#### Information and Coding Theory

# Homework 4

Due: March 14, 2021

### 1. More on linear codes.

# [3 + 3 + 4 = 10 points]

Recall that a linear code  $C \subseteq \mathbb{F}_q^n$  was a subspace specified by a *generator matrix*  $G \in \mathbb{F}_q^{n \times k}$  such that  $\forall w \in \mathbb{F}_q^k$ , Enc(w) = Gw. The *parity-check* matrix was defined as a matrix H such that the columns of  $H^T$  form a basis for the null-space of  $G^T$ . Prove the following facts about linear codes.

(a) Prove that for a linear code *C*, the distance  $\Delta(C)$  can be written as

$$\Delta(C) = \min_{x \in C \setminus \{0^n\}} \operatorname{wt}(x)$$
 ,

where  $0^n$  denotes the all-zero vector in  $\mathbb{F}_q^n$  and wt(*x*) denotes the number of non-zero entries in *x*.

- (b) Recall that we considered the Hamming code over the field  $\mathbb{F}_2$  with block-length n = 7 in class, defined by a parity check matrix with the seven columns corresponding to the numbers 1 through 7, written in binary. We now consider the general Hamming code, defined by the parity-check matrix  $H \in \mathbb{F}_2^{r \times n}$  where  $n = 2^r 1$ , and the *i*<sup>th</sup> column of *H* is given by the number *i* written in binary using *r* bits (take the top entry to be the most significant bit and the bottom entry to be the least significant bit). Find the dimension, block-length and the distance for this code.
- (c) For a linear code *C* with generator matrix *G* and parity-check matrix *H*, it's *dual* code  $C^{\perp}$  is defined as a code with generator matrix  $H^T$ . Prove that  $G^T$  is a parity-check matrix for  $C^{\perp}$ . Find the message length, block length and distance for the *dual code* of the Hamming code defined above.
- 2. Good distance codes from linear compression. [3 + 3 + 6 = 12 points]

In class, we saw that a linear compression scheme can be used to obtain capacityachieving codes for the binary symmetric channel. Here, we will show that a linear compression scheme with a good *probabilistic* guarantee, also yields codes which have good distance, and can hence be used to correct *worst-case* errors. Let *H* be an arbitray matrix in  $\mathbb{F}_2^{m \times n}$ , which yields a good linear compression scheme for  $Z \sim (\text{Bern}(p))^n$ , i.e., there exists a (deterministic) decompression algorithm Decom :  $\mathbb{F}_2^m \to \mathbb{F}_2^n$  such that

$$\mathbb{P}_{Z \sim (\mathsf{Bern}(p))^n} \left[ \mathsf{Decom}(HZ) \neq Z \right] \leq 2^{-t}.$$

For the following problem, assume that *H* has full row-rank i.e.,  $im(H) = \mathbb{F}_2^m$ . You can also assume that the decompression algorithm always "checks its answer" i.e., if given *w* it returns *z*, then we do have that Hz = w (of course, since we are compressing, we have m < n, and there might also exist other *z*' such that Hz' = w.) Also, take p < 1/2.

Prove the following:

(a) The error probablity for *any* (deterministic) decompression algorithm can be written as

$$\mathbb{P}_{Z\sim(\mathsf{Bern}(p))^n}\left[\mathsf{Decom}(HZ)\neq Z\right] \ = \ 1-\sum_{w\in\mathbb{F}_2^m} \mathbb{Z}_{\sim}(\mathsf{Bern}(p))^n}\left[Z=\mathsf{Decom}(w)\right]\,.$$

(b) Conclude from the above expression that the smallest error probability is achieved by the following (maximum-likelihood) decompression map

$$\mathsf{Decom}(w) := \operatorname*{arg\,min}_{x:Hx=w} \{\mathsf{wt}(x)\}$$

(c) Use the above to show that the code  $C \subseteq \mathbb{F}_2^n$  with the above matrix *H* as the parity-check matrix *H*, i.e.,

$$C = \{x \in \mathbb{F}_{2}^{n} \mid Hx = 0\},\$$

has distance at least  $t/(\log(1/p))$ .

[Hint: Using  $x \in C$ , for each  $z \in \mathbb{F}_2^n$  such that Hz that is correctly decompressed, find a z' such that Hz' is incorrectly decompressed. How do  $\mathbb{P}_{Z \sim (\mathsf{Bern}(p))^n}[Z = z']$  and  $\mathbb{P}_{Z \sim (\mathsf{Bern}(p))^n}[Z = z]$  compare?]

3. Scrambled Reed-Solomon Codes [by Venkat Guruswami]. [4 + 4 = 8 points] Let  $\{a_1, \ldots, a_n\}$  be distinct elements of  $\mathbb{F}_q$  used to define a Reed-Solomon code  $C \subseteq \mathbb{F}_q^n$  with dimension k. Assume that k < n/6. Recall that a message  $(m_0, \ldots, m_{k-1})$  is encoded by thinking of it as a polynomial  $f(X) = \sum_{j=0}^{k-1} m_j \cdot X^j$  and taking the encoding  $\operatorname{Enc}(m) = (f(a_1), \ldots, f(a_n))$ .

For the following parts, assume the fact (used in class) that for a bivariate polynomial h(X, Y), we can find all its factors of the form Y - f(X).

(a) Suppose we sent two codewords according to the polynomials f and f' (of degree at most k - 1) but they got mixed up. Thus, we now have two lists  $(b_1, \ldots, b_n)$  and  $(c_1, \ldots, c_n)$  and we know for each  $i \in [n]$ 

either 
$$f(a_i) = b_i$$
 and  $f'(a_i) = c_i$  or  $f(a_i) = c_i$  and  $f'(a_i) = b_i$ 

Note that each coordinate could be independently scrambled i.e., it may happen that for some i,  $f(a_i) = b_i$  and  $f'(a_i) = c_i$  and for some  $j \neq i$ ,  $f(a_j) = c_j$  and  $f'(a_j) = b_j$ . Also, we don't know which is the case for which coordinate i. Give an algorithm to find both f and f'. [Hint: First find f + f' and  $f \cdot f'$ .]

(b) Now, suppose that instead of getting both the values *f*(*a<sub>i</sub>*) and *f'*(*a<sub>i</sub>*) for each *i*, we only got one value β<sub>i</sub>, such that for each *i* we either have β<sub>i</sub> = *f*(*a<sub>i</sub>*) or β<sub>i</sub> = *f'*(*a<sub>i</sub>*). Again, it might happen that for some *i*, β<sub>i</sub> = *f*(*a<sub>i</sub>*) while for some other *j* ≠ *i*, β<sub>j</sub> = *f'*(*a<sub>j</sub>*) and we don't know which is the case for which *i*. However, we are given the promise that

$$\frac{n}{3} \le |\{i \in [n] \mid \beta_i = f(a_i)\}| \le \frac{2n}{3} \text{ and } \frac{n}{3} \le |\{i \in [n] \mid \beta_i = f'(a_i)\}| \le \frac{2n}{3}.$$

Give an algorithm to find both f and f'.

# 4. Codes and pseudorandomness.

#### [Just for fun: no need to submit]

In this problem, we will use codes to construct pseudorandom objects known as *t*-wise independent distributions. Let  $C \subseteq \mathbb{F}_2^n$  be a linear code with distance  $\Delta(C) = d$ , and let  $H \in \mathbb{F}_2^{(n-k) \times n}$  be the parity-check matrix of this code.

(a) First consider *z* uniformly distributed in  $\mathbb{F}_2^{n-k}$ . Using the fact that *z* is a random binary string of length n - k, prove that for any  $a \in \mathbb{F}_2^{n-k} \setminus \{0^{n-k}\}$ 

$$\mathbb{E}_{z \in \mathbb{F}_2^{n-k}}[(-1)^{a \cdot z}] = 0 \quad \text{where } a \cdot z = a^T z = \sum_{i=1}^{n-k} a_i z_i \mod 2.$$

[**Hint:** The bits of *z* are independent. Consider what happens to  $(-1)^{a \cdot z}$  when you change one bit in *z*?]

(b) Prove that the code can be used to extend this property of the uniform distribution over length *n* − *k* strings, to a distribution over *n* bits i.e., we can "stretch" the pseudorandomness. Consider the distribution obtained by choosing *z* ∈ 𝔽<sub>2</sub><sup>*n*−*k*</sup> at random and taking *x* = *H*<sup>*T*</sup>*z*. Note that *x* ∈ 𝔽<sub>2</sub><sup>*n*</sup>. Prove that for any *b* ∈ 𝔽<sub>2</sub><sup>*n*</sup> \ {0<sup>*n*</sup>} with wt(*b*) < *d*, we have

$$\mathop{\mathbb{E}}_{\substack{x=H^Tz\\z\in\mathbb{F}_2^{n-k}}}\left[(-1)^{b\cdot x}\right] = \mathop{\mathbb{E}}_{z\in\mathbb{F}_2^{n-k}}\left[(-1)^{b\cdot (H^Tz)}\right] = 0.$$

Such distributions are called (d - 1)-wise independent distributions on n bits, since they "look like" the uniform distributions as long as one looks at at most (d - 1) bits at a time.

(c) Show that the Hamming code can be used to produce a 2-wise independent distribution on  $n = 2^r - 1$  bits, starting with the uniform distribution on just r bits.