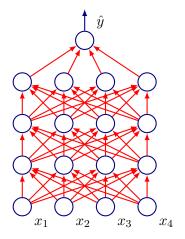
# Lecture 7 Convolutional Neural Networks

CMSC 35246: Deep Learning

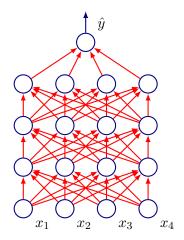
Shubhendu Trivedi &
Risi Kondor

University of Chicago

April 17, 2017

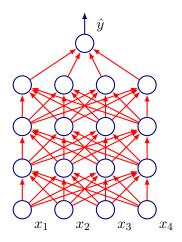


• A series of matrix multiplications:



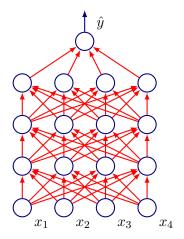
- A series of matrix multiplications:
- $\bullet$   $\mathbf{x} \mapsto$





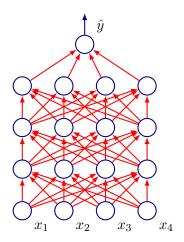
- A series of matrix multiplications:
- $\mathbf{x} \mapsto W_1^T \mathbf{x} \mapsto \mathbf{h}_1 = f(W_1^T \mathbf{x}) \mapsto$



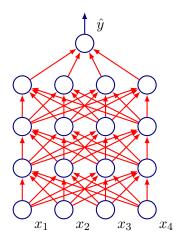


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 Neural Networks that use convolution in place of general matrix multiplication in atleast one layer

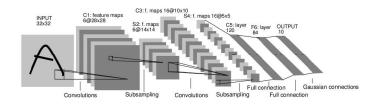
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  - What is convolution?
  - What is pooling?

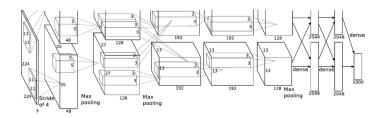
- Neural Networks that use convolution in place of general matrix multiplication in atleast one layer
- Next:
  - What is convolution?
  - What is pooling?
  - What is the motivation for such architectures (remember LeNet?)

# LeNet-5 (LeCun, 1998)



 The original Convolutional Neural Network model goes back to 1989 (LeCun)

# AlexNet (Krizhevsky, Sutskever, Hinton 2012)



 $\bullet$  ImageNet 2012 15.4% error rate

#### **Convolutional Neural Networks**

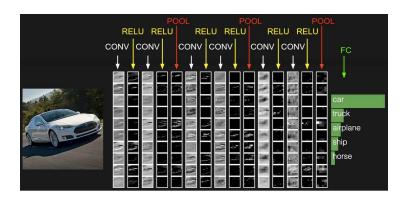
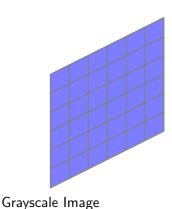


Figure: Andrej Karpathy

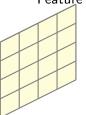
Now let's deconstruct them...



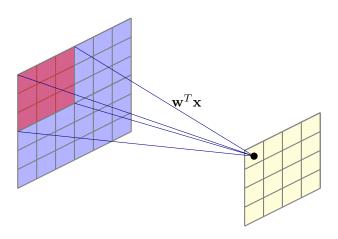
Kernel

$w_7$	$w_8$	$w_9$
$w_4$	$w_5$	$w_6$
$w_1$	$w_2$	$w_3$

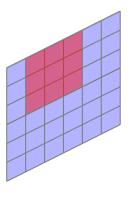
Feature Map

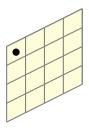


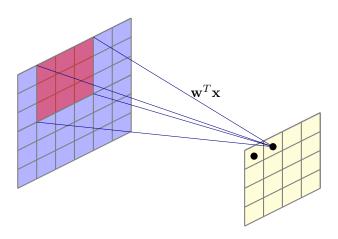
• Convolve image with kernel having weights **w** (learned by backpropagation)



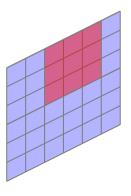


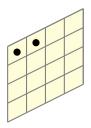


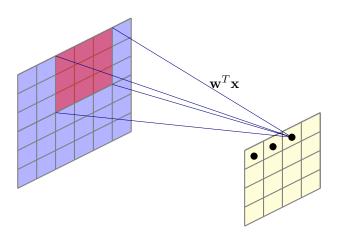




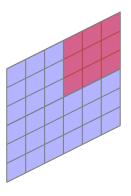


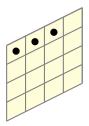


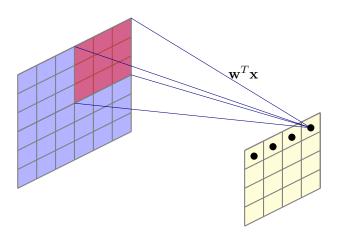


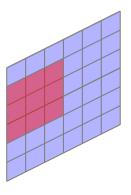


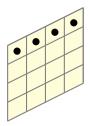


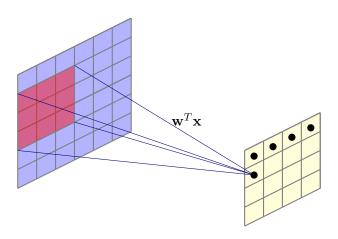


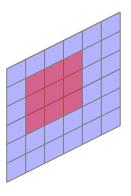


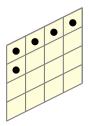


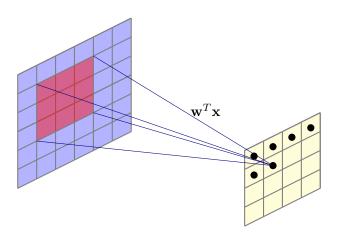


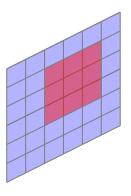


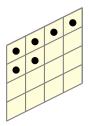


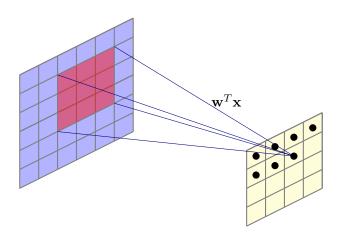




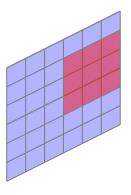


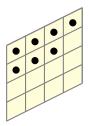


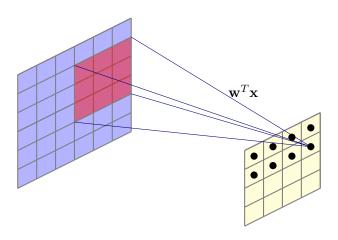




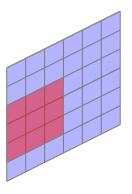


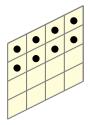


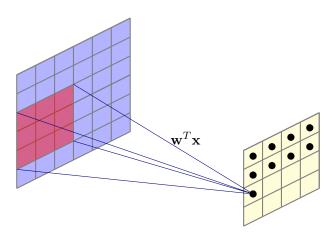




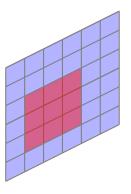


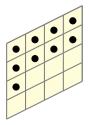


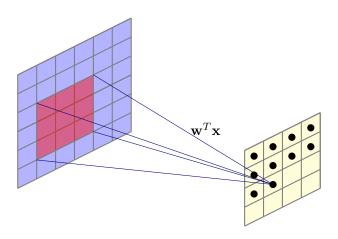


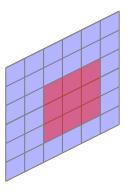


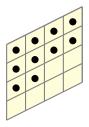


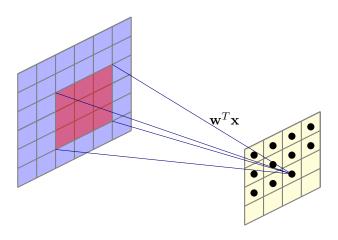




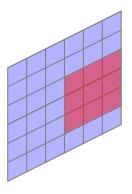


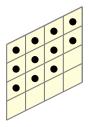


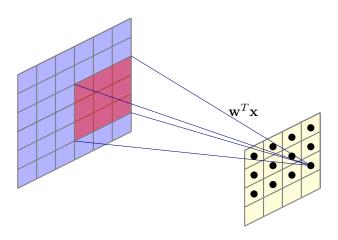




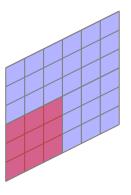


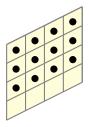


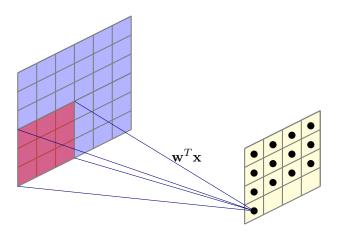




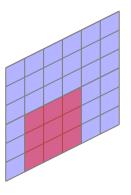


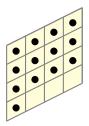


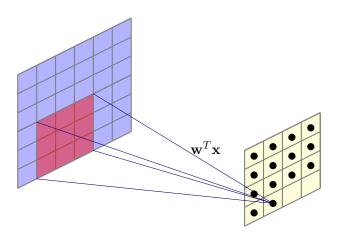




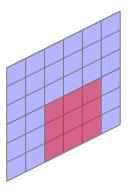


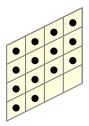


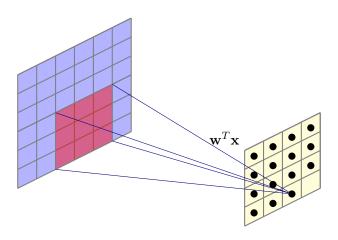




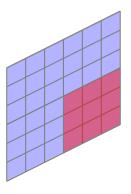


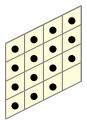


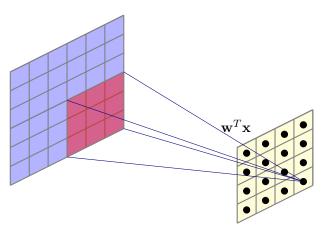












• What is the number of parameters?



• We used stride of 1, kernel with receptive field of size 3 by 3

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- Output size:

$$\frac{N-K}{S}+1$$

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- In previous example: N=6, K=3, S=1, Output size =4
- For N=8, K=3, S=1, output size is 6

# **Zero Padding**

• Often, we want the output of a convolution to have the same size as the input. Solution: Zero padding.

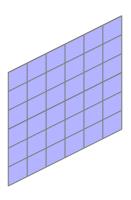
# **Zero Padding**

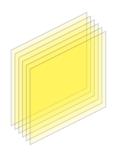
- Often, we want the output of a convolution to have the same size as the input. Solution: Zero padding.
- In our previous example:

0	0	0	0	0	0	0	0
0							0
0							0
0							0
0							0
0							0
0							0
0	0	0	0	0	0	0	0

• Common to see convolution layers with stride of 1, filters of size K, and zero padding with  $\frac{K-1}{2}$  to preserve size

# Learn Multiple Filters





# **Learn Multiple Filters**

• If we use 100 filters, we get 100 feature maps

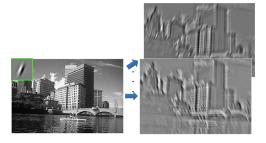


Figure: I. Kokkinos

#### In General

• We have only considered a 2-D image as a running example

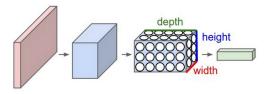


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  - Suppose input is of size  $W_1 \times H_1 \times D_1$
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  - We obtain another volume of dimensions  $W_2 \times H_2 \times D_2$
  - As before:

$$W_2 = \frac{W_1 - K}{S} + 1$$
 and  $H_2 = \frac{H_1 - K}{S} + 1$ 

- For convolutional layer:
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$$W_2 = \frac{W_1 - K}{S} + 1$$
 and  $H_2 = \frac{H_1 - K}{S} + 1$ 

• Depths will be equal

Example volume:  $28 \times 28 \times 3$  (RGB Image)

Example volume:  $28 \times 28 \times 3$  (RGB Image)  $100 \ 3 \times 3$  filters, stride 1

Example volume:  $28 \times 28 \times 3$  (RGB Image)

100  $3 \times 3$  filters, stride 1

What is the zero padding needed to preserve size?

Example volume:  $28 \times 28 \times 3$  (RGB Image)  $100~3 \times 3$  filters, stride 1 What is the zero padding needed to preserve size? Number of parameters in this layer?

Example volume:  $28 \times 28 \times 3$  (RGB Image)

 $100 \ 3 \times 3$  filters, stride 1

What is the zero padding needed to preserve size?

Number of parameters in this layer?

For every filter:  $3 \times 3 \times 3 + 1 = 28$  parameters

```
Example volume: 28 \times 28 \times 3 (RGB Image)
```

100  $3 \times 3$  filters, stride 1

What is the zero padding needed to preserve size?

Number of parameters in this layer?

For every filter:  $3 \times 3 \times 3 + 1 = 28$  parameters

Total parameters:  $100 \times 28 = 2800$ 

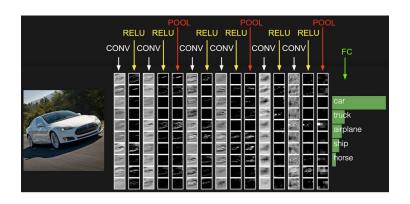
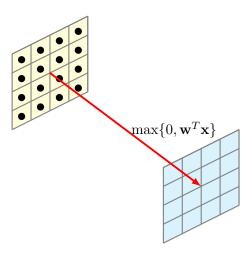


Figure: Andrej Karpathy

## **Non-Linearity**



• After obtaining feature map, apply an elementwise non-linearity to obtain a transformed feature map (same size)

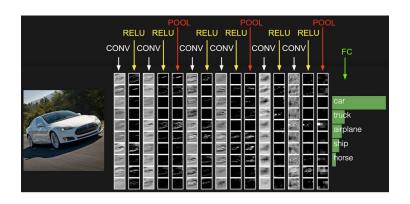
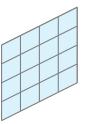
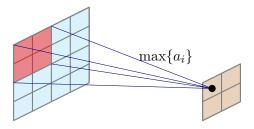


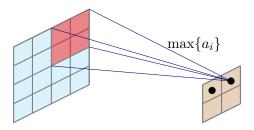
Figure: Andrej Karpathy



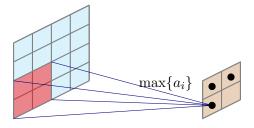




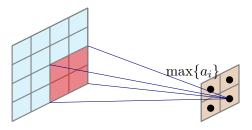










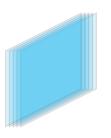


Other options: Average pooling, L2-norm pooling, random pooling





 We have multiple feature maps, and get an equal number of subsampled maps





- We have multiple feature maps, and get an equal number of subsampled maps
- This changes if cross channel pooling is done

## So what's left: Fully Connected Layers

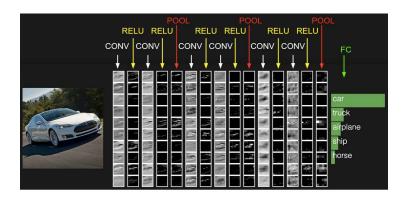
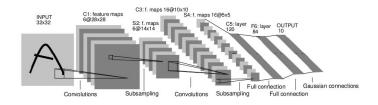


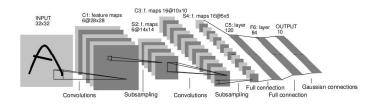
Figure: Andrej Karpathy

### LeNet-5



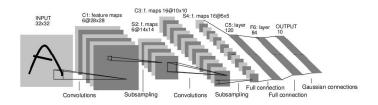
ullet Filters are of size 5 imes 5, stride 1

#### LeNet-5

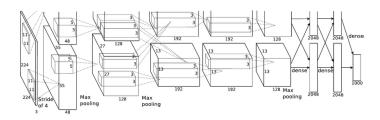


- ullet Filters are of size  $5 \times 5$ , stride 1
- ullet Pooling is  $2 \times 2$ , with stride 2

#### LeNet-5

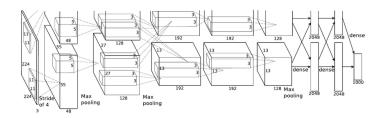


- ullet Filters are of size 5 imes 5, stride 1
- Pooling is  $2 \times 2$ , with stride 2
- How many parameters?

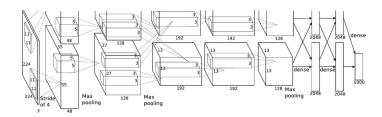


- Input image: 227 X 227 X 3
- ullet First convolutional layer: 96 filters with K=11 applied with stride = 4
- $\bullet$  Width and height of output:  $\frac{227-11}{4}+1=55$

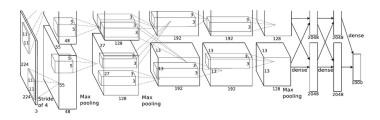




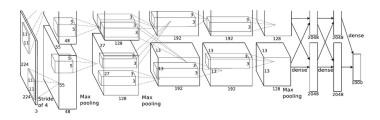
• Number of parameters in first layer?



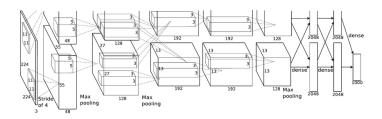
- Number of parameters in first layer?
- 11 X 11 X 3 X 96 = 34848



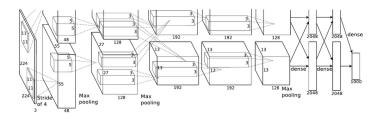
Next layer: Pooling with 3 X 3 filters, stride of 2



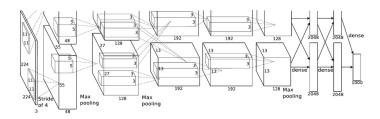
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- Size of output volume: 27



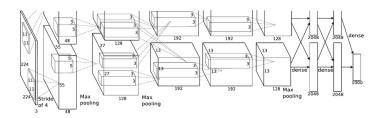
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- Used heavy data augmentation (flipped images, random crops of size 227 by 227)

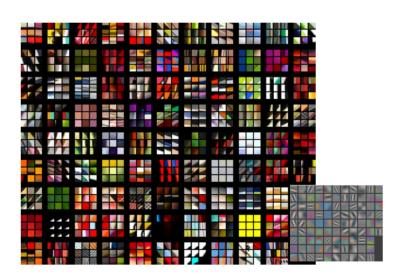


- Popularized the use of ReLUs
- Used heavy data augmentation (flipped images, random crops of size 227 by 227)
- Parameters: Dropout rate 0.5, Batch size = 128, Weight decay term: 0.0005, Momentum term  $\alpha = 0.9$ , learning rate  $\eta = 0.01$ , manually reduced by factor of ten on monitoring validation loss.



Short Digression: How do the features look like?

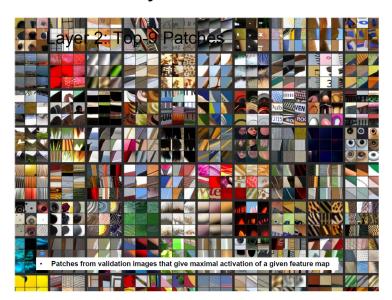
## Layer 1 filters



This and the next few illustrations are from Rob Fergus

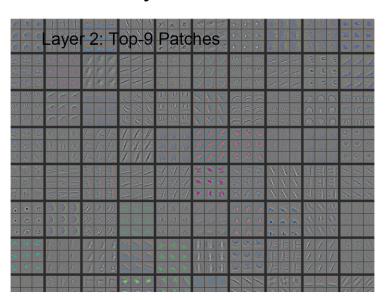


## **Layer 2 Patches**





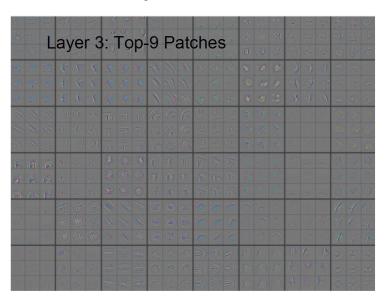
## Layer 2 Patches



## **Layer 3 Patches**



## Layer 3 Patches

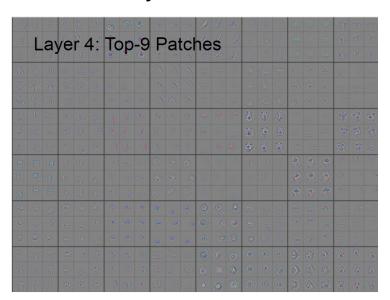


# Layer 4 Patches

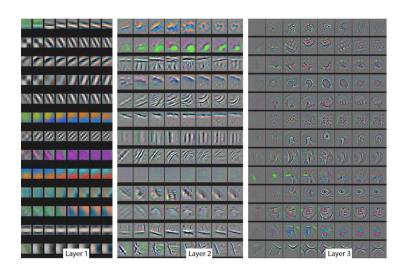




## **Layer 4 Patches**

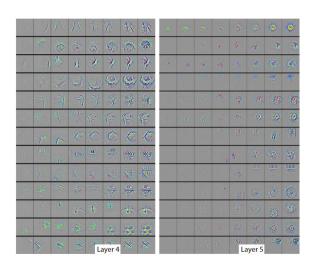


#### **Evolution of Filters**





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Back to Architectures

## ImageNet 2013

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- ImageNet 2013: 14.8 % (reduced from 15.4 %) (top 5 errors)

			onfiguration			
A	A-LRN	В	C	D	E	
11 weight	11 weight	13 weight	16 weight	16 weight	19 weight	
layers	layers	layers	layers	layers	layers	
			24 RGB imag			
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	
	LRN	conv3-64	conv3-64	conv3-64	conv3-64	
			pool			
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	
		conv3-128	conv3-128	conv3-128	conv3-128	
			pool			
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	
			conv1-256	conv3-256	conv3-256	
					conv3-256	
			pool			
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	
			conv1-512	conv3-512	conv3-512	
					conv3-512	
			pool			
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	
			conv1-512	conv3-512	conv3-512	
					conv3-512	
			pool			
			4096			
			4096			
			1000			
		soft	-max			

• Best model: Column D.

• Error: 7.3 % (top five error)

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- Memory (Karpathy): 24 Million X 4 bytes ≈ 93 MB per image

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## **Going Deeper**

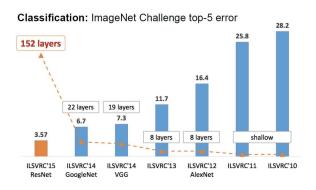
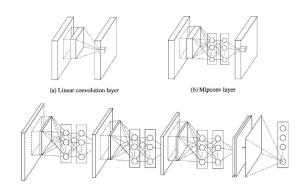
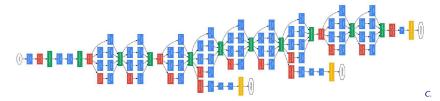


Figure: Kaiming He, MSR

### **Network in Network**



M. Lin, Q. Chen, S. Yan, Network in Network, ICLR 2014

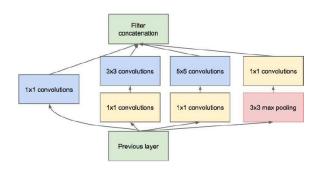


Szegedy et al, Going Deeper With Convolutions, CVPR 2015

• Error: 6.7 % (top five error)



### The Inception Module



- Parallel paths with different receptive field sizes capture sparse patterns of correlation in stack of feature maps
- Also include auxiliary classifiers for ease of training
- Also note 1 by 1 convolutions



type	patch size/ stride	output size	depth	#1×1	#3×3 reduce	#3×3	#5×5 reduce	#5×5	pool proj	params	ops
convolution	7×7/2	112×112×64	1							2.7K	34M
max pool	3×3/2	56×56×64	0								
convolution	3×3/1	56×56×192	2		64	192				112K	360M
max pool	3×3/2	28×28×192	0								
inception (3a)		28×28×256	2	64	96	128	16	32	32	159K	128M
inception (3b)		28×28×480	2	128	128	192	32	96	64	380K	304M
max pool	3×3/2	14×14×480	0								
inception (4a)		14×14×512	2	192	96	208	16	48	64	364K	73M
inception (4b)		14×14×512	2	160	112	224	24	64	64	437K	88M
inception (4c)		14×14×512	2	128	128	256	24	64	64	463K	100M
inception (4d)		14×14×528	2	112	144	288	32	64	64	580K	119M
inception (4e)		14×14×832	2	256	160	320	32	128	128	840K	170M
max pool	3×3/2	7×7×832	0								
inception (5a)		7×7×832	2	256	160	320	32	128	128	1072K	54M
inception (5b)		7×7×1024	2	384	192	384	48	128	128	1388K	71M
avg pool	7×7/1	1×1×1024	0								
dropout (40%)		1×1×1024	0								
linear		1×1×1000	1							1000K	1M
softmax		1×1×1000	0								

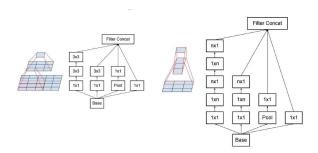
C. Szegedy et al, Going Deeper With Convolutions, CVPR 2015



• Has 5 Million or 12X fewer parameters than AlexNet

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- Gets rid of fully connected layers

### Inception v2, v3



C. Szegedy et al, Rethinking the Inception Architecture for Computer Vision, CVPR 2016

- Use Batch Normalization during training to reduce dependence on auxiliary classifiers
- More aggressive factorization of filters



Why do CNNs make sense? (Brain Stuff next time)

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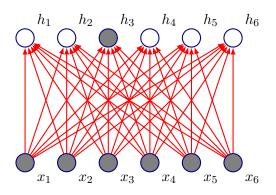
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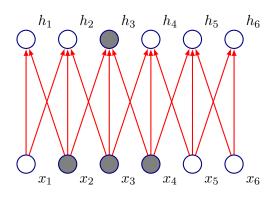
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- Convolutional networks have sparse interactions by making kernel smaller than input
- $\implies$  need to store fewer parameters, computing output needs fewer operations  $(O(m \times n)$  versus  $O(k \times n))$

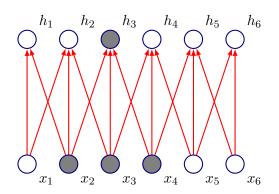




• Fully connected network:  $h_3$  is computed by full matrix multiplication with no sparse connectivity

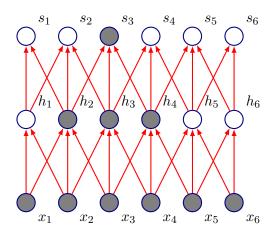


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- Kernel of size 3, moved with stride of 1
- $h_3$  only depends on  $x_2, x_3, x_4$





• Connections in CNNs are sparse, but units in deeper layers are connected to all of the input (larger receptive field sizes)

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- ullet Storage improves dramatically as  $k \ll m, n$



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$$S(i,j) = (I*K)(i,j) = \sum_m \sum_n I(i+m,j+n)K(m,n)$$



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- The form of parameter sharing used by CNNs causes each layer to be equivariant to translation
- ullet That is, if g is any function that translates the input, the convolution function is equivariant to g

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- This property is useful when we know some local function is useful everywhere (e.g. edge detectors)
- Convolution is not equivariant to other operations such as change in scale or rotation



## **Pooling: Motivation**

 Pooling helps the representation become slightly *invariant* to small translations of the input

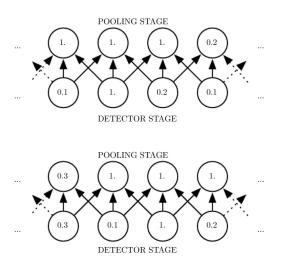
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- If input is translated by small amount: values of most pooled outputs don't change

# **Pooling: Invariance**





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- Features can learn which transformations to become invariant to (Example: Maxout Networks, Goodfellow et al 2013)
- One more advantage: Since pooling is used for downsampling, it can be used to handle inputs of varying sizes



#### Next time

- More Architectures
- Variants on the CNN idea
- More motivation
- Group Equivariance
- Equivariance to Rotation



Quiz!