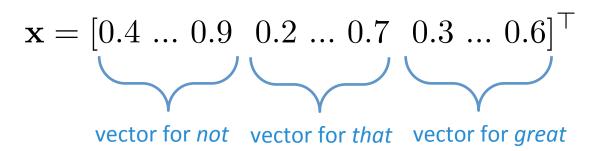
Filters

 for now, think of a filter as a vector in the word embedding space

the filter matches a particular region of the space

"match" = "has high dot product with"

x = not that great



consider a single convolutional filter $\mathbf{w} \in \mathbb{R}^d$

compute dot product of filter and each word vector:

$$oldsymbol{x} = oldsymbol{not} ext{ that great} \ oldsymbol{x} = egin{bmatrix} ext{W} & ext{W} & ext{Not} & 0.9 & 0.2 & \dots & 0.7 & 0.3 & \dots & 0.6 \end{bmatrix}^ op \ & ext{vector for not} & ext{vector for that} & ext{vector for great} \ & c_1 = oldsymbol{w}^ op \mathbf{x}_{1:d} \end{aligned}$$

compute dot product of filter and each word vector:

$$oldsymbol{x} = oldsymbol{not} \ ext{that great}$$
 $oldsymbol{\mathbf{x}} = [0.4 \ ... \ 0.9 \ 0.2 \ ... \ 0.7 \ 0.3 \ ... \ 0.6]^ op$ vector for not vector for that vector for great $c_1 = \mathbf{w}^ op \mathbf{x}_{1:d}$ $c_2 = \mathbf{w}^ op \mathbf{x}_{d+1:2d}$

compute dot product of filter and each word vector:

 $oldsymbol{x} = \mathit{not that great}$

$$\mathbf{x} = [0.4 \dots 0.9 \ 0.2 \dots 0.7 \ 0.3 \dots 0.6]^{\top}$$

vector for *not* vector for *that* vector for *great*

$$c_1 = \mathbf{w}^{\top} \mathbf{x}_{1:d}$$

$$c_2 = \mathbf{w}^{\top} \mathbf{x}_{d+1:2d}$$

$$c_3 = \mathbf{w}^{\top} \mathbf{x}_{2d+1:3d}$$

$$oldsymbol{x}=$$
 not that great

$$\mathbf{x} = [0.4 \dots 0.9 \ 0.2 \dots 0.7 \ 0.3 \dots 0.6]^{\top}$$

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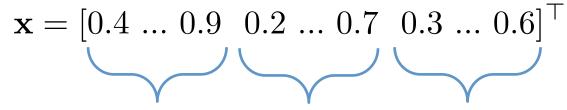
$$c_2 = \mathbf{w}^{\top} \mathbf{x}_{d+1:2d}$$

$$c_3 = \mathbf{w}^{\top} \mathbf{x}_{2d+1:3d}$$

Note: it's common to add a bias b and use a nonlinearity g:

$$c_1 = g\left(\mathbf{w}^{\top}\mathbf{x}_{1:d} + b\right)$$

$$x = not that great$$



vector for not vector for that vector for great

$$c_1 = \mathbf{w}^{\top} \mathbf{x}_{1:d}$$

$$c_2 = \mathbf{w}^{\top} \mathbf{x}_{d+1:2d}$$

$$c_3 = \mathbf{w}^{\top} \mathbf{x}_{2d+1:3d}$$

c = "feature map" for this filter,has an entry for each position in input (in this case, 3 entries)

Pooling

$$oldsymbol{x} = oldsymbol{n}$$
ot that great

how do we convert this into a fixed-length vector? use pooling:

max-pooling: returns maximum value in ${f c}$ average pooling: returns average of values in ${f c}$

$$c_2 = \mathbf{w}^{\top} \mathbf{x}_{d+1:2d}$$
$$c_3 = \mathbf{w}^{\top} \mathbf{x}_{2d+1:3d}$$

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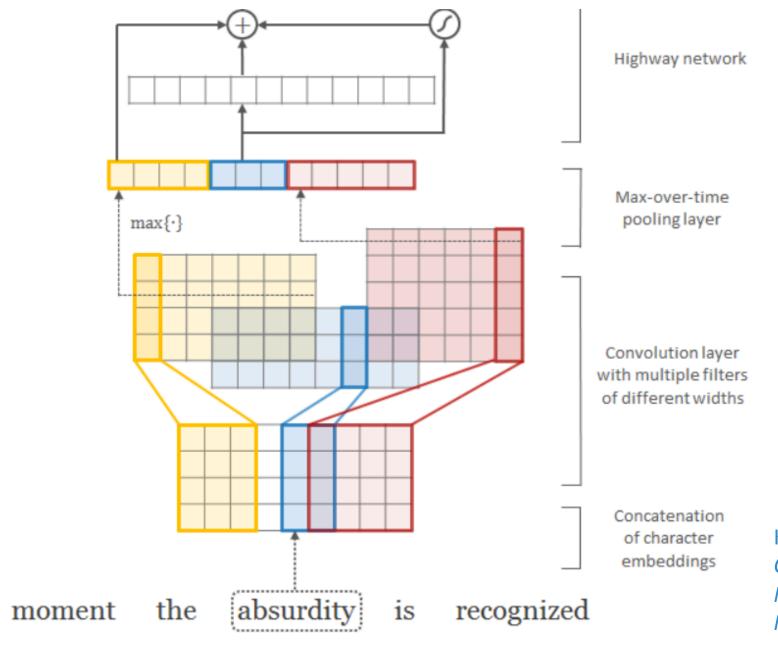
then, this single filter w produces a single feature value (the output of some kind of pooling). in practice, we use many filters of many different lengths (e.g., *n*-grams rather than words).

es)

Convolutional Neural Networks

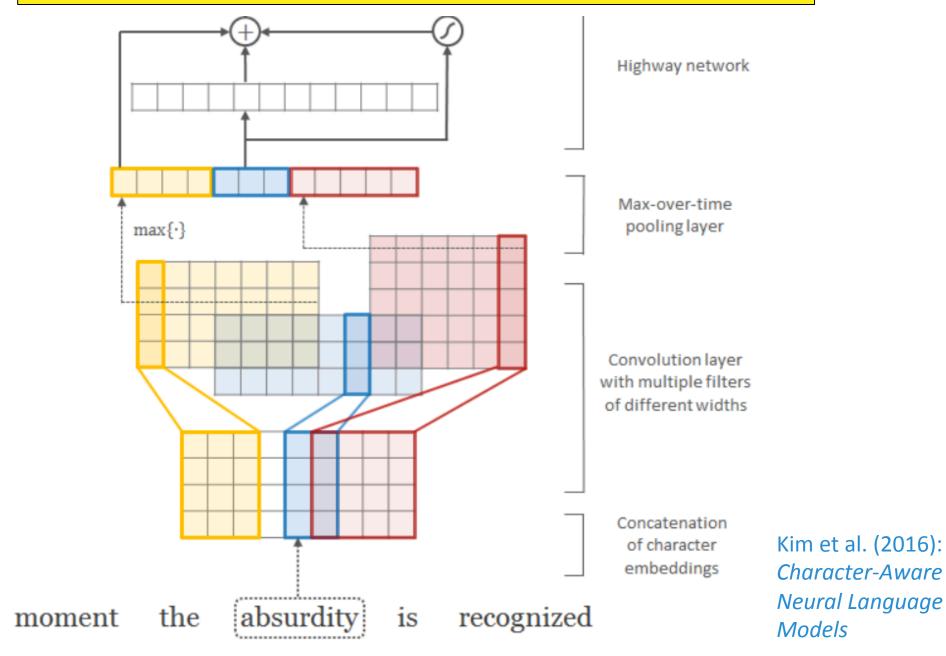
- "convolutional layer" = set of filters that are convolved with the input vector (whether x or hidden vector)
- could be followed by more convolutional layers, or by a type of pooling
- filters of varying n-gram lengths commonly used (1- to 5-grams)
- CNNs commonly used for character-level processing; filters look at character n-grams

Convolutional Neural Network over Characters



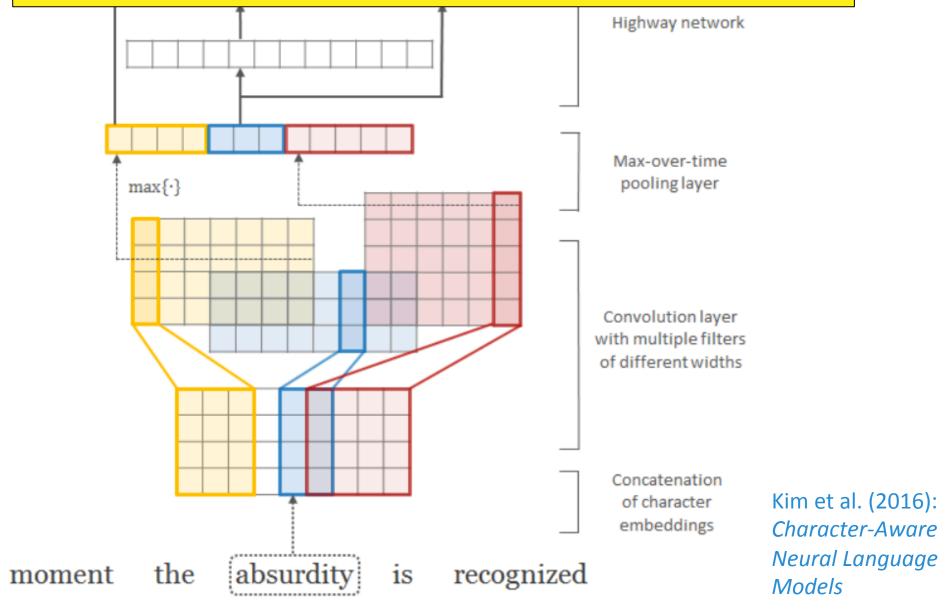
Kim et al. (2016): Character-Aware Neural Language Models

1. What dimension are the character embeddings? ters

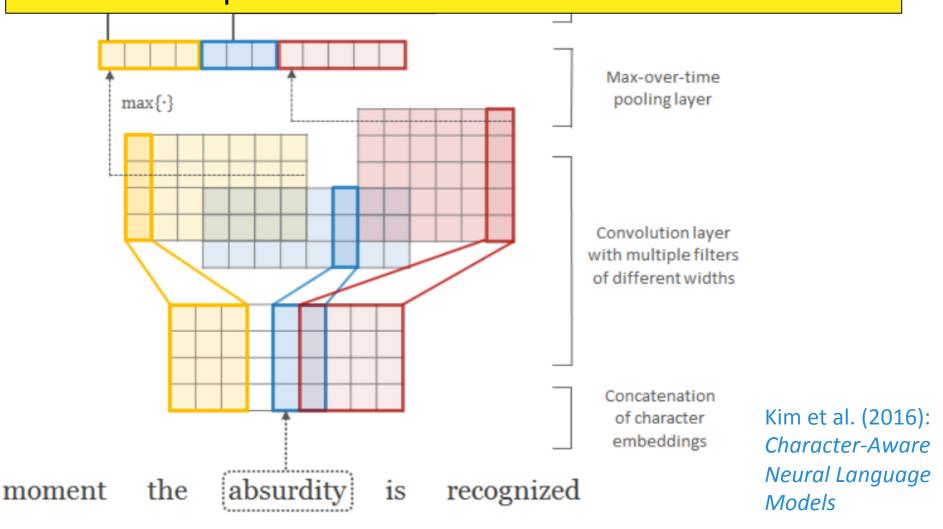


1. What dimension are the character embeddings? 4

2. How many character 4-gram filters are there?



- 1. What dimension are the character embeddings? 4
- 2. How many character 4-gram filters are there? **5**
- 3. Why do different filter lengths lead to different lengths of feature maps?

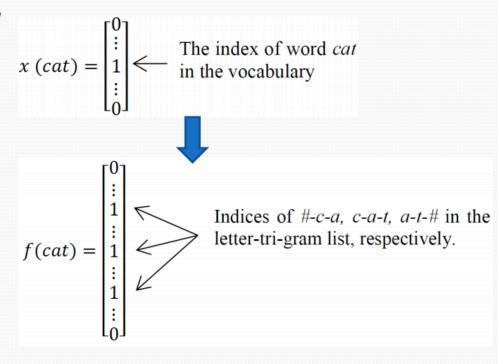


- what about simpler methods?
- add or average vectors for character n-grams in the word:
 - word space (Schutze, 1993)
 - deep structured semantic models (Huang et al., 2013)
 - charagram (Wieting et al., 2016)
 - fastText (Bojanowski et al., 2017)

DSSM (Microsoft Research, 2013-2016)

Tri-letter: a scale-able word representation

- Tri-letter based Word Hashing of "cat"
 - -> #cat#
 - Tri-letters: #-c-a, c-a-t, a-t-#.
- Compact representation
 - |Voc| (500K) → |TriLetter| (30K)
- Generalize to unseen words
- Robust to misspelling, inflection, etc.



Huang et al. (2013): Learning Deep Structured Semantic Models for Web Search using Clickthrough Data

DSSM (Microsoft Research, 2013-2016)

Word hashing by n-gram of letters

- Collision:
 - What if different words have the same word hashing vector?
 - Statistics
 - 22 out of 500K words collide
 - Collision Example: #bananna# <- > #bannana#

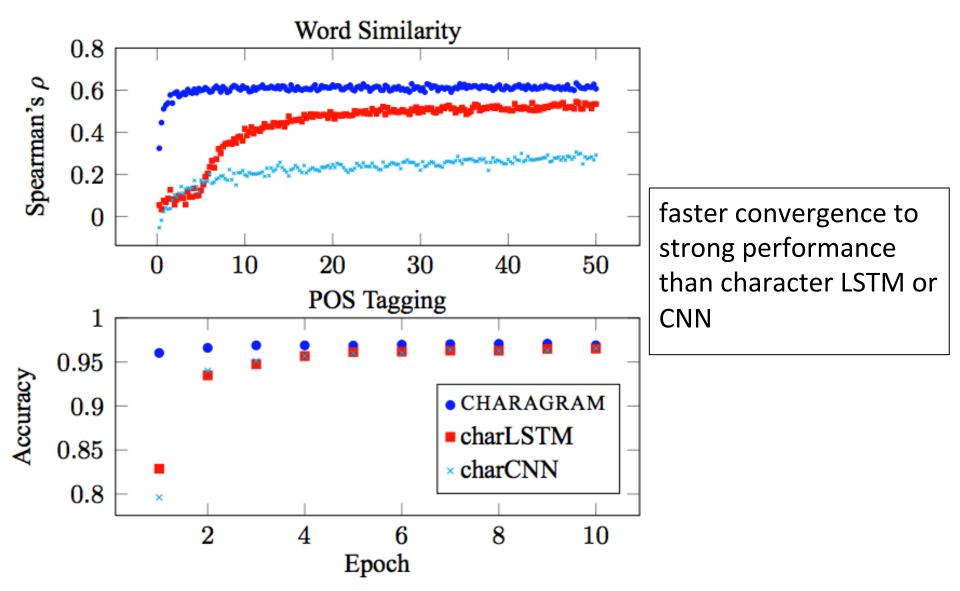
Vocabulary size	Unique tri-letter observed in voc	Number of Collisions
40K	10306	2
500K	30621	22

"Charagram" Embeddings

to embed a character sequence (word or sentence),
 sum embeddings for character n-grams

 only parameters to learn are embeddings for character n-grams

Charagram Embeddings



Wieting et al. (2016): Charagram: Embedding Words and Sentences via Character n-grams

Charagram Word Embeddings

• we used all 122,610 character n-grams observed in training set $(2 \le n \le 4)$, including spaces

 we trained on paraphrase pairs from the Paraphrase Database

For words in training set:

word	nearest neighbors
refunding	refunds, refunded, refund, repayment, reimbursement, rebate, repay reimbursements, reimburse, repaying, repayments, rebates, rebating
professors	professor, professorships, professorship, teachers, professorial, teacher prof., teaches, lecturers, teachings, instructors, headteachers
huge	enormous, tremendous, large, big, vast, overwhelming, immense, giant formidable, considerable, massive, huger, large-scale, great, daunting

For words in training set:

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huge	enormous, tremendous, large, big, vast, overwhelming, immense, giant formidable, considerable, massive, huger, large-scale, great, daunting

For words not in training set:

word	nearest neighbors	
vehicals	vehical, vehicles, vehicels, vehicular, cars, vehicle, automobiles, car	
journeying	journey, journeys, voyage, trip, roadtrip, travel, tourney, voyages, road-trip	
babyyyyyy	babyyyyyyy, baby, babys, babe, baby.i, babydoll, babycake, darling	

Wieting et al. (2016): Charagram: Embedding Words and Sentences via Character n-grams

fastText

 like word2vec, but represents a word as the sum of its character n-gram embeddings and an embedding for the word itself

We also include the word w itself in the set of its n-grams, to learn a representation for each word (in addition to character n-grams). Taking the word where and n=3 as an example, it will be represented by the character n-grams:

fastText

better data efficiency than word2vec:

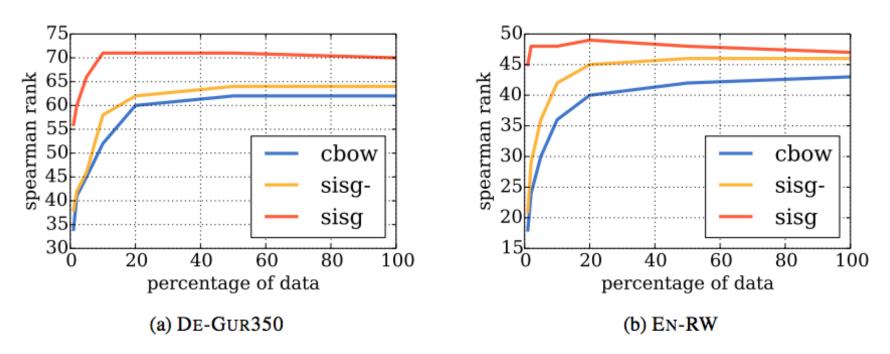


Figure 1: Influence of size of the training data on performance. We compute word vectors following the proposed model using datasets of increasing size. In this experiment, we train models on a fraction of the full Wikipedia dump.

 if you're just encoding text (rather than generating), you can use neural architectures like these to capture subword information

- for generation, it's trickier:
 - character RNNs are fine for generating words, but not sentences (very long sequences and long-distance dependencies)
- simple, data-driven segmentation methods have emerged as the standard way to handle this

Data-Driven Segmentation

- Most popular methods:
 - Byte pair encoding (BPE)
 - SentencePiece's unigram LM

SentencePiece



SentencePiece is an unsupervised text tokenizer and detokenizer mainly for Neural Network-based text generation systems where the vocabulary size is predetermined prior to the neural model training. SentencePiece implements **subword units** (e.g., **byte-pair-encoding (BPE)** [Sennrich et al.]) and **unigram language model** [Kudo.]) with the extension of direct training from raw sentences. SentencePiece allows us to make a purely end-to-end system that does not depend on language-specific pre/postprocessing.

This is not an official Google product.

Technical highlights

Data-Driven Segmentation

- Most popular methods:
 - Byte pair encoding (BPE)
 - SentencePiece's unigram LM

these are easy and fast to use & work well

 they permit unbounded vocabularies with a relatively small number of parameters

Byte Pair Encoding (BPE)

(Gage, 1994)

simple data compression technique

 iteratively replaces most frequent pair of bytes in a sequence with a single, unused byte

• Sennrich et al. (2016) adapted BPE for characters and character sequences

Byte Pair Encoding (BPE)

- merge: a rule that combines two consecutive units into a single unit
- initially, units are characters
- after merges, units become character sequences
- greedy algorithm:
 - merge two units with the largest unit bigram count,
 produce merged unit
 - replace all instances of that 2-unit sequence with the merged unit, recompute counts

Byte Pair Encoding (BPE)

- Sennrich et al. use BPE based on word counts from a corpus
 - sentences are not used; all that's needed are word types and their counts
 - special treatment for end-of-word symbol </w> (an unseen initial step merges final character in each word with <math></w>)
 - when segmenting new data, segments words individually (does not use context)

Merges:

low

low

lower

lowest

high

high

higher

Merges:

actually the corpus looks like this

high</w>
high</w>
high</w>

Merges:

$$w < /w > (2)$$

the first thing we do is merge word-ending characters with </w>

Segmentation:

Merges:

higher</w>

given this set of merges, let's segment the corpus!

Segmentation:

Merges:

Sennrich et al. (2016): Neural Machine Translation of Rare Words with Subword Units

higher</w> h i q h e r</w>

Segmentation:

Merges:

$$w (2)$$

looking at the segmented corpus shows us what merge will occur next

Segmentation:

Merges:

$$w (2)$$

 $r (2)$
 $h (2)$

what is the next merge?

Sennric
Words

(what unit bigram appears most often?)

Segmentation:

Merges:

$$1 \circ w < /w >$$

$$1 \circ w < /w >$$

$$1 \circ w \in r < /w >$$

Sennrich et al. (2) Words with Subw

given this new set of merges, let's re-segment the corpus!

low < /w >

low < /w >

Segmentation:

lo w < /w >

lo w < /w >

Merges:

$$w (2)$$

 $r (2)$
 $h (2)
 $t (1)$$

Sennrich et al. (2016): Neural N Words with Subword Units note: we will always
"back off" to the
complete segmentation

Segmentation:

Merges:

$$w (2)$$

 $r (2)$
 $h (2)$

what is the next merge?

(what unit bigram appears most often?)

Segmentation:

Merges:

$$low < /w >$$

 $low < /w >$

$$w (2)$$

$$r (2)$$

h (2)

lo
$$w e r < /w >$$

lo
$$w e s t < /w >$$

$$l \circ (4)$$

higher</w>

Segmentation:

Merges:

$$10 \text{ W} < /\text{W} >$$

lo
$$w e r < /w >$$

lo
$$w e s t < /w >$$

$$1 \circ (4)$$

$$ig$$
 (3)

Segmentation:

Merges:

lowest</w>

higher</w>

high</w>

high</w>

lo
$$w e r < /w >$$

lo
$$w e s t < /w >$$

$$L \circ (4)$$

$$ig$$
 (3)

Segmentation:

Merges:

$$low < /w >$$
 $low < /w >$

$$10 \text{ w} < /\text{w} >$$

lo
$$w \in r < /w >$$

lo
$$w e s t < /w >$$

hig
$$h < /w >$$

hig
$$h < /w >$$

hig h e
$$r < /w >$$

$$ig$$
 (3)

Segmentation:

Merges:

10 w < /w > (2)

hig h < /w > (2)

we'd like to merge e and r < /w >, but we merged w and e already, so that messed us up

New Corpus:

Merges for New Corpus:

low
$$(2x)$$

high
$$(2x)$$

$$10 \text{ W} < /\text{W} >$$

hig
$$h < /w >$$

Merges:

Application:

1 0 $low \rightarrow low$ s m $lower \rightarrow lower$ sm a lowest \rightarrow low est sma l i g high → high h ig higher \rightarrow higher e r < /w >highest → hig h est smal l s t < /w > \Rightarrow small lo w < /w > \Rightarrow small er lo w \Rightarrow small est hig h < /w >e st</w>

 we can limit the vocabulary size of the segmented data by limiting the number of merges

 this can be very helpful for handling an open vocabulary of words while reducing computation (e.g., when using a softmax over the vocabulary) Stanford Sentiment Treebank
BPE merging on train+dev sets
up to 20k merges (max number found: 15,417)

Example from test set:

```
writer/director/producer →
writ@@ er@@ /@@ direct@@ or@@ /@@ producer
```

(To recover original text, remove "@@ ")

writ@@ er@@ /@@ direct@@ or@@ /@@ producer

Here 's a British flick gle@@ efully un@@ concerned with plau@@ sibility , yet just as determined to entertain you .

probably good: "unconcerned" becomes "un concerned" maybe bad: "gle efully"

It would n't be my prefer@@ red way of sp@@ ending 100 minutes or \$ 7@@ .@@ 00 .

probably good: "prefer" is related to "preferred" maybe bad: "spending" is not related to "ending"

 BPE is a useful hack, doesn't correspond to optimizing any probabilistic objective function

other related methods have interpretations as probabilistic models

 we will see methods later in the course for unsupervised segmentation using probabilistic modeling and priors related to "minimum description length"

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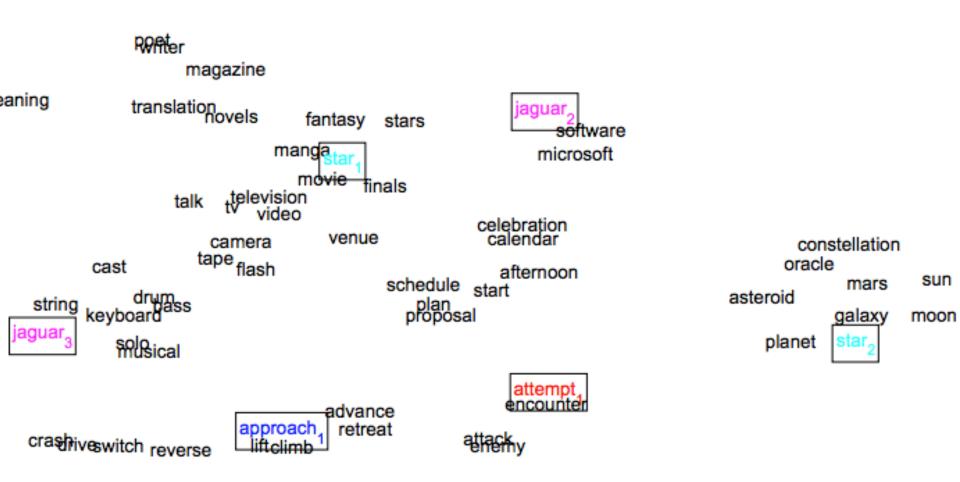
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Multisense Word Embeddings

- one embedding for a word type is insufficient
 - due to different senses of a word, different meanings (polysemy, homonymy)

- there has been a lot of work in learning sensespecific word embeddings:
 - use a word sense labeler or cluster word tokens into clusters that capture word sense
 - learn embeddings for each sense/cluster

Multisense Word Embeddings



Huang et al. (2012): Improving Word Representations Via Global Context And Multiple Word Prototypes

nearest neighbors given context:

Context	Nearest Neighbors
Apple is a kind of fruit.	pear, cherry, mango, juice, peach, plum, fruit, cider, apples, tomato, orange, bean, pie
Apple releases its new ipads.	microsoft, intel, dell, ipad, macintosh, ipod, iphone, google, computer, imac, hardware
He borrowed the money from banks .	banking, credit, investment, finance, citibank, currency, assets, loads, imf, hsbc
along the shores of lakes, banks of rivers	land, coast, river, waters, stream, inland, area, coasts, shoreline, shores, peninsula
Basalt is the commonest volcanic rock .	boulder, stone, rocks, sand, mud, limestone, volcanic, sedimentary, pelt, lava, basalt
Rock is the music of teenage rebellion.	band, pop, bands, song, rap, album, jazz. blues, singer, hip-pop, songs, guitar, musician

Table 2: Nearest neighbors of words given context. The embeddings from context words are first inferred with the Greedy strategy; nearest neighbors are computed by cosine similarity between word embeddings. Similar phenomena have been observed in earlier work (Neelakantan et al., 2014)

Neelakantan et al. (2014): Efficient nonparametric estimation of multiple embeddings per word in vector space

Li & Jurafsky (2015): Do Multi-Sense Embeddings Improve Natural Language Understanding?

Multisense Word Embeddings

limitations:

- need a way to label senses or cluster word tokens in training data (and for downstream tasks)
- fragments training data, so more may be needed for estimating word embeddings
- unlikely to get good clusters for rare word types
- unable to handle new senses that only appear in test data
- unclear if sense-specific embeddings are useful for downstream tasks

Do Multisense Embeddings Help on NLP Tasks?

- yes, on some tasks
- but when using powerful neural architectures, multisense embeddings may not be needed

We then test the performance of our model on part-of-speech tagging, named entity recognition, sentiment analysis, semantic relation identification and semantic relatedness, controlling for embedding dimensionality. We find that multi-sense embeddings do improve performance on some tasks (part-of-speech tagging, semantic relation identification, semantic relatedness) but not on others (named entity recognition, various forms of sentiment analysis).

- increasing dimensionality of (single-sense) embeddings achieves some benefit of multisense embeddings
 - high dimensionality also may make it easier for subsequent architectures to extract relevant sense based on context

Li & Jurafsky (2015): Do Multi-Sense Embeddings Improve Natural Language Understanding?