# TTIC 31190: Natural Language Processing

Kevin Gimpel Winter 2016

Lecture 3: Words

- Assignment 1 has been posted
- Due 11:59 pm on Wednesday, January 20<sup>th</sup>
- We will start class 5 minutes late from now on, due to several students taking algorithms across campus
- My office hours are Mondays 3-4pm, #531 (or by appointment)
- TA office hours are Thursdays 4-5pm, #501
- If you're auditing, you may still turn in the homework and we will give you feedback (though we may not give your homework as much attention as others)
- If you didn't receive an email from me this details, then please email me with your name/email and let me know whether you are taking course for credit

# Today

 review of loss functions and subgradients from last week (useful for homework)

start words (more about words and lexical semantics on Thursday)

Empirical Risk Minimization with Surrogate Loss Functions

- given training data:  $\mathcal{T} = \{\langle x^{(i)}, y^{(i)} \rangle\}_{i=1}^{|\mathcal{T}|}$ where each  $y^{(i)} \in \mathcal{L}$  is a label
- we want to solve the following:

$$\hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{argmin}} \sum_{i=1}^{|\mathcal{T}|} \operatorname{loss}(\boldsymbol{x}^{(i)}, y^{(i)}, \boldsymbol{\theta})$$

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# **Cost Functions**

cost function: scores output against a gold standard

#### $\mathrm{cost}:\mathcal{L}\times\mathcal{L}\to\mathbb{R}_{\geq0}$

- should reflect the evaluation metric for your task
- usual convention: cost(y, y) = 0

### Surrogate Loss Functions

cost loss / 0-1 loss:  $loss_{cost}(\boldsymbol{x}, y, \boldsymbol{\theta}) = cost(y, classify(\boldsymbol{x}, \boldsymbol{\theta}))$ 

max-score loss:

$$loss_{maxscore}(\boldsymbol{x}, y, \boldsymbol{\theta}) = -score(\boldsymbol{x}, y, \boldsymbol{\theta})$$











#### $loss_{maxscore}(\boldsymbol{x}, y, \boldsymbol{\theta}) = -score(\boldsymbol{x}, y, \boldsymbol{\theta})$







score

$$(y, \theta) = -\operatorname{score}(x, y, \theta)$$

### effect of learning: score of gold standard will go to infinity

perceptron loss:

$$loss_{perc}(\boldsymbol{x}, y, \boldsymbol{\theta}) = -score(\boldsymbol{x}, y, \boldsymbol{\theta}) + \max_{y' \in \mathcal{L}} score(\boldsymbol{x}, y', \boldsymbol{\theta})$$











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effect of learning: gold standard will have highest score hinge loss:  $loss_{hinge}(\boldsymbol{x}, y, \boldsymbol{\theta}) = -score(\boldsymbol{x}, y, \boldsymbol{\theta}) + \max_{y' \in \mathcal{L}} (score(\boldsymbol{x}, y', \boldsymbol{\theta}) + cost(y, y'))$ 







#### hinge loss: $loss_{hinge}(\boldsymbol{x}, y, \boldsymbol{\theta}) = -score(\boldsymbol{x}, y, \boldsymbol{\theta}) + \max_{y' \in \mathcal{L}} (score(\boldsymbol{x}, y', \boldsymbol{\theta}) + cost(y, y'))$



effect of learning: score of gold standard will be higher than score+cost of all others

• some of our loss functions are not differentiable:

$$loss_{perc}(\boldsymbol{x}, y, \boldsymbol{\theta}) = -\sum_{i} \theta_{i} f_{i}(\boldsymbol{x}, y) + \max_{y' \in \mathcal{L}} \sum_{i} \theta_{i} f_{i}(\boldsymbol{x}, y')$$

• but they are subdifferentiable:

# Subgradient Examples



$$x < 0: \quad \partial f(x) =$$
  
 $x > 0: \quad \partial f(x) =$   
 $x = 0: \quad \partial f(x) =$ 

### Subgradient Examples



 $x < 0: \quad \partial f(x) = \{-1\}$  $x > 0: \quad \partial f(x) = \{1\}$  $x = 0: \quad \partial f(x) =$ 

# Subgradient Examples



$$x < 0: \ \partial f(x) = \{-1\}$$
  
 $x > 0: \ \partial f(x) = \{1\}$   
 $x = 0: \ \partial f(x) = [-1, 1]$ 

 to find a subgradient of max of convex functions at a point, choose one function that achieves the max at that point and choose any of its subgradients at the point

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$$\frac{\partial \text{loss}_{\text{perc}}(\boldsymbol{x}, y, \boldsymbol{\theta})}{\partial \theta_j} = -f_j(\boldsymbol{x}, y) + f_j(\boldsymbol{x}, \text{classify}(\boldsymbol{x}, \boldsymbol{\theta}))$$

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find subgradient of the function that achieves the max

# Roadmap

- classification
- words
- lexical semantics
- language modeling
- sequence labeling
- syntax and syntactic parsing
- neural network methods in NLP
- semantic compositionality
- semantic parsing
- unsupervised learning
- machine translation and other applications

# Words

- what is a word?
- tokenization
- morphology
- word sense
#### What is a word?

## Tokenization

- tokenization: convert a character stream into words by adding spaces
- for certain languages, highly nontrivial
- e.g., Chinese word segmentation is a widelystudied NLP task

## Tokenization

- for other languages (English), tokenization is easier but is still not always obvious
- the data for your homework has been tokenized:
  - punctuation has been split off from words
  - contractions have been split

## Intricacies of Tokenization

- separating punctuation characters from words?
  - , " ? ! → always separate
  - .  $\rightarrow$  when shouldn't we separate it?

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- English contractions:
  - isn't, aren't, wasn't,...  $\rightarrow$  is n't, are n't, was n't,...
  - but how about these: can't, won't  $\rightarrow$  ca n't, wo n't
  - ca and wo are then different forms from can and will

- Chinese and Japanese: no spaces between words:
  - 莎拉波娃现在居住在美国东南部的佛罗里达。
  - 莎拉波娃 现在 居住 在 美国 东南部 的 佛罗里达
  - Sharapova now lives in US southeastern Florida
- Further complicated in Japanese, with multiple alphabets intermingled
  - Dates/amounts in multiple formats



End-user can express query entirely in hiragana!

### Word Segmentation in Chinese

- Chinese words are composed of characters
  - characters are generally 1 syllable and 1 morpheme
  - average word is 2.4 characters long
- standard baseline segmentation algorithm:
  - Maximum Matching (also called Greedy)

### Maximum Matching Word Segmentation Algorithm

Given a Chinese word list and a string:

- 1) start a pointer at the beginning of the string
- 2) find longest word in dictionary that matches the string starting at pointer
- 3) move the pointer over the word in string
- 4) go to 2

#### Maximum Matching Examples

- Thecatinthehat
- Thetabledownthere

the cat in the hat

the table down there theta bled own there

• Doesn't generally work in English!

- But works astonishingly well in Chinese
   莎拉波娃现在居住在美国东南部的佛罗里达。
   莎拉波娃 现在 居住 在 美国 东南部 的 佛罗里达
- Modern probabilistic segmentation algorithms even better

## Effect on Machine Translation

#### **Reference translation:**

scientists complete sequencing of the chromosome linked to early dementia

#### **CharBased** segmented input:

科\_学\_家\_为\_攸\_关\_初\_期\_失\_智\_症\_的\_染\_色\_体\_完\_成\_定\_序

MaxMatch segmented input: 科学家\_为\_攸关\_初期\_失\_智\_症\_的\_染色\_体\_完成\_定\_序

#### **Translation with CharBased segmentation:**

scientists at the beginning of the stake of chile lost the genome sequence completed

#### Translation with MaxMatch segmentation: scientists at stake for the early loss of intellectual syndrome chromosome completed sequencing

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– names?

- New York  $\rightarrow$  NewYork
- non-compositional compounds?
  - hot dog  $\rightarrow$  hotdog
- other artifacts of our spacing conventions?
  - New York-Long Island Railway

- once text has been tokenized, let's count the words
- types: entries in the vocabulary
- tokens: instances of types in a corpus
- example sentence: If they want to go, they should go.
  - how many types?
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  - low type/token ratio  $\rightarrow$  poor morphology

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#### Zipf's Law

also predicts other kinds of data: population of cities in a ۲ country, revenue of different companies, etc.



# The Long Tail

- there are so many word types!
- but words have internal structure

- morphemes:
  - the small meaningful units that make up words
  - stems: core meaning-bearing units
  - affixes: bits and pieces that adhere to stems
    - often with grammatical functions

#### Kinds of Word Formation

- inflection: modifying a word with an affix to change its grammatical function (tense, number, etc.)
  - result is a "different form of the same word"
  - examples: *book*  $\rightarrow$  *books, walk*  $\rightarrow$  *walked*

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  - examples: great  $\rightarrow$  greatly, great  $\rightarrow$  greatness
- compounding: combining two stems

   examples: *lawsuit, keyboard, bookcase*

- usually, morphological derivation is simply splitting a word into its morphemes:
  - walked = walk + ed

– greatness = great + ness

• but it can actually be a hierarchical structure

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- ambiguity in hierarchical morphological decomposition?
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  - unlockable = un + lock + able
    - what does this word mean?
    - (un+lock)+able: "able to be unlocked"
    - un+(lock+able): "unable to be locked"

# Morphology in NLP

- two common tasks:
  - lemmatization
  - stemming

#### Lemmatization

- lemmatization: reduce inflections or variant forms to base form
  - am, are, is  $\rightarrow$  be
  - car, cars, car's, cars'  $\rightarrow$  car
- the boy's cars are different colors → the boy car be different color
- have to find correct dictionary headword form
- e.g., for machine translation:
  - Spanish quiero ('I want'), quieres ('you want') same lemma as querer 'want'

## Stemming

- stemming: reduces words to their stems via crude chopping of affixes
  - e.g., automate(s), automatic, automation all reduced to automat
  - language dependent
  - key step in information retrieval

for example compressed and compression are both accepted as equivalent to compress.



for exampl compress and compress ar both accept as equival to compress

# Porter's algorithm The most common English stemmer

Step 1a								St	Step 2 (for long stems)						
	sses	$\rightarrow$ ss		caresses $\rightarrow$ c			ca	ress	ational $\rightarrow$ ate relational $\rightarrow$ relate						
	ies	$\rightarrow$	<pre>&gt; i ponies &gt; ss caress</pre>		onies	$\rightarrow$	po	ni	izer→ ize		digitizer	· ->	→ digitize		
	SS	$\rightarrow$			aress	$\rightarrow$	caress		ator→ ate		operator	$\rightarrow$	operate		
	S	$\rightarrow$	Ø	Ca	ats	$\rightarrow$	Ca	at	•••			-		-	
Ste	Step 1b									Step 3 (for longer stems)					
	(*v*)	)in	g →	Ø	walking	ſ	$\rightarrow$	walk	al	$\rightarrow$	Ø	revival	$\rightarrow$	reviv	
					sing		$\rightarrow$	sing	able	$\rightarrow$	Ø	adjustable	$\rightarrow$	adjust	
	(*v*)	)ed	$\rightarrow$	Ø	plaster	ed	$\rightarrow$	plaster	ate	$\rightarrow$	Ø	activate	$\rightarrow$	activ	
	•••								•••						
Viewing morphology in a corpus Why only strip —ing if there is a vowel?

> $(*v*)ing \rightarrow \emptyset$  walking  $\rightarrow$  walk sing  $\rightarrow$  sing

## Viewing morphology in a corpus Why only strip —ing if there is a vowel?

 $(*v*)ing \rightarrow \phi$  walking  $\rightarrow$  walk sing  $\rightarrow$  sing

tr -sc	'A-Za-z'	'\n'	< shakes.txt	grep	'ing\$'	sort	uniq -	-c   so	ort —nr	-
		1312	King	548	being					
		548	being	541	nothing					
		541	nothing	152	something					
		388	king	145	coming					
		375	bring	130	morning					
		358	thing	122	having					
		307	ring	120	living					
		152	something	117	loving					
		145	coming	116	Being					
		130	morning	102	going					
tr -sc	'A-Za-z'	'\n'	< shakes.txt	grep '	[aeiou].*i	ing\$'	sort	uniq	-c   s	sort —nr

J&M/SLP3

## Dealing with complex morphology is sometimes necessary

- Some languages requires complex morpheme segmentation
  - Turkish
  - Uygarlastiramadiklarimizdanmissinizcasina: "(behaving) as if you are among those whom we could not civilize"
  - Uygar `civilized' + las `become'
    - + tir `cause' + ama `not able'
    - + dik `past' + lar 'plural'
    - + imiz 'p1pl' + dan 'abl'
    - + mis 'past' + siniz '2pl' + casina 'as if'

## Terminology: lemma and wordform

- lemma or citation form
  - same stem, part of speech, rough semantics
- wordform
  - inflected word as it appears in text

wordform	lemma			
banks	bank			
sung	sing			
duermes	dormir			