

# Reductions Between Expansion Problems

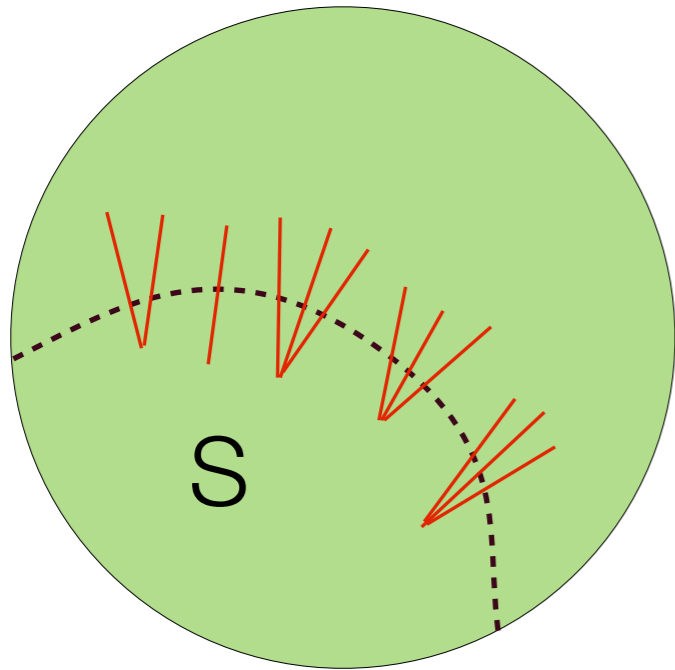
Prasad Raghavendra  
Georgia Tech.

David Steurer  
MSR New England

**Madhur Tulsiani**  
Princeton University

# Graph Expansion

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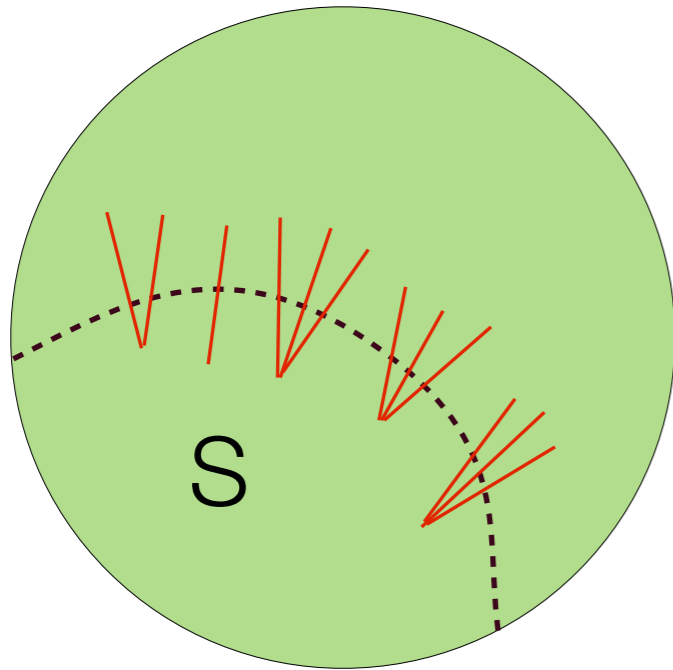


$G = (V, E)$

**d-regular**

# Graph Expansion

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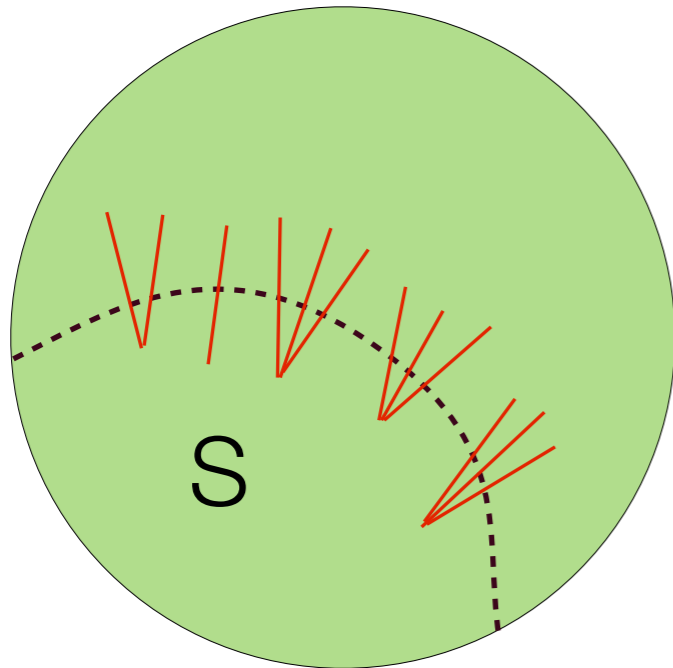
**d-regular**

$$\begin{aligned}\Phi_G(S) &= \frac{E(S, V \setminus S)}{d|S|} \\ &= \frac{\mathbf{P}_{(x,y) \in E} (x \in S, y \notin S)}{\mathbf{P}_{(x,y) \in E} (x \in S)}\end{aligned}$$

$$\Phi_G = \min_{|S| \leq n/2} \{\Phi_G(S)\}$$

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- Expansion measures the probability of a random edge crossing a set  $S$ .
- Approximating the expansion of a graph is important for algorithms and also a fundamental problem in complexity.

# Expansion at different scales

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- Define the **measure** of a set by the fraction of edges landing in it.

$$\begin{aligned}\mu(S) &= \mathbf{P}_{(x,y) \in E} (x \in S) \\ &= |S|/n \text{ (for regular graphs)}\end{aligned}$$

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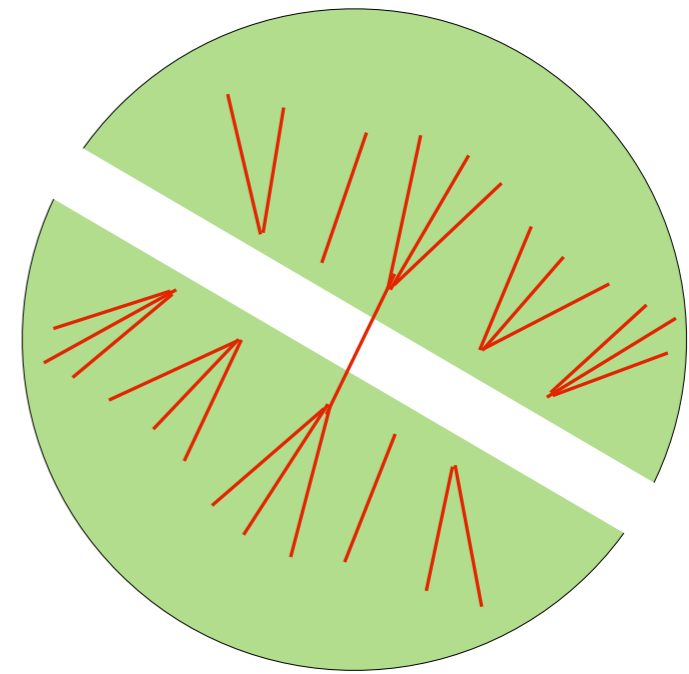
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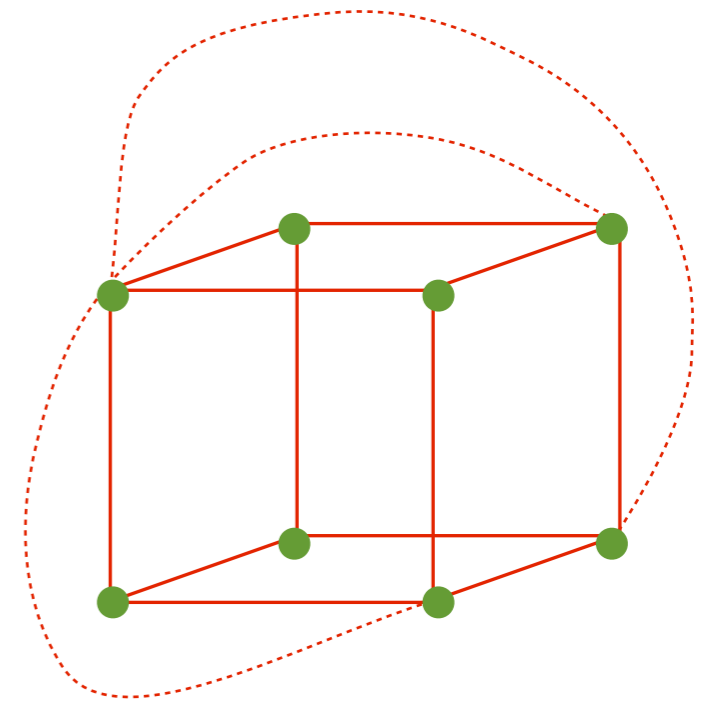
- $\Phi_G(\delta) = \min_{\mu(S) = \delta} \{\Phi_G(S)\}$
- $\Phi_G$  measures the minimum expansion over all scales.
- The expansion profile of a graph may look very different at different scales.





# An example: the noise graph on $\{0, 1\}^n$

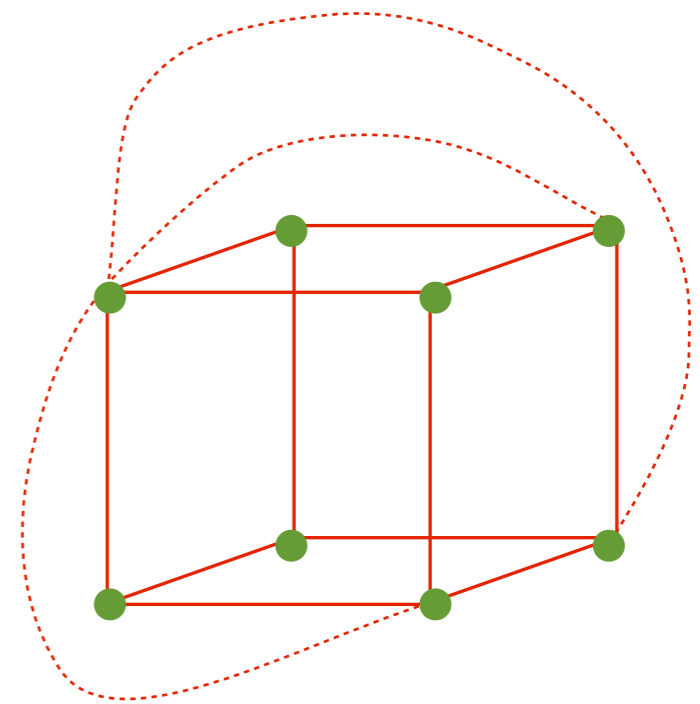
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- Connect  $x, y \in \{0,1\}^n$  with weight  $\epsilon^{H(x,y)} (1-\epsilon)^{n-H(x,y)}$

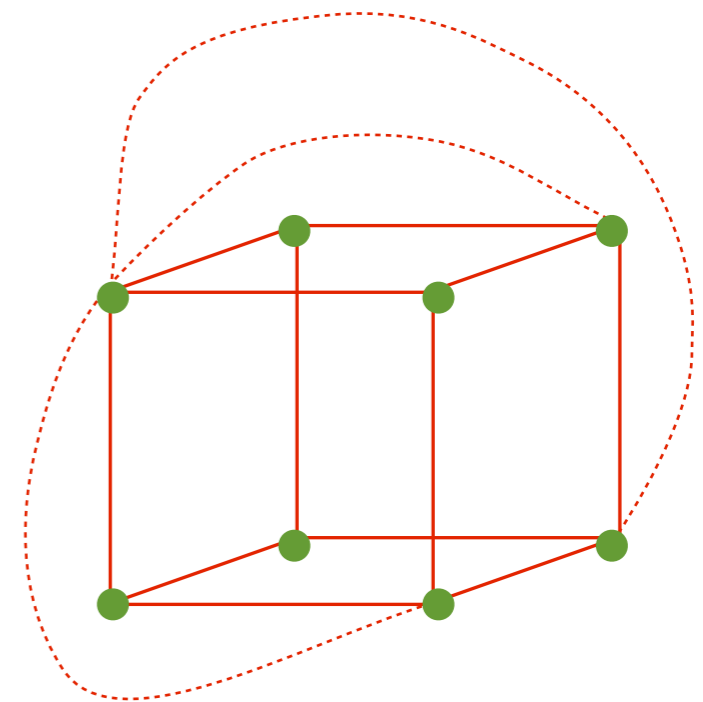


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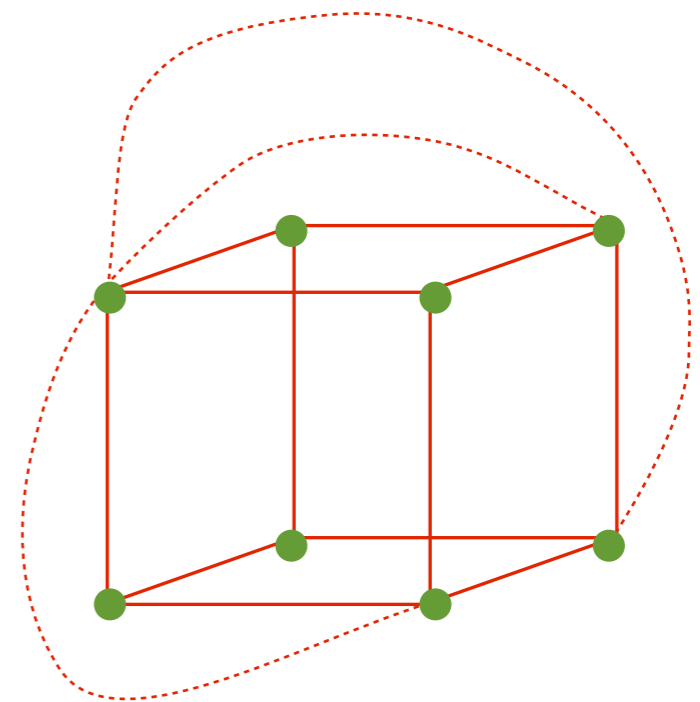
$$\Phi_G(S) = \epsilon$$



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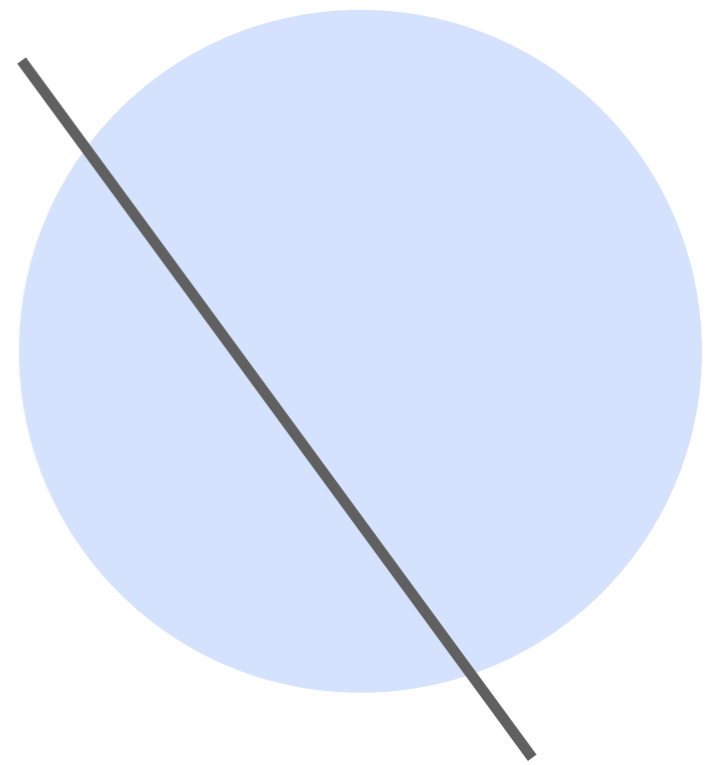
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 $\Phi_G(S) = \epsilon$
- Best cut with  $\mu(S) = \delta$  is subcube of dimension  $n - \log(1/\delta)$ .  
 $\Phi_G(S) \approx \epsilon \log(1/\delta)$



# Cuts not aligned with any direction

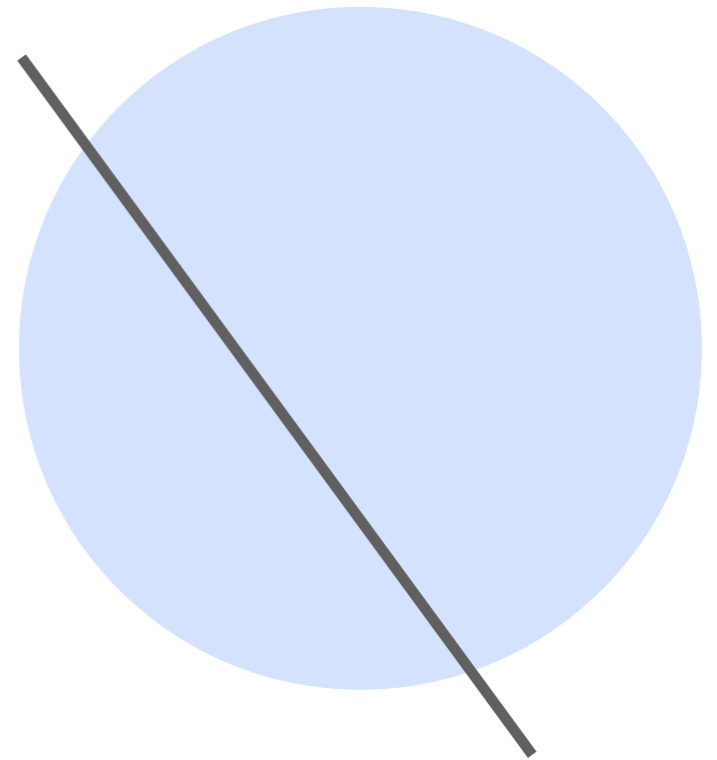
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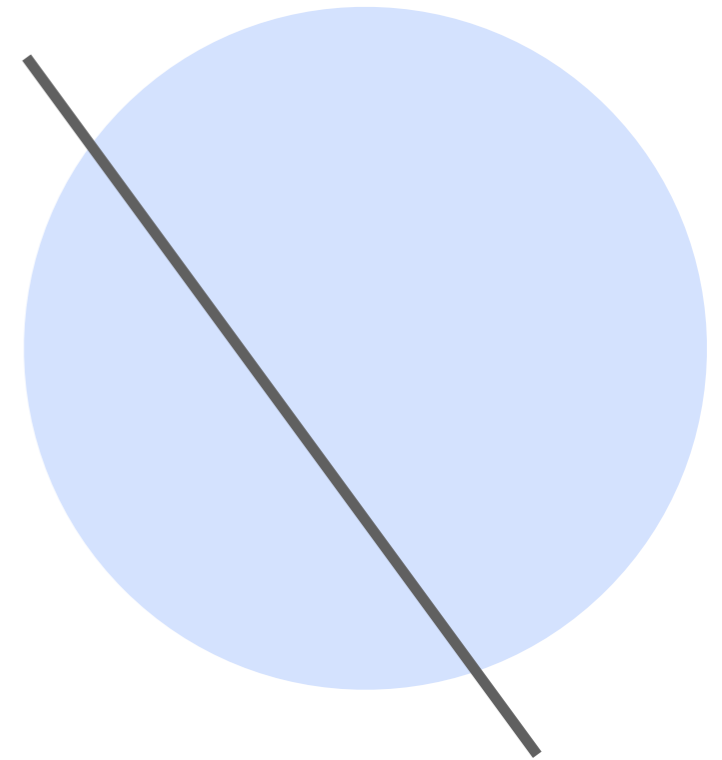
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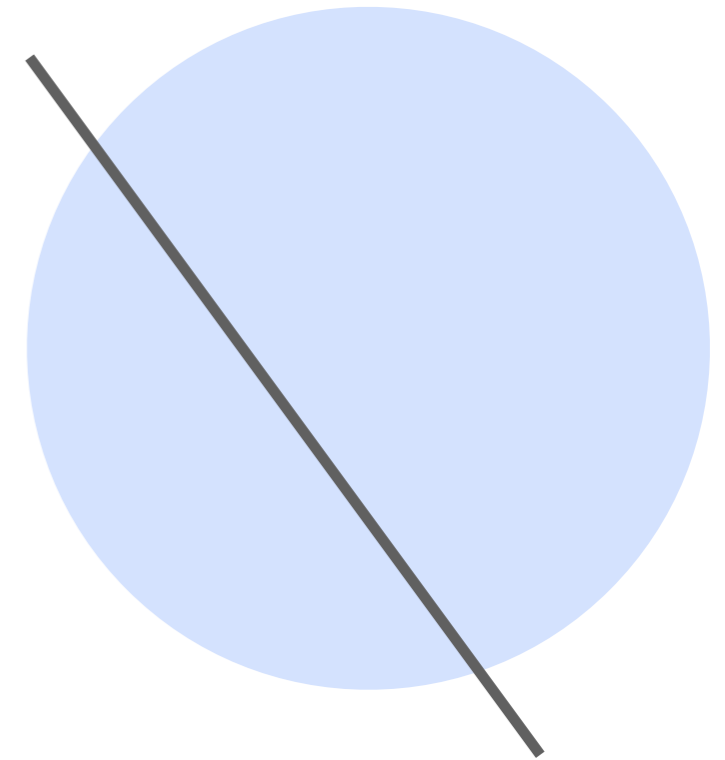
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- Best cut with  $\mu(S) = 1/2$  is majority [MOO 05]  
 $\Phi_G(S) = \Omega(\epsilon^{1/2})$
- Best cut with  $\mu(S) = \delta$  is threshold function with mean  $\delta$ .  
 $\Phi_G(S) \approx 1 - (1/\delta) \Gamma_{1-2\epsilon}(\delta) \approx 1 - \delta^{\epsilon/(1-\epsilon)}$





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- Showed a reduction **to** Unique Games.

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- SSE( $\eta, \delta$ ): Given graph  $G$ , distinguish between the following cases:
  - $\exists S$  with  $\mu(S) = \delta$  such that  $\Phi_G(S) \leq \eta$
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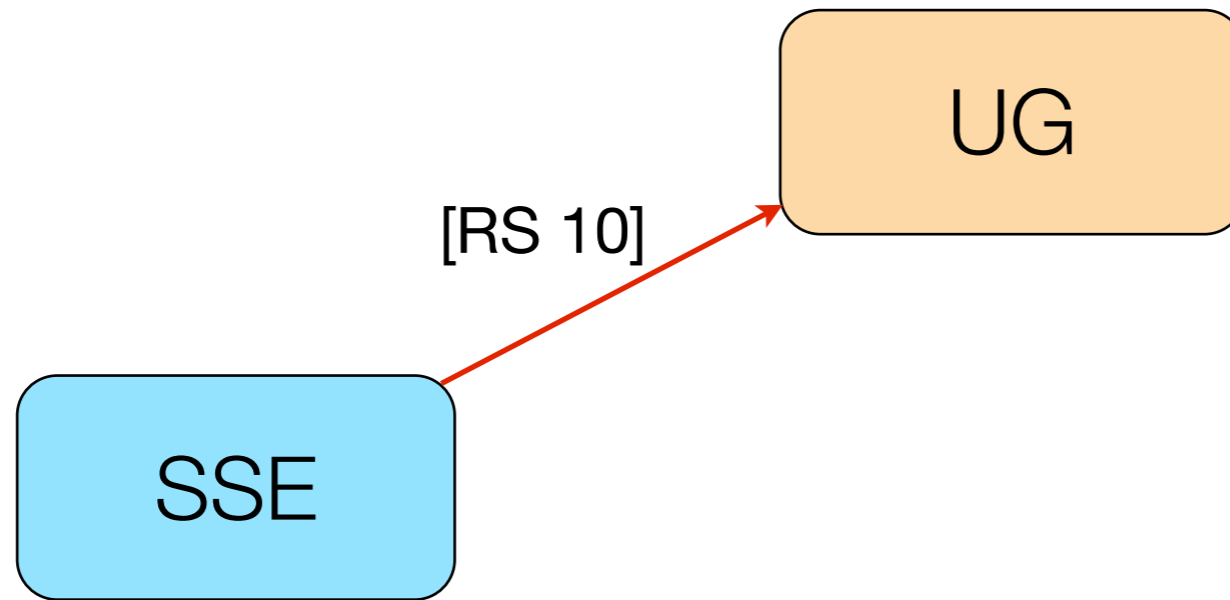
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- SSE-Conjecture:  $\forall \eta \exists \delta$  such that SSE( $\eta, \delta$ ) is hard.

# The big-picture

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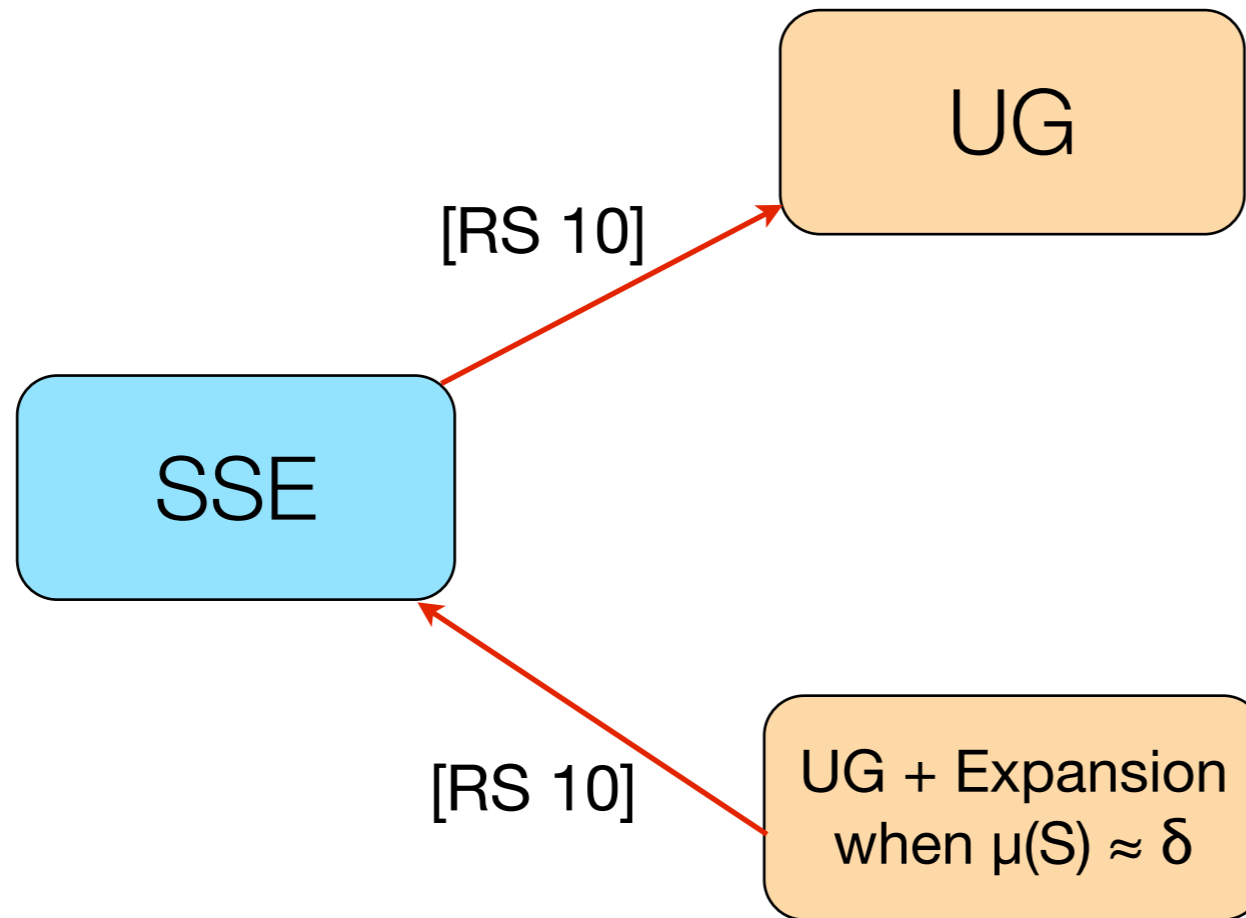
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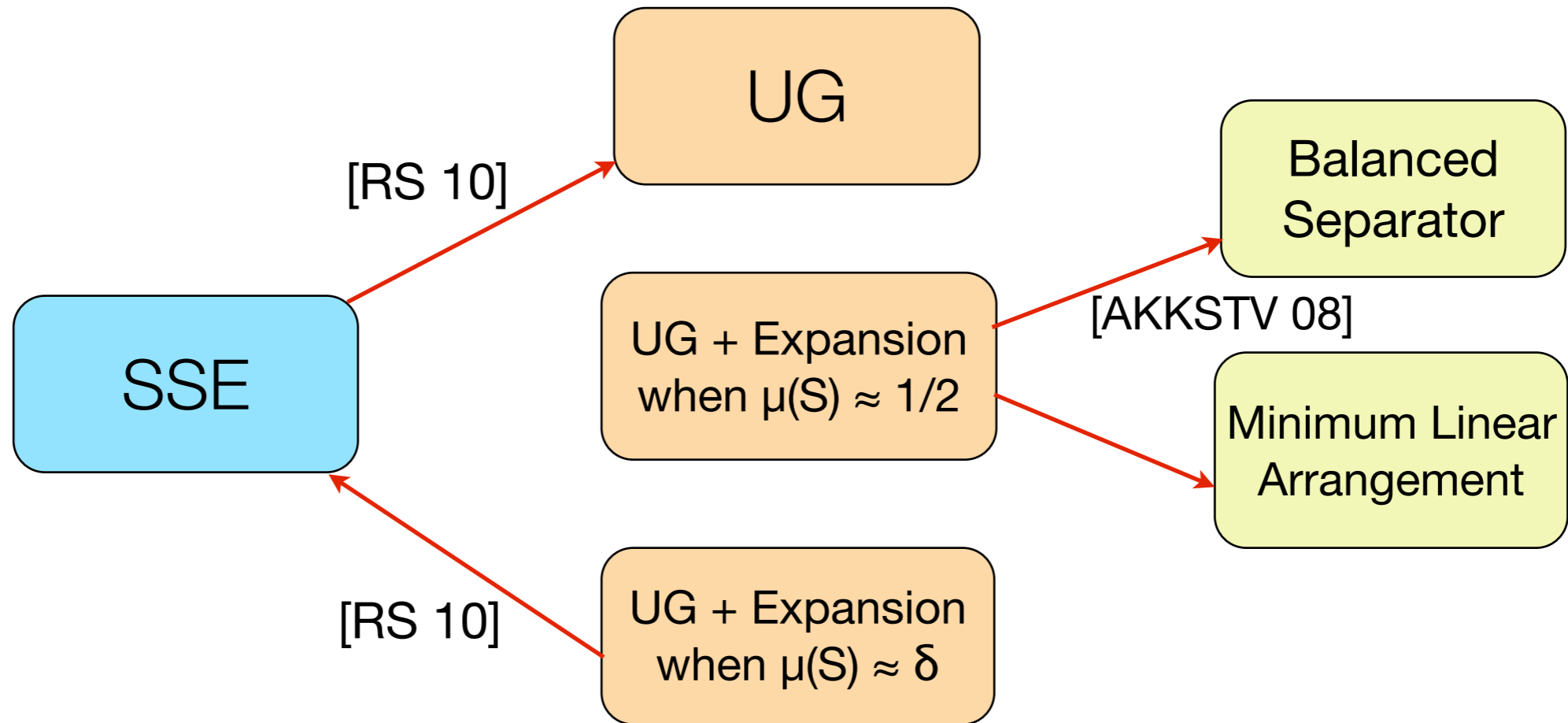
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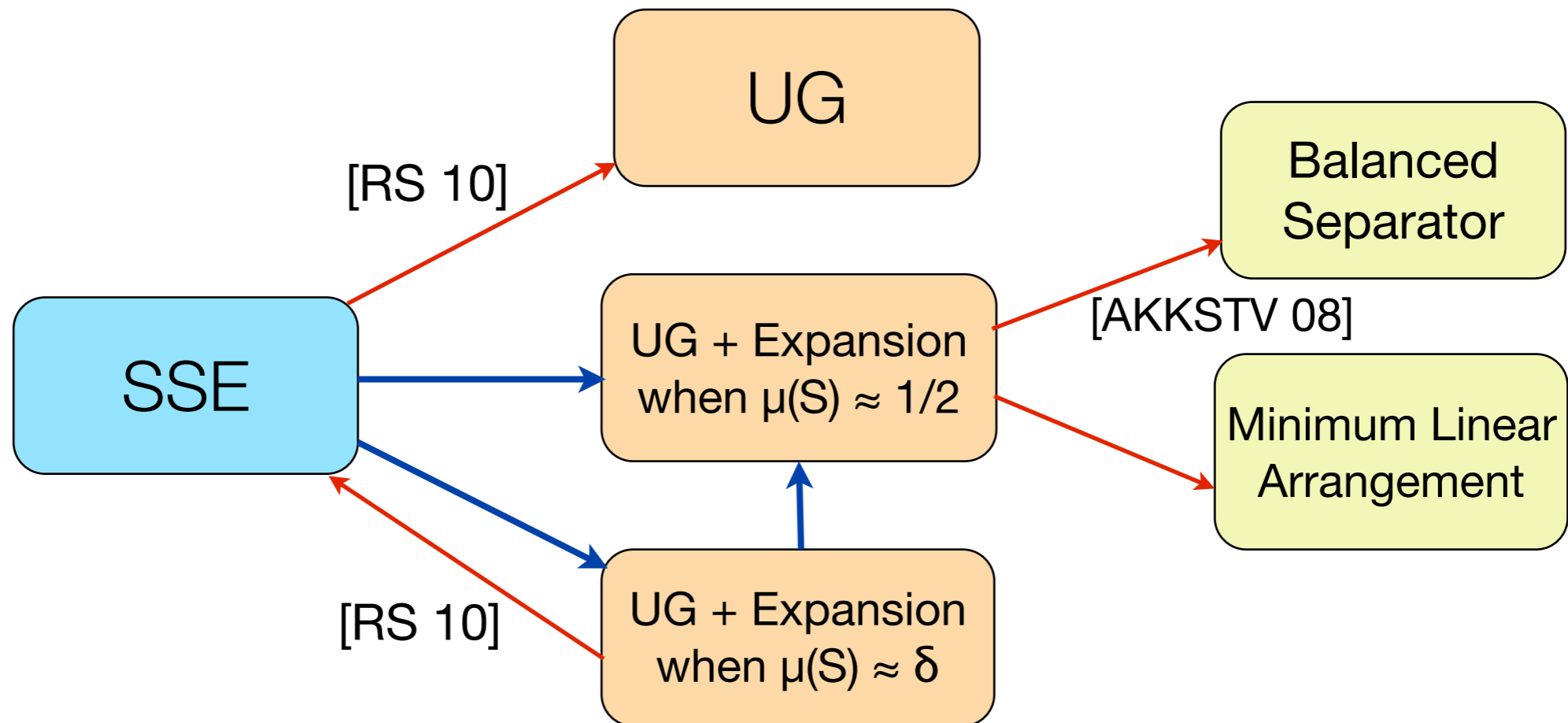
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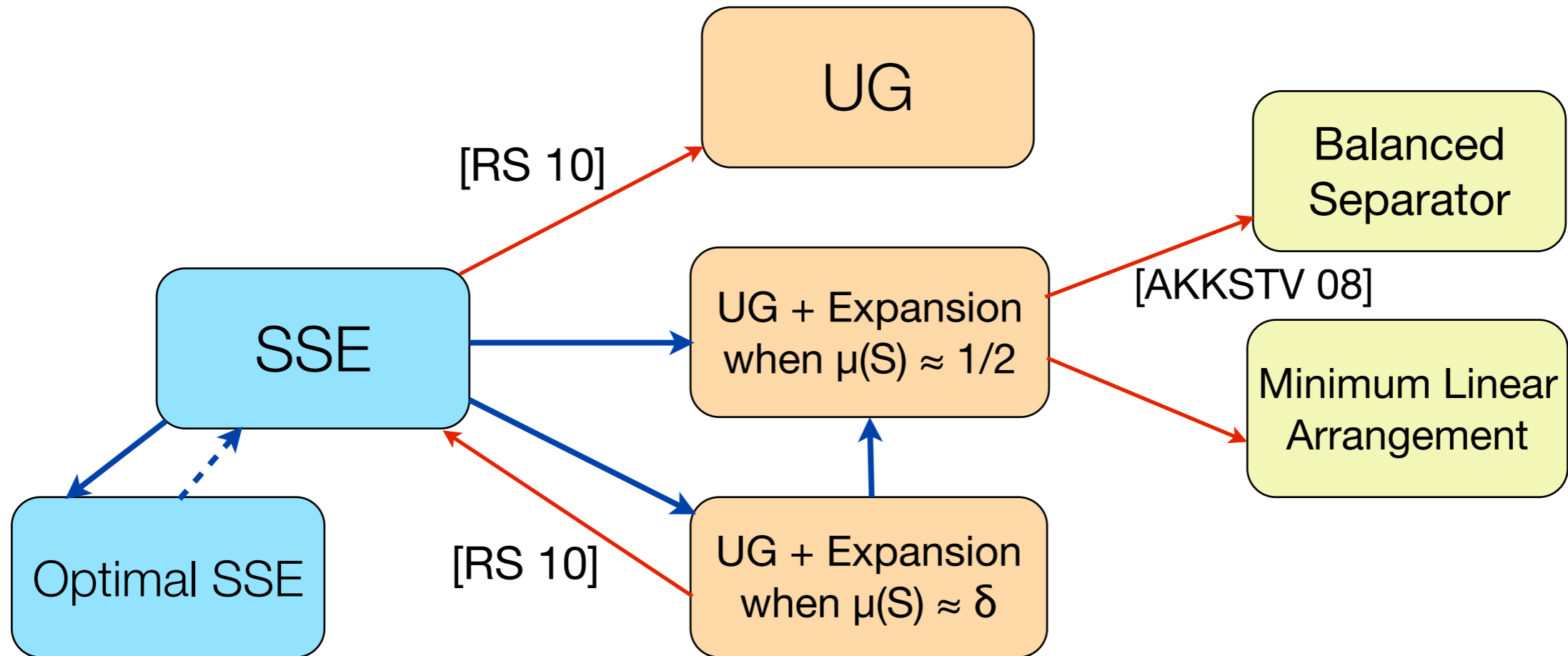




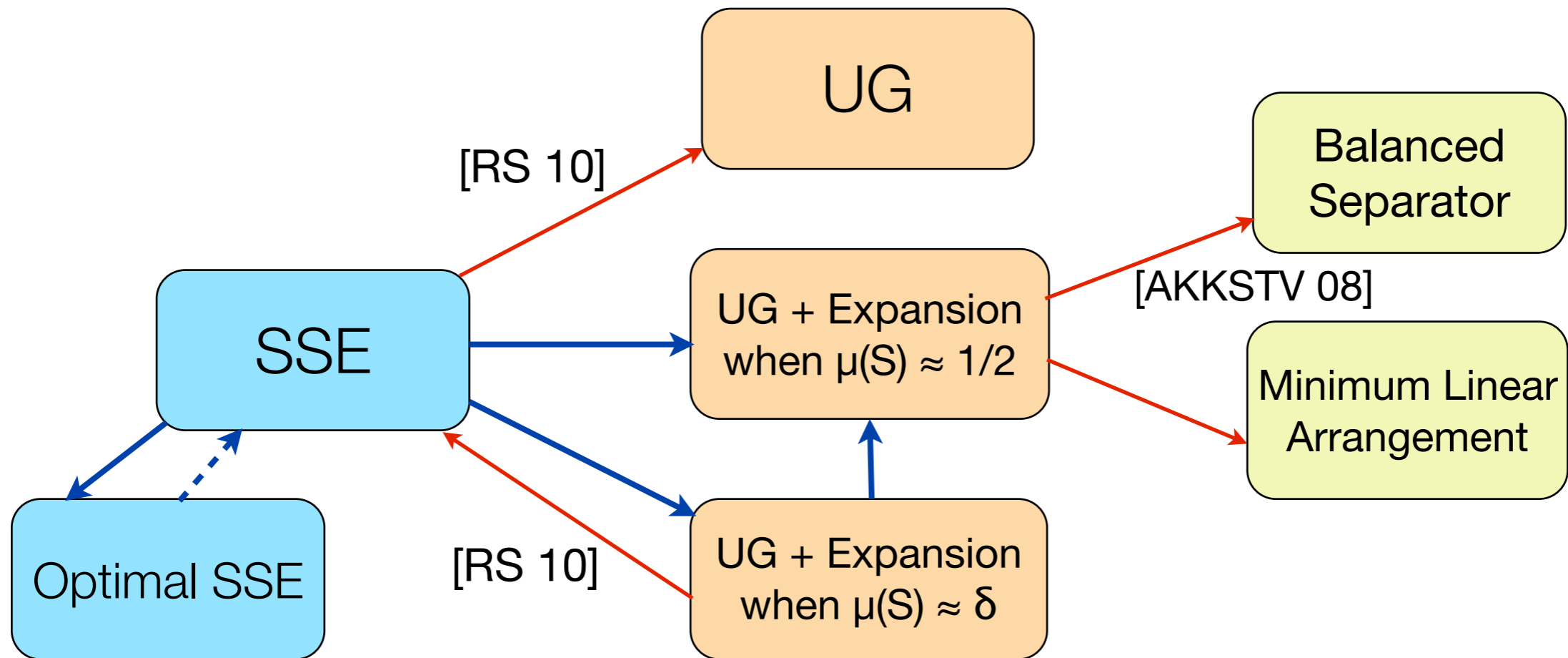
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- Can reduce problem about expansion at scale  $\delta$  to any scale  $> \delta$ .
- Similar to alphabet reduction for Unique Games by [KKMO 04] (UG alphabet  $\approx 1/\delta$ ).

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For all  $\epsilon, \delta > 0$  and  $q \in \mathbb{N}$ , the following is SSE-hard.

Given  $H = (V_H, E_H)$ , distinguish between the cases:

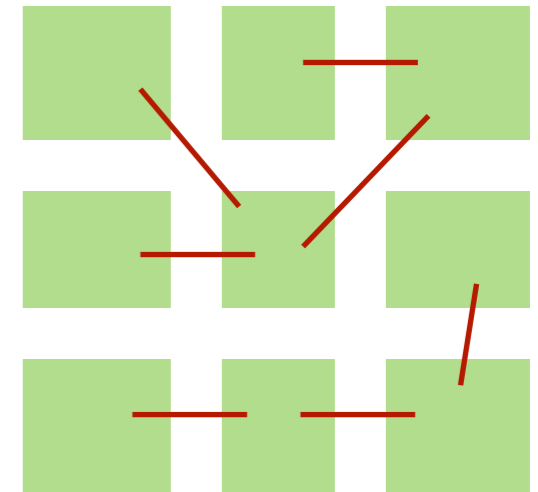
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Given  $H = (V_H, E_H)$ , distinguish between the cases:

- $V_H$  can be partitioned into equal pieces  $S_1, \dots, S_q$   
s.t.  $\forall t \quad \Phi_G(S_t) \leq \epsilon + o(\epsilon)$ .



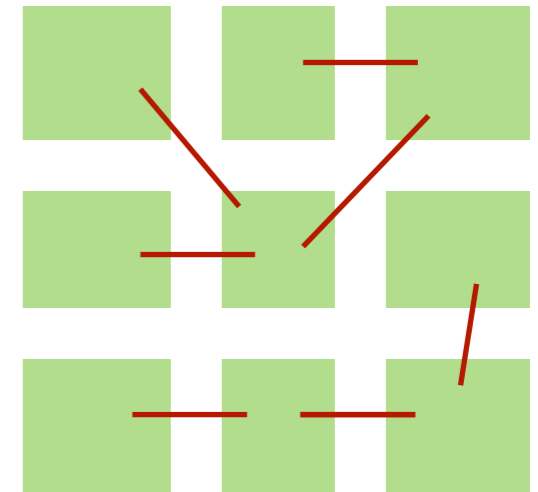
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- For all  $S \subseteq V_H$ , with  $\mu(S) \geq \delta$   
 $\Phi_G(S) \geq 1 - (1/\mu(S)) \Gamma_{1-\epsilon/2}(\mu(S)) - o(\epsilon)$



vs.



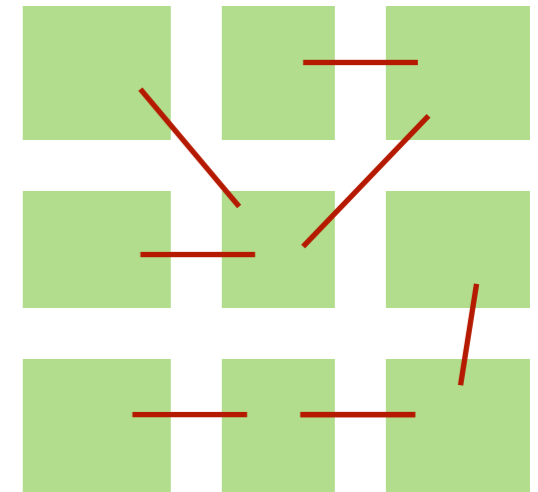
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Matches (upto constants) the algorithmic guarantee of [RST 10]



vs.





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**Balanced Separator:** Minimize  $\Phi_G(S)$  over all sets  $S$  with  $1/4 \leq \mu(S) \leq 1/2$ .

Simply taking  $q = 2$  and  $\delta = 1/4$  gives the hardness of distinguishing for  $H = (V_H, E_H)$ :

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Also gives hardness for Minimum Bisection since  $\mu(S) = 1/2$  in the first case.

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**Problem:** Find an ordering  $\pi$  of the vertices to minimize the average length of an edge =  $E_{(x,y) \in E} |\pi(x) - \pi(y)|$ .

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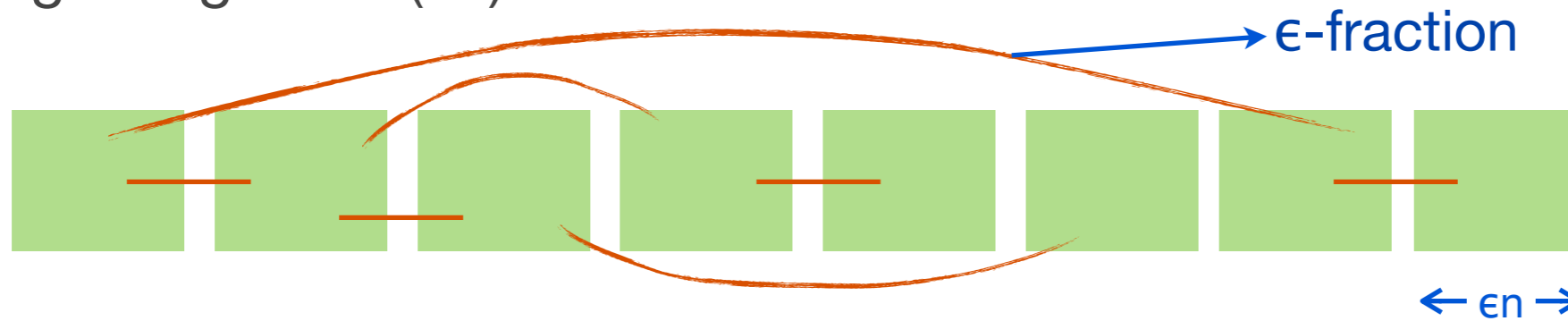
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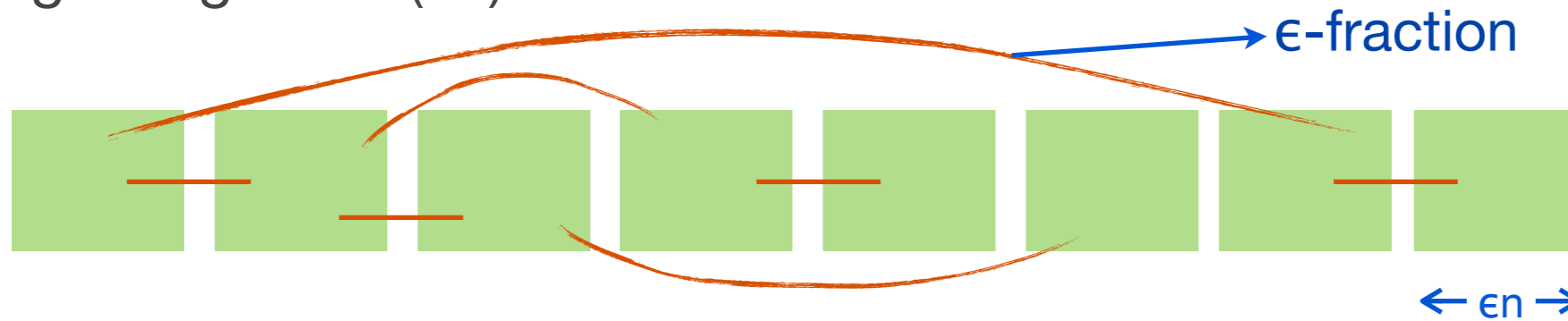


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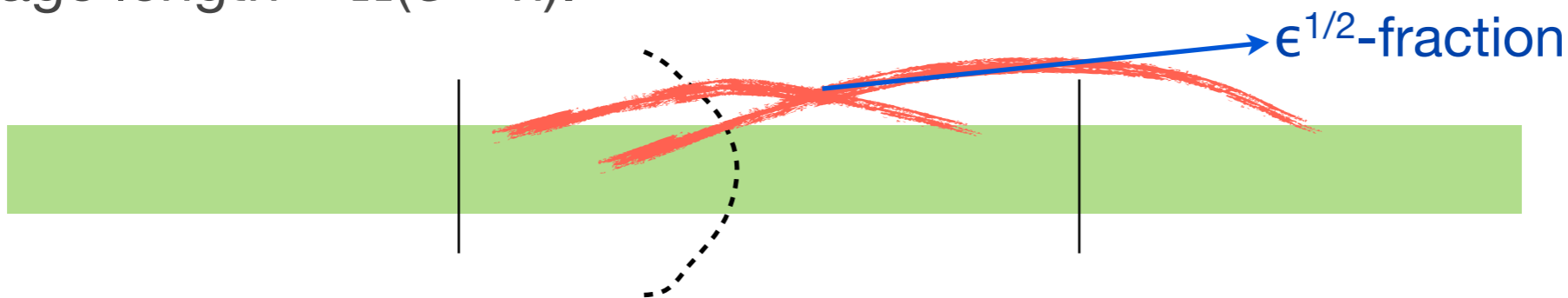
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- $\Omega(\epsilon^{1/2})$  fraction of edges crossing every cut on middle  $n/3$  vertices.  
Average length =  $\Omega(\epsilon^{1/2} n)$ .



# Reducing to an expansion problem

# Unique Games

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- **Given:** Graph  $G=(V,E)$ , alphabet  $R$  and for each edge  $(u,v)$ , a permutation constraint  $\pi_{uv} : [R] \rightarrow [R]$ .  
**Find:** A labeling  $L : V \rightarrow [R]$  satisfying for as many edges  $(u,v)$  as possible  
 $\pi_{uv}(L(u)) = L(v)$
- Can also be viewed as a two-prover game (Alice and Bob get one vertex each from a randomly chosen edge).


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- Can also be viewed as a two-prover game (Alice and Bob get one vertex each from a randomly chosen edge).
- **UGC:** Hard to distinguish between the cases when
  - At least  $1-\eta$  fraction of edges can be satisfied
  - At most  $\eta$  fraction of edges can be satisfied.

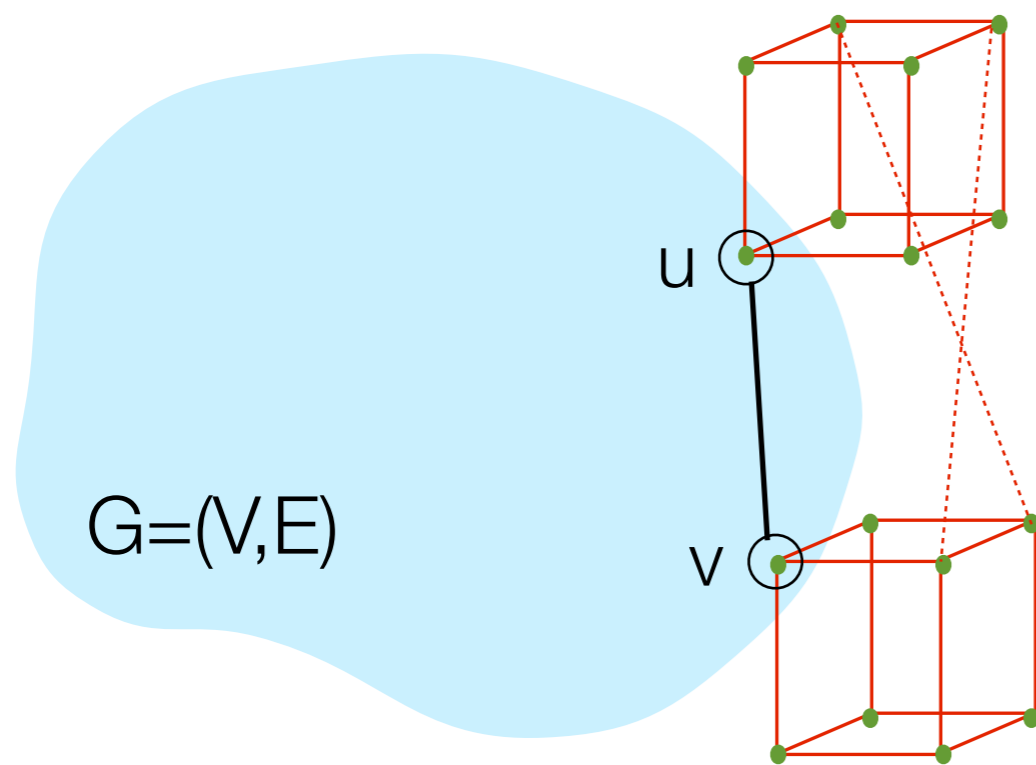
# (Attempted) Reduction to Balanced Separator

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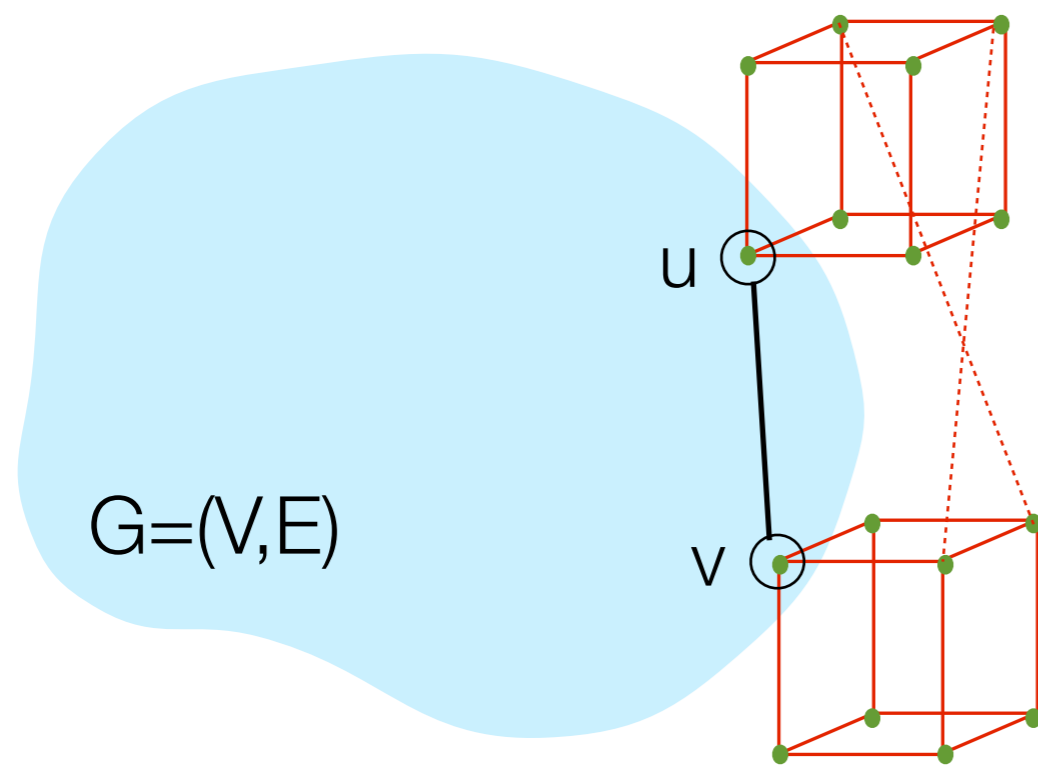
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- Attach  $\{0,1\}^R$  to each vertex of  $G$
- Pick  $x, y \in \{0,1\}^R$  with  $\epsilon$ -noise  
(weight =  $\epsilon^{H(x,y)} (1-\epsilon)^{R-H(x,y)}$  )
- Connect  $(u, \pi_{uv}(x))$  to  $(v,y)$  with above weight. (send  $(u, \pi_{uv}(x))$  to Alice,  $(v,y)$  to Bob)
- Ask for a balanced cut on new graph.

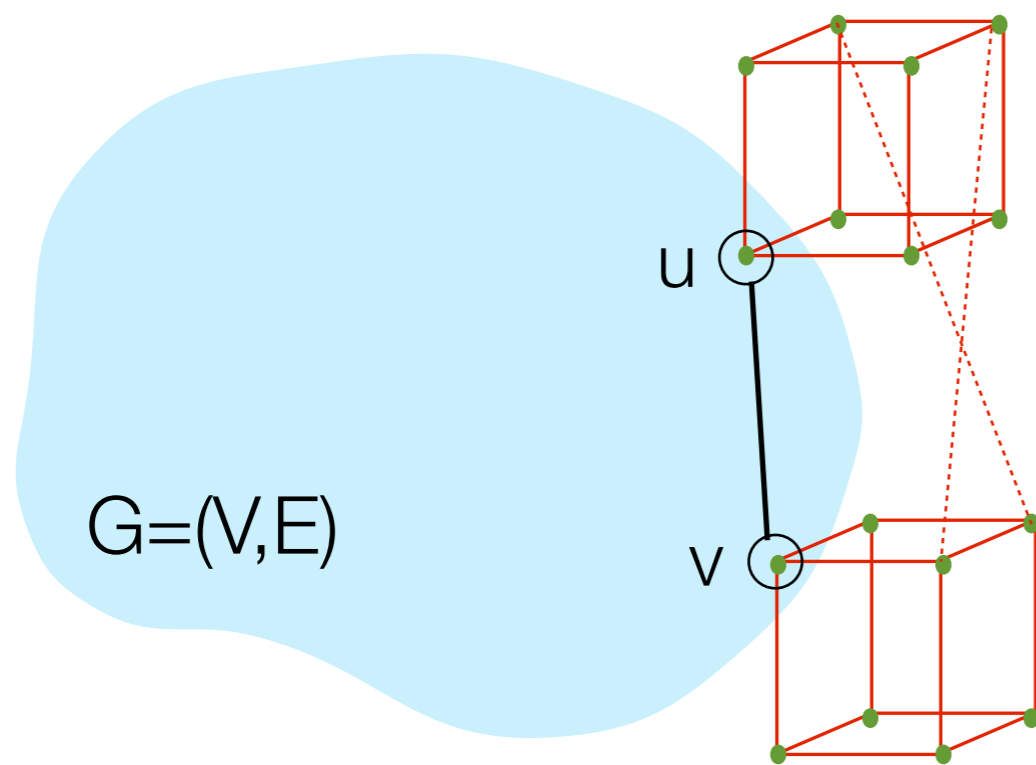
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- When good labeling exists, for each  $u$ , pick  $R-1$  dimensional sub-cube with  $x_{L(u)} = 1$ .
  - If  $\pi_{uv}(L(u)) = L(v)$ , then only  $\epsilon$ -fraction of edges between cubes of  $u$  and  $v$  are cut.



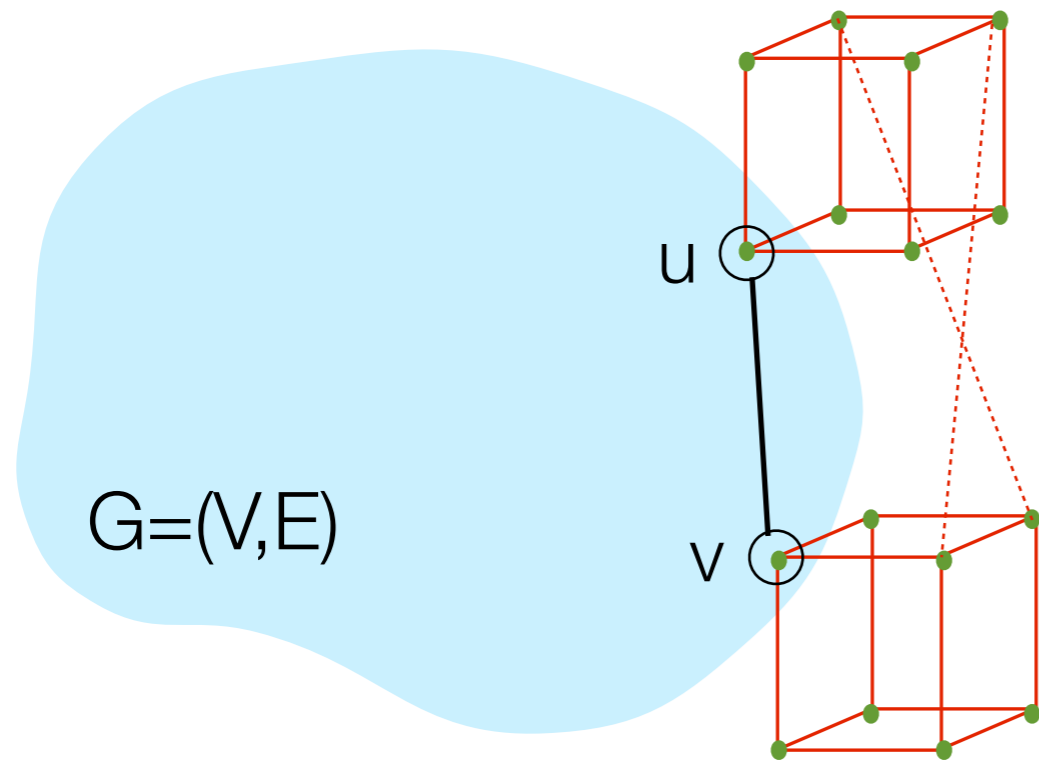
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  - If  $\pi_{uv}(L(u)) = L(v)$ , then only  $\epsilon$ -fraction of edges between cubes of  $u$  and  $v$  are cut.
  - If labeling satisfies  $1-\eta$  fraction, then value of cut in graph is  $\leq \epsilon + \eta$ .

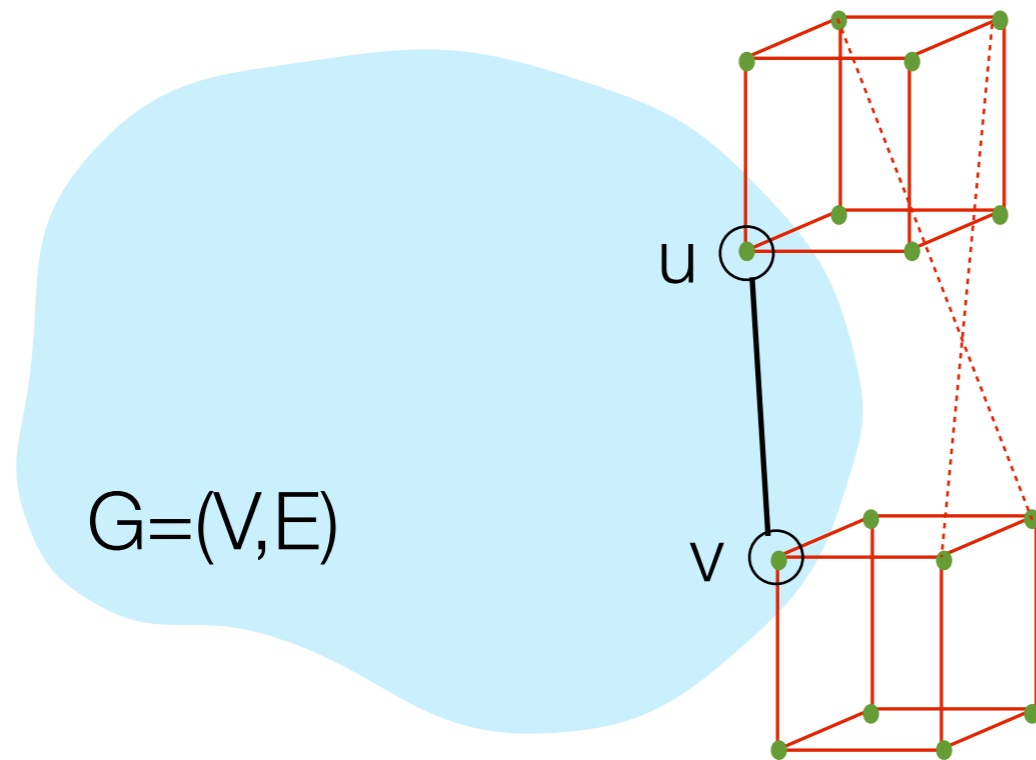
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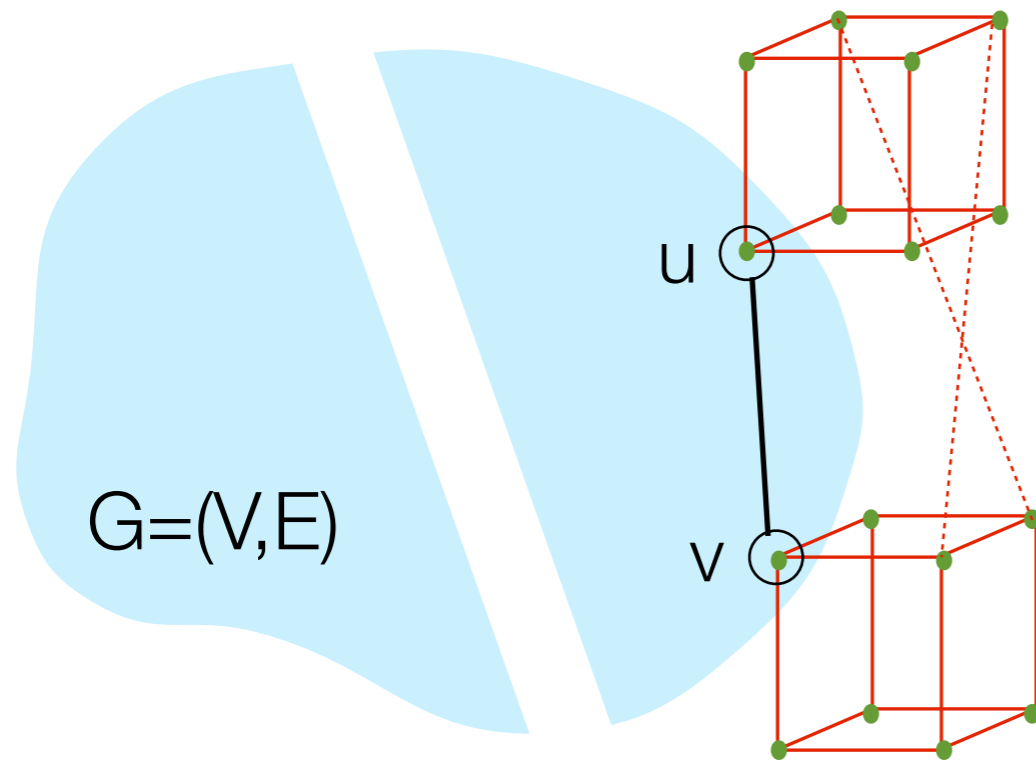
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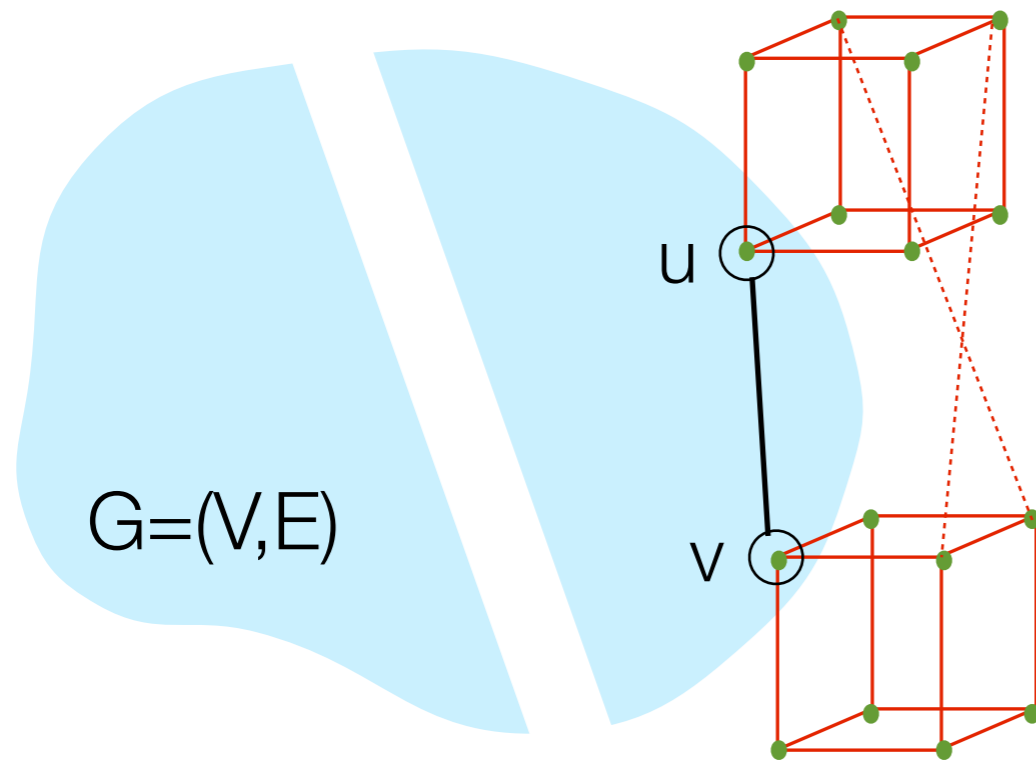
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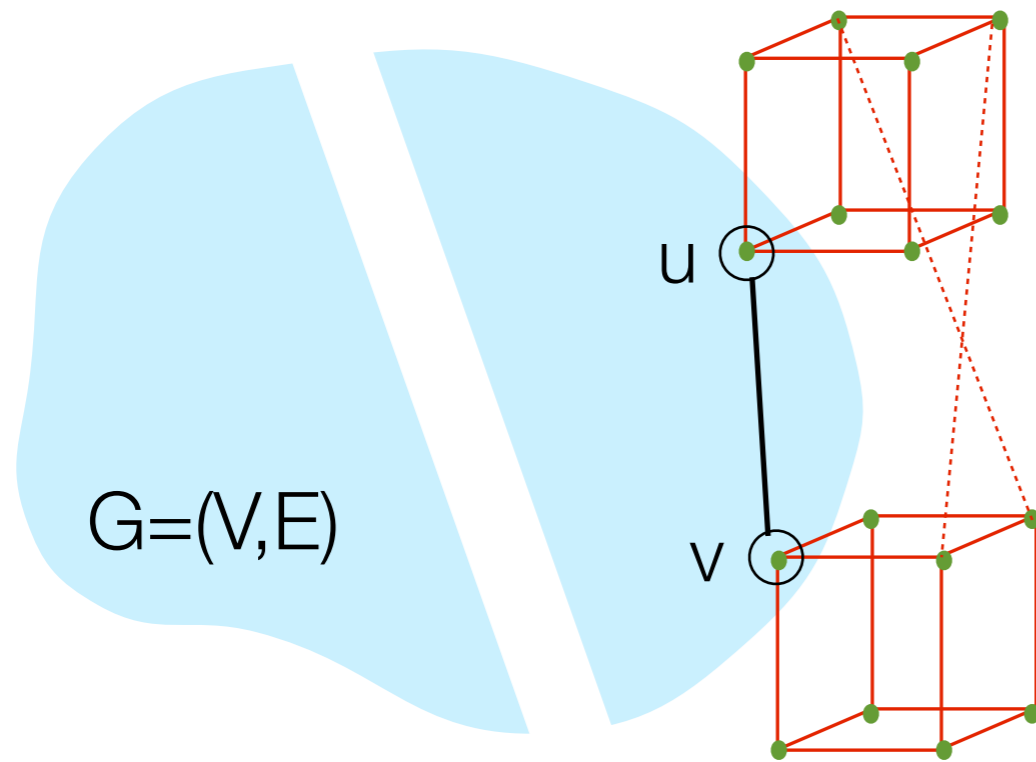
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- If starting UG does not have expansion, neither does the new graph.

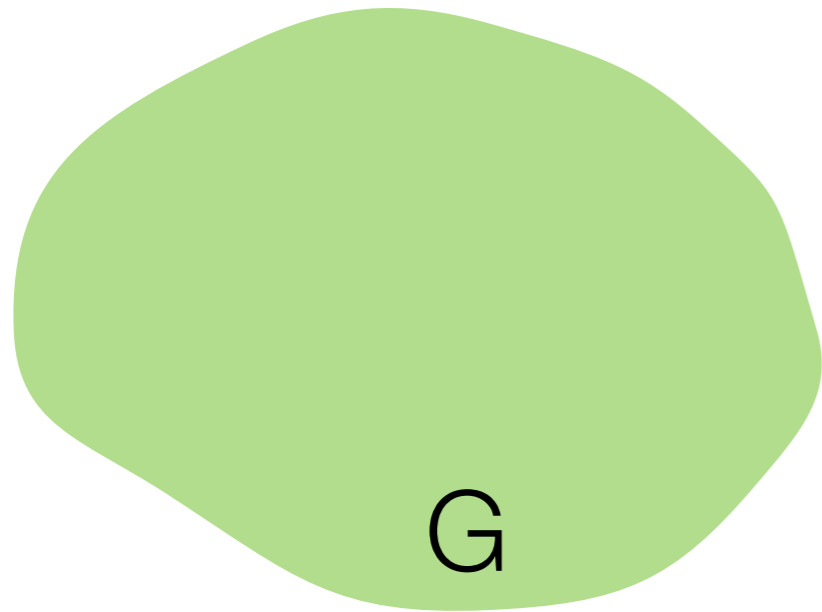
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- 
- For UGs obtained by reducing from Small-Set Expansion, can introduce a special folding operation, which **does not preserve structure** of UG-graph.
  - Even though the graph for UG may not have expansion, the graph for Balanced Separator does.

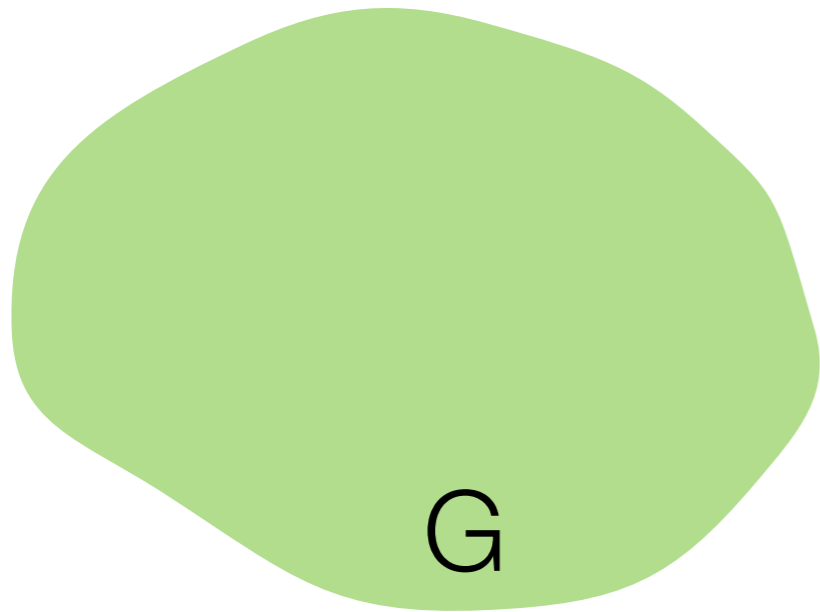
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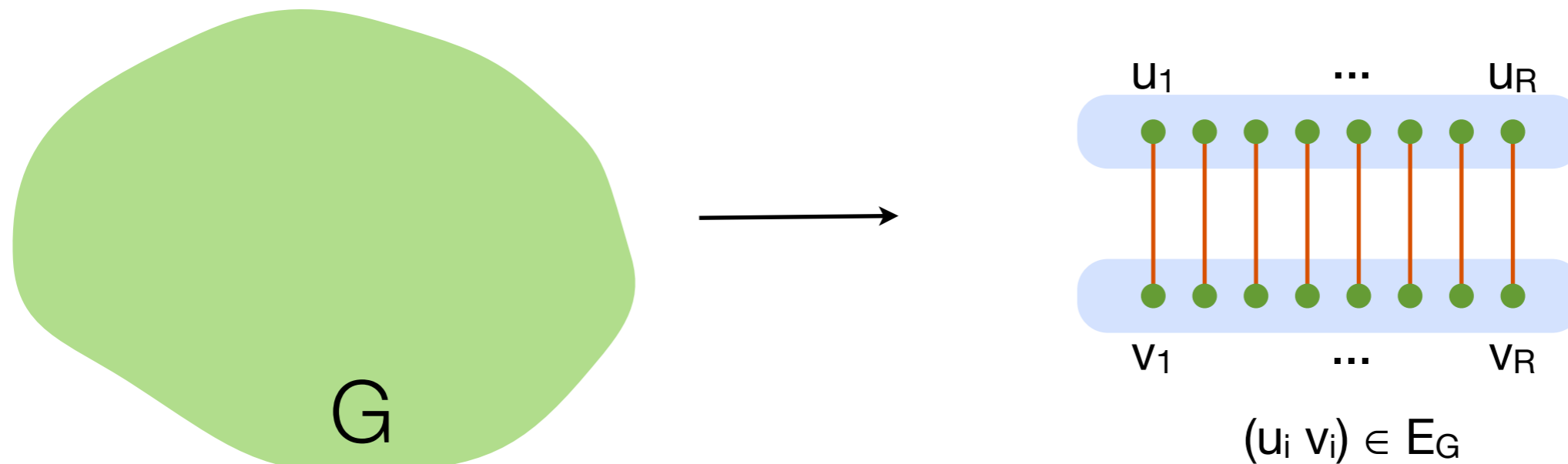
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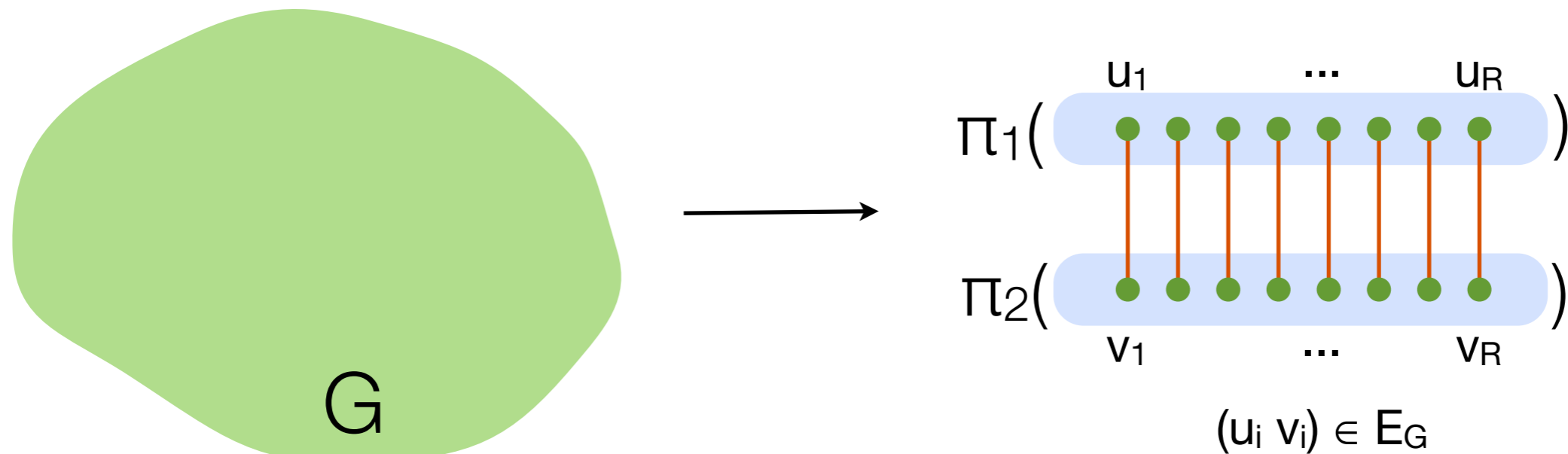


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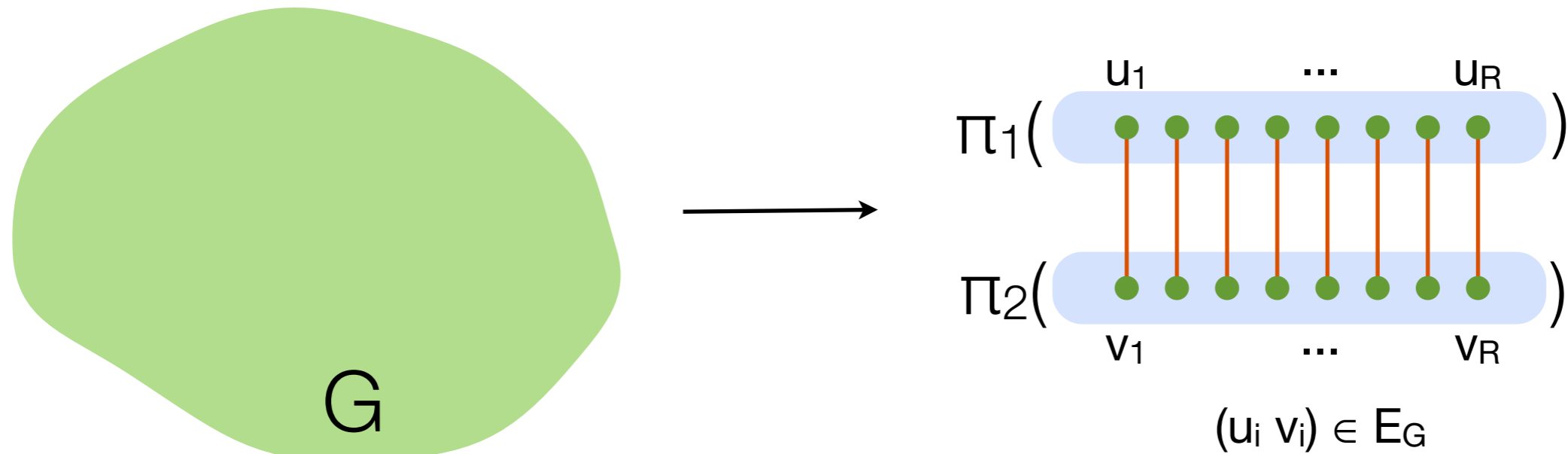
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- Pick  $(A, B) \sim E_G^R$

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- UG is defined on  $G^R$  and has alphabet size  $R$ .
- Pick  $(A, B) \sim E_G^R$
- Pick random permutations  $\pi_1, \pi_2$  from  $[R]$  to  $[R]$
- Connect  $\pi_1(A)$  to  $\pi_2(B)$ . Call them  $u, v$ . (send  $\pi_1(A)$  to Alice,  $\pi_2(B)$  to Bob)

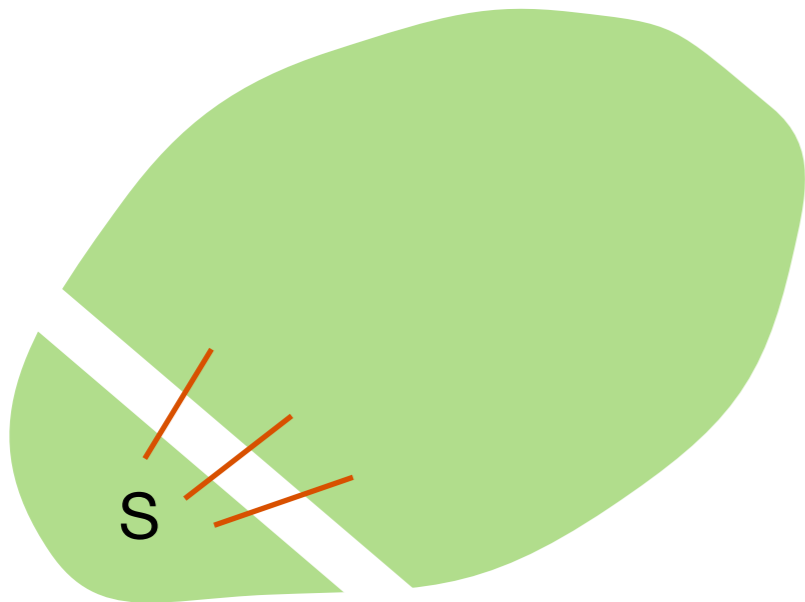
# From SSE to Unique Games [RS 10]



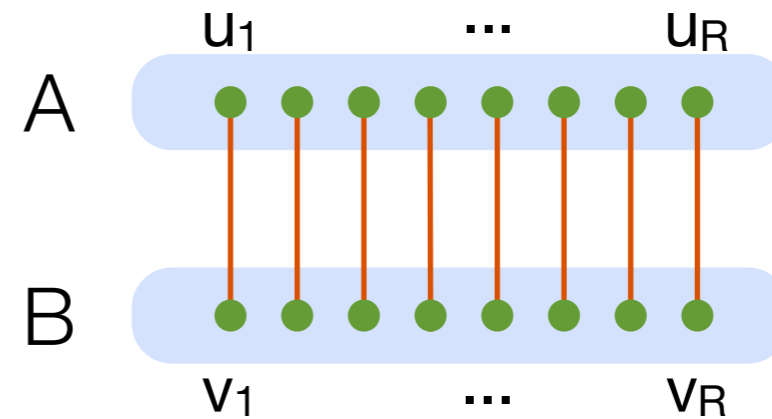
- UG is defined on  $G^R$  and has alphabet size  $R$ .
- Pick  $(A,B) \sim E_G^R$
- Pick random permutations  $\pi_1, \pi_2$  from  $[R]$  to  $[R]$
- Connect  $\pi_1(A)$  to  $\pi_2(B)$ . Call them  $u,v$ . (send  $\pi_1(A)$  to Alice,  $\pi_2(B)$  to Bob)
- Labeling is required to find an edge in  $G$ . Constraint on  $(u,v)$  is  
$$\pi_1^{-1}(L(u)) = \pi_2^{-1}(L(v))$$

# Why the SSE reduction works

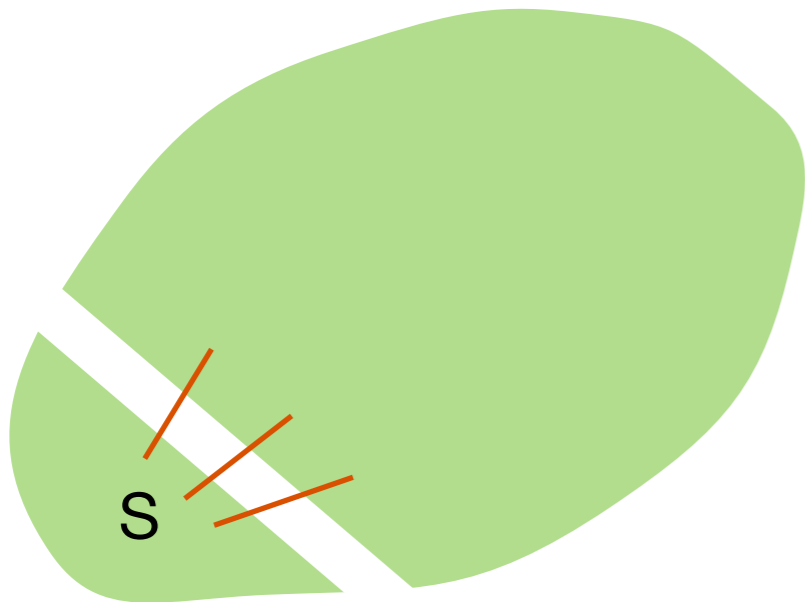
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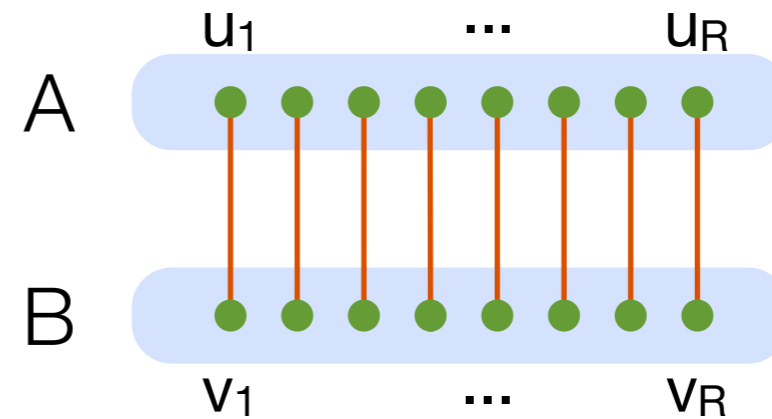
$$\mu(S) = 1/R, \quad \Phi_G(S) \leq \eta$$



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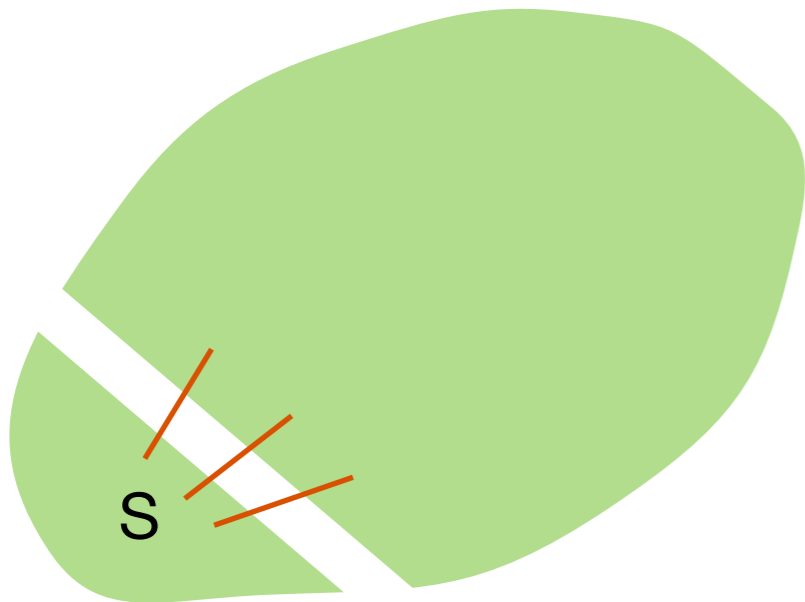


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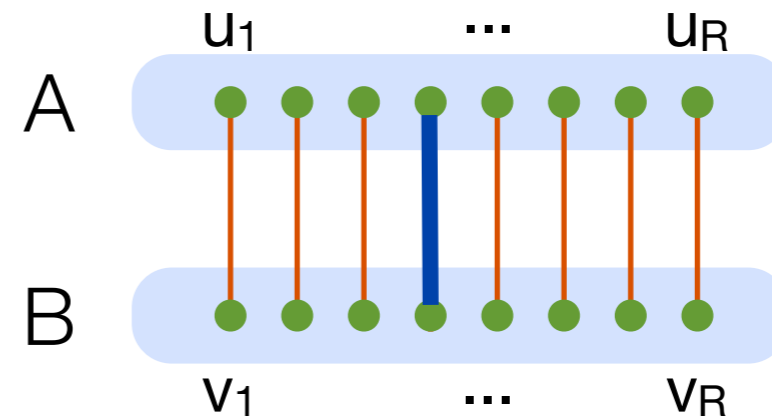


- $P(\text{A has exactly one S-vertex}) = (1 - 1/R)^{R-1} \approx 1/e$

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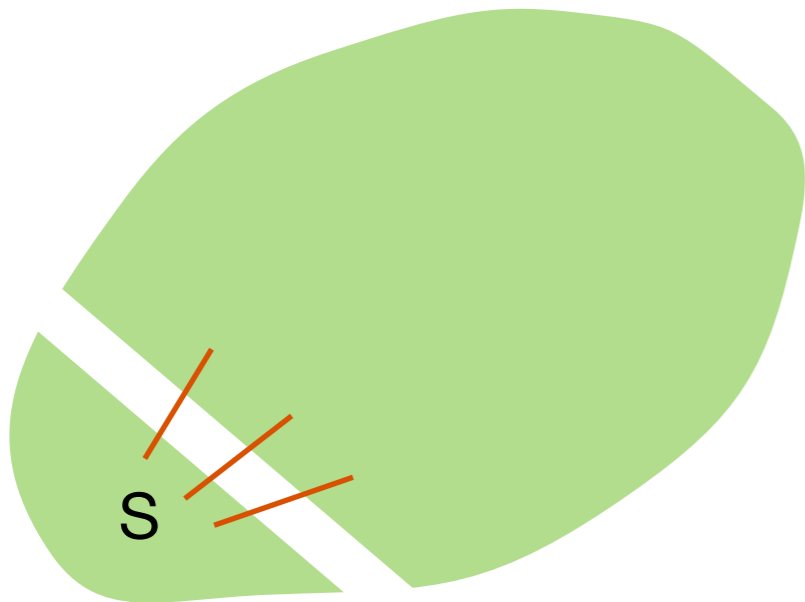


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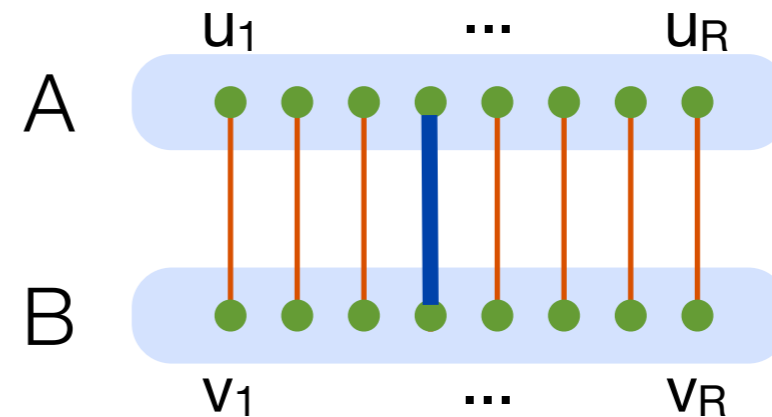


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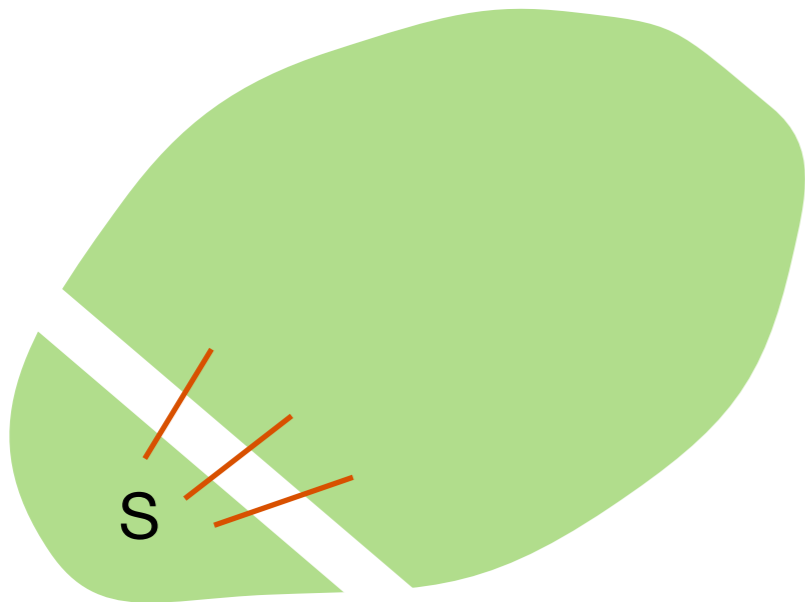


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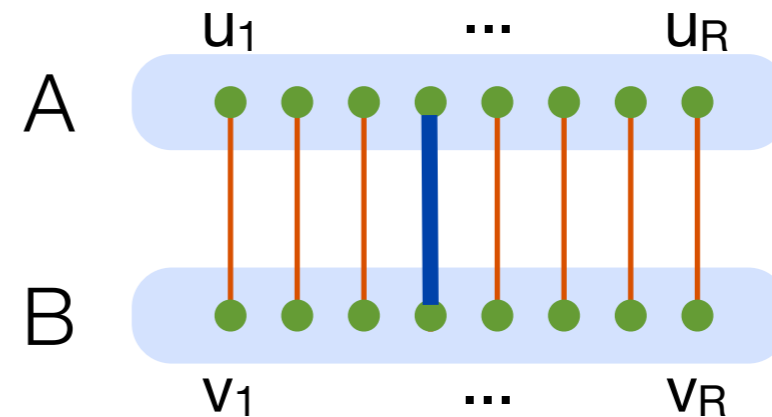


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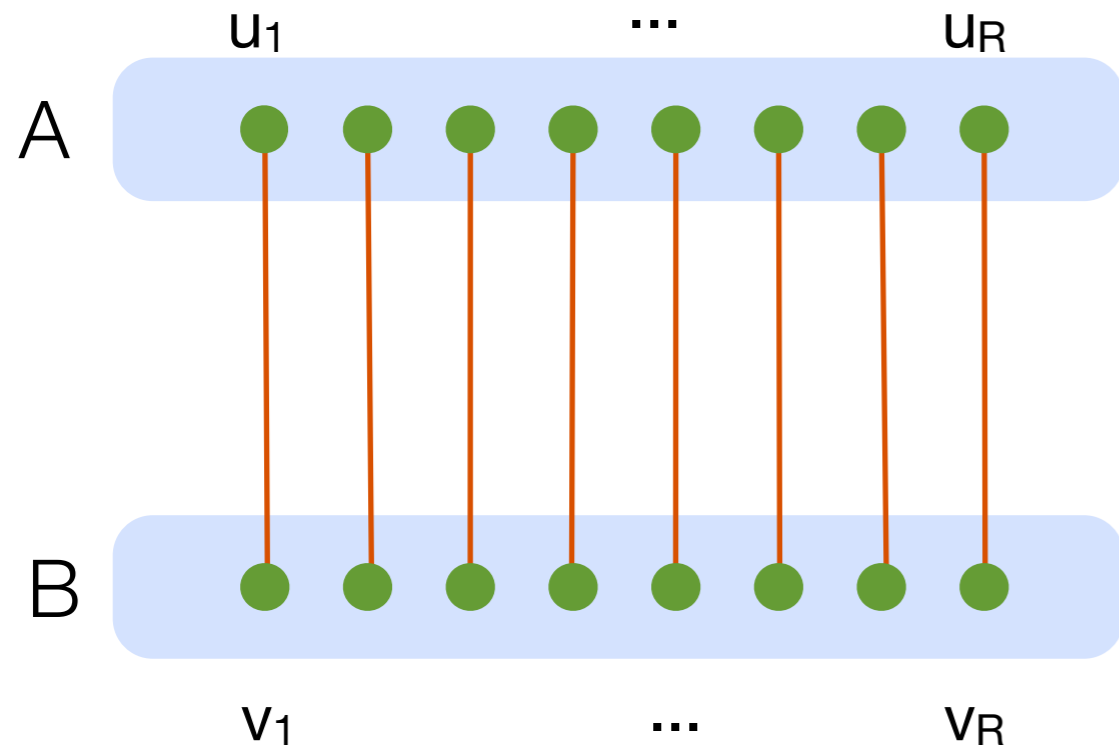


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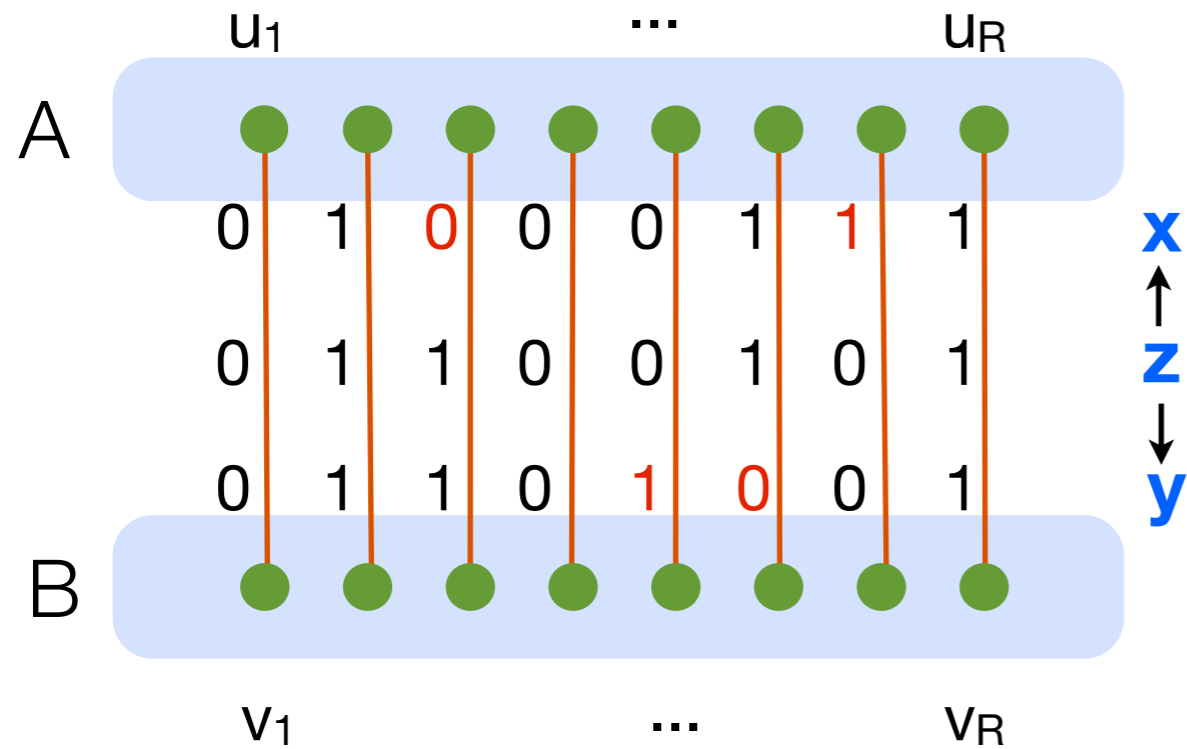


# Combining the two reductions

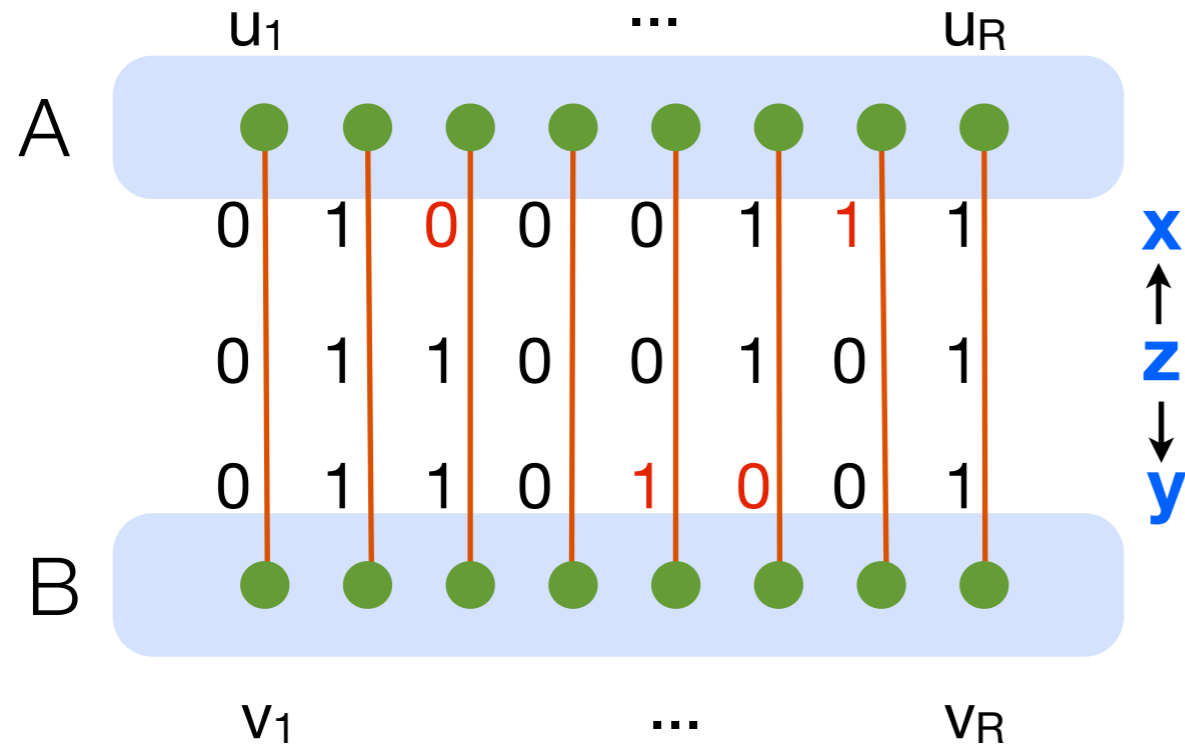
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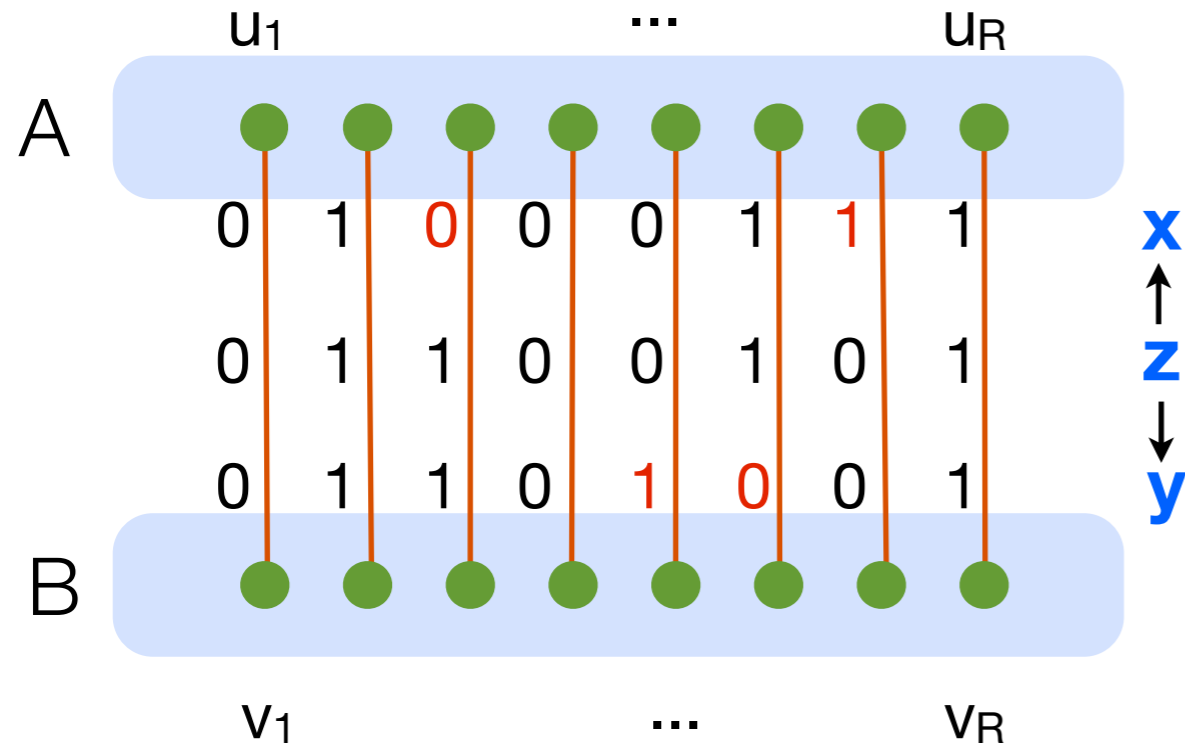


Pick random  $z \in \{0,1\}^R$

Generate  $x, y$  from  $z$  using independent  $\epsilon$ -noise.

Connect  $(\pi_1(A), \pi_1(x))$  to  $(\pi_2(B), \pi_2(y))$ .

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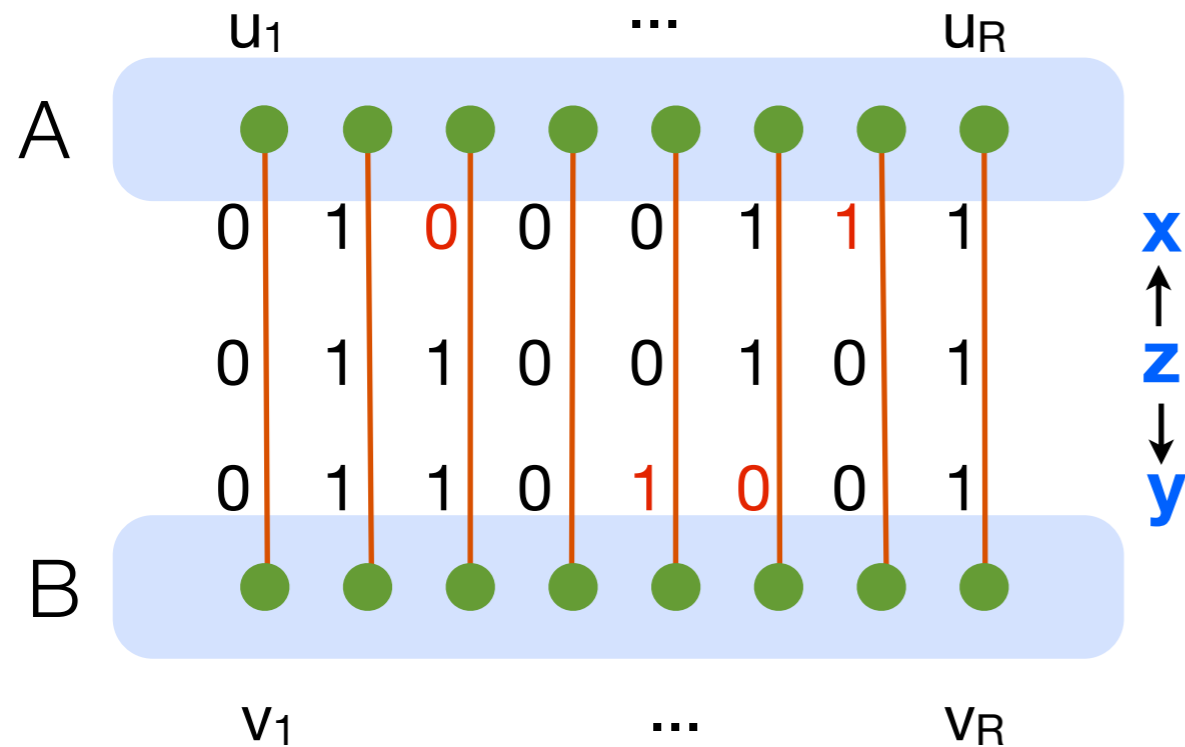
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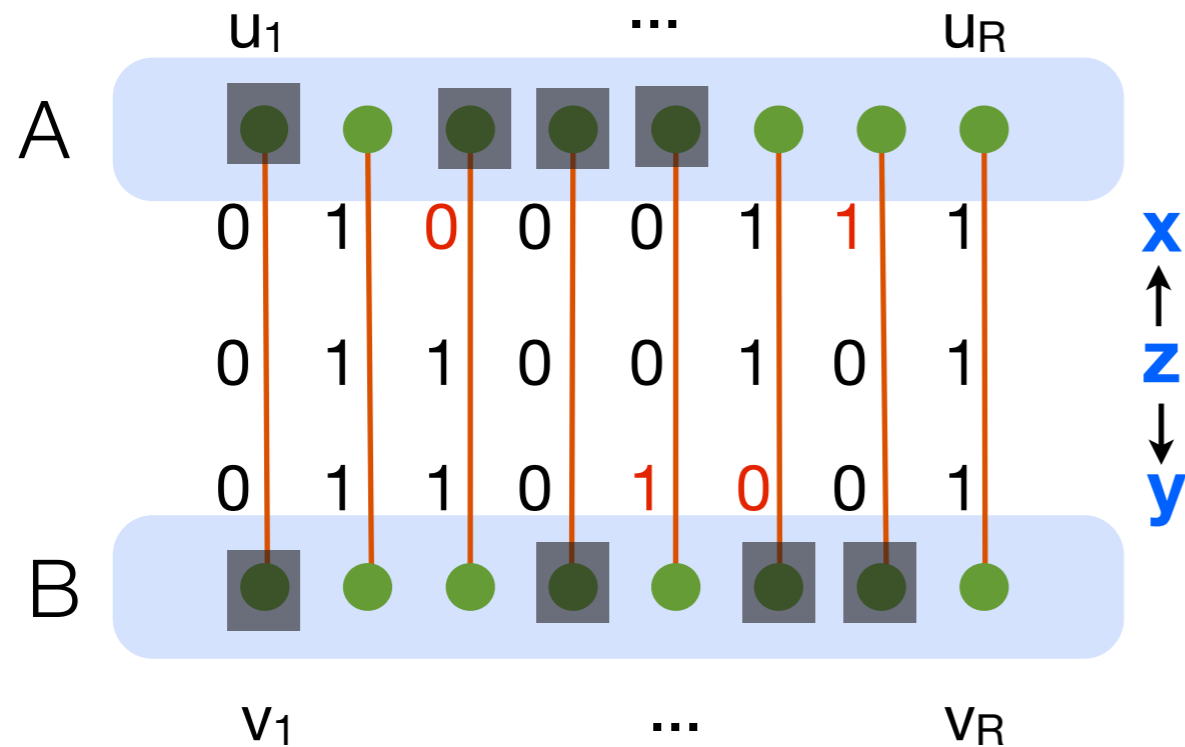
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- Corresponds to a folding operation on the graph obtained after reduction.

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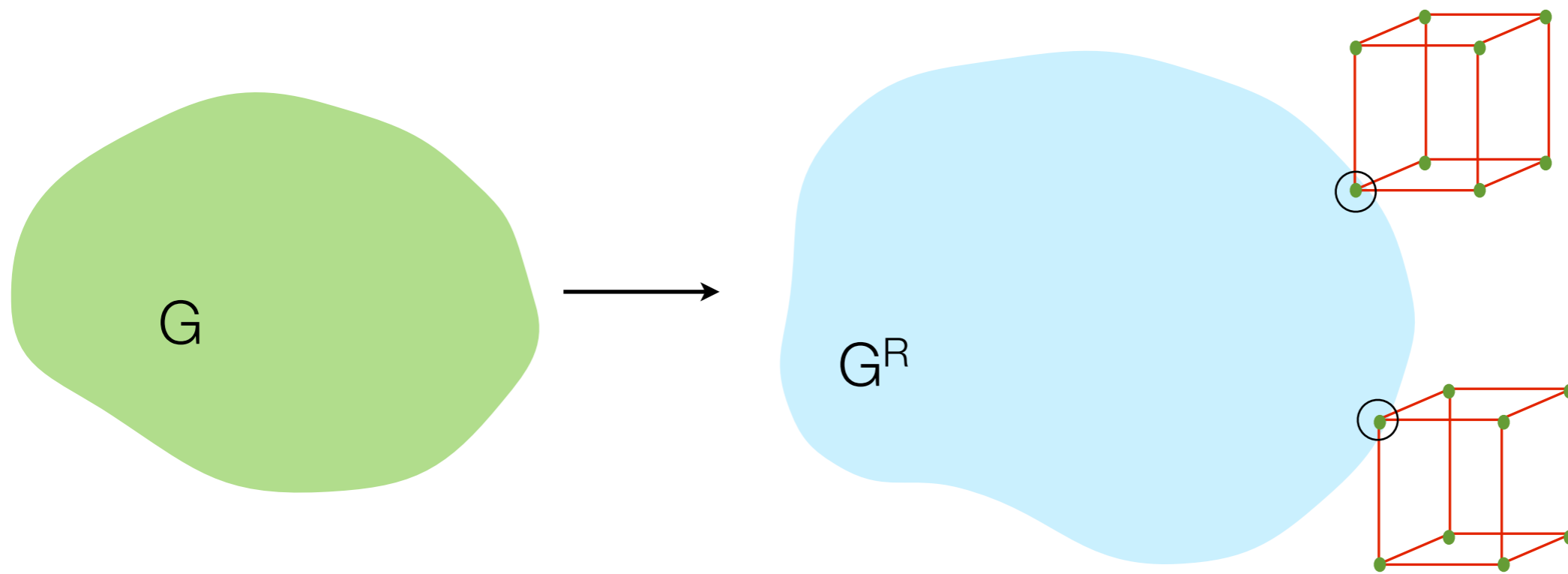
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- Modification only uses the fact that the strategy is about membership in a **set**.

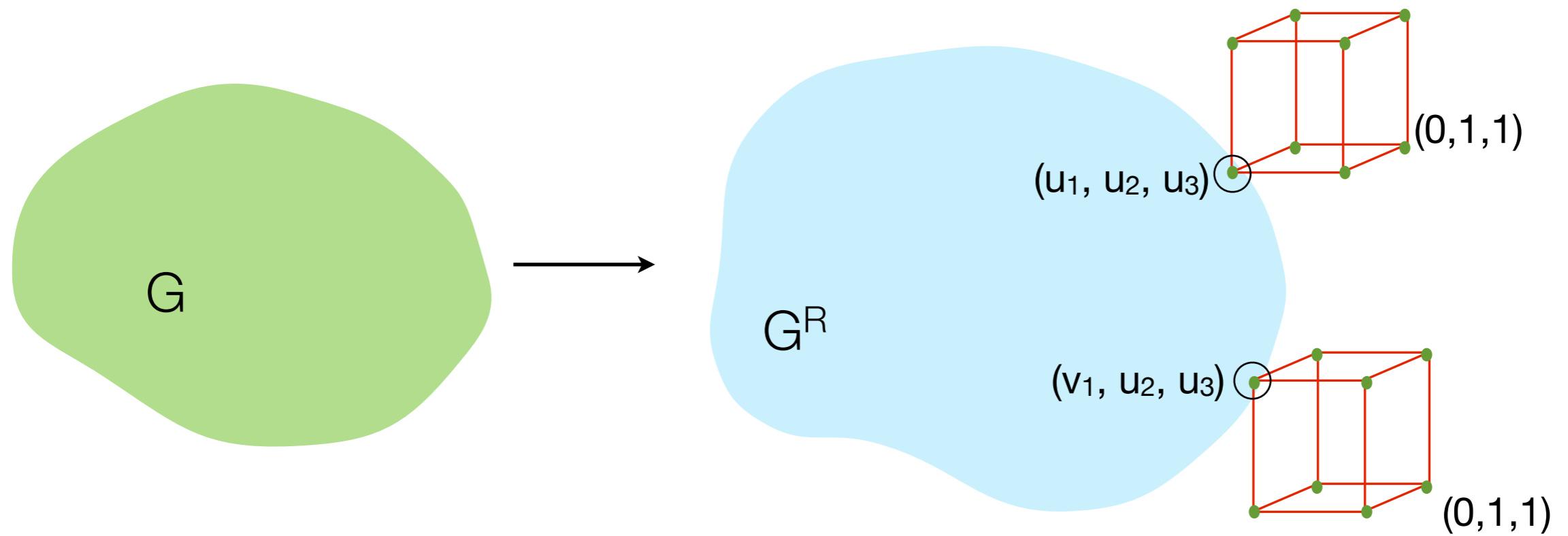
# The resulting graph

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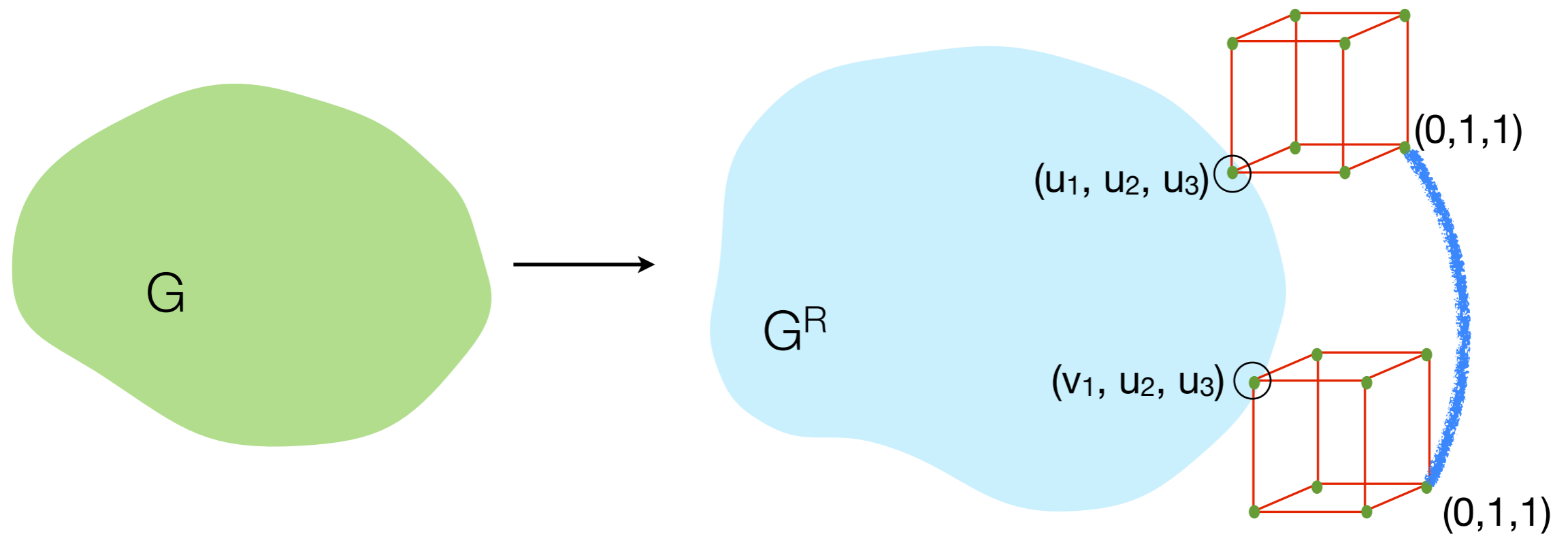


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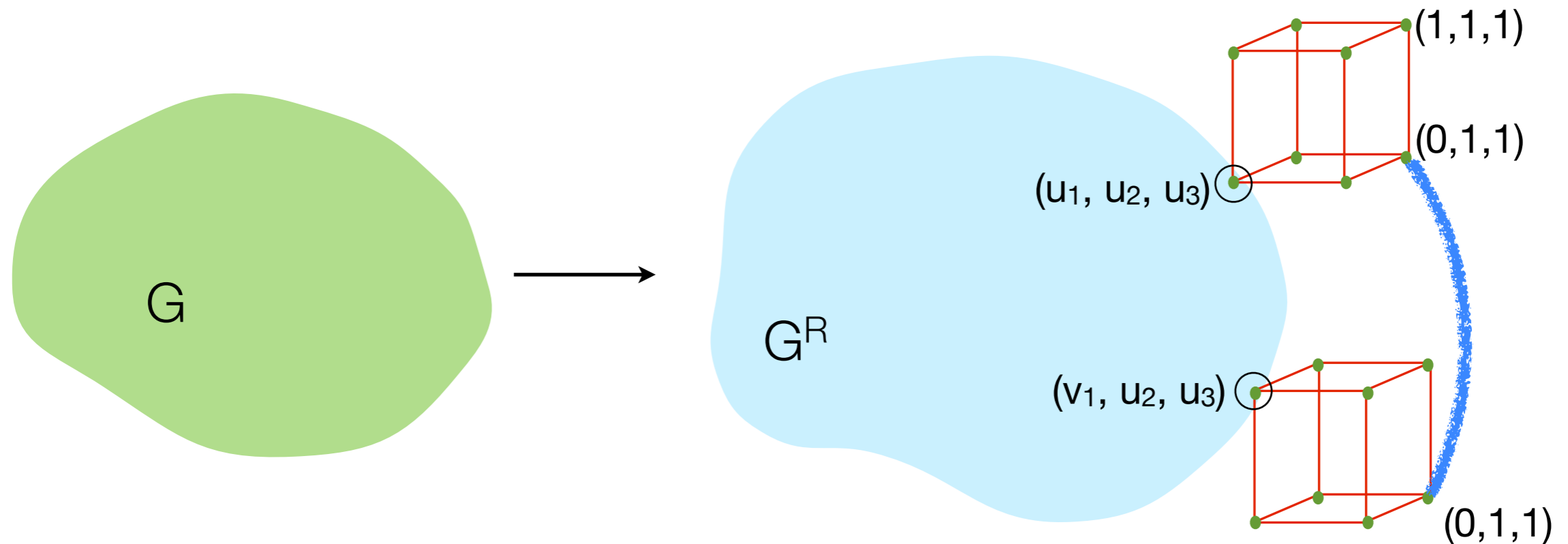
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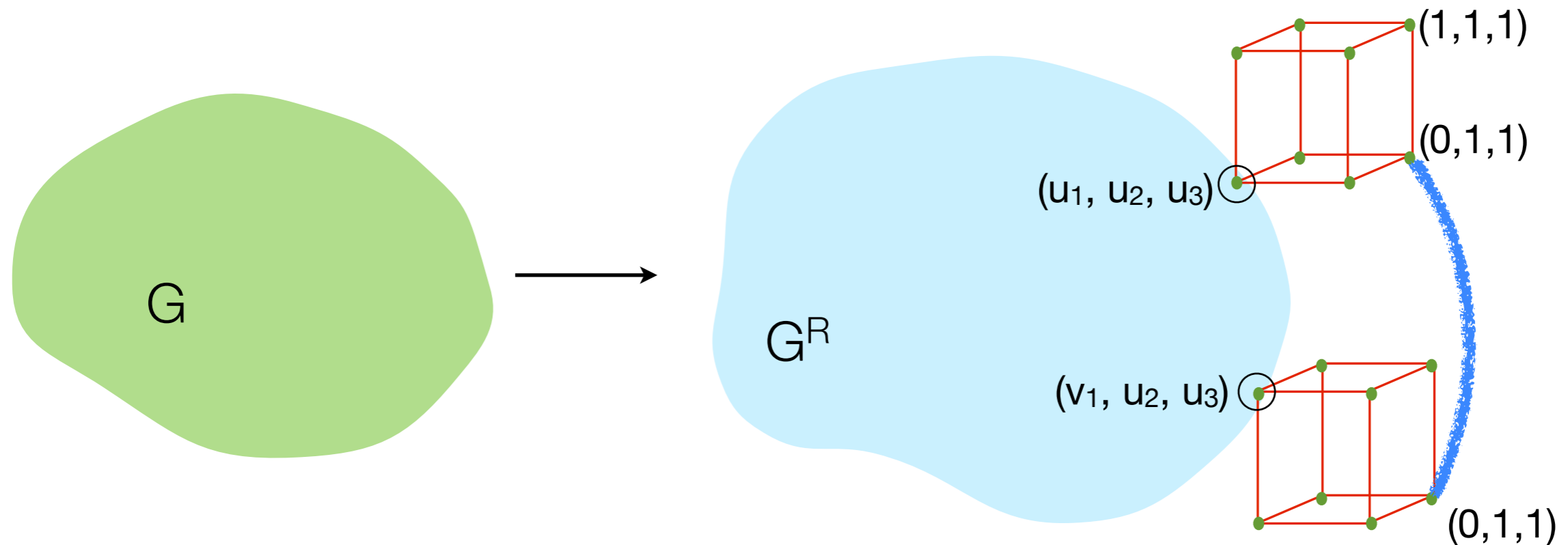


# The resulting graph



- $((u_1, u_2, u_3), (0,1,1))$  and  $((v_1, u_2, u_3), (0,1,1))$  both become  $((\perp, u_2, u_3), (0,1,1))$ . Not so for  $(1,1,1)$ .

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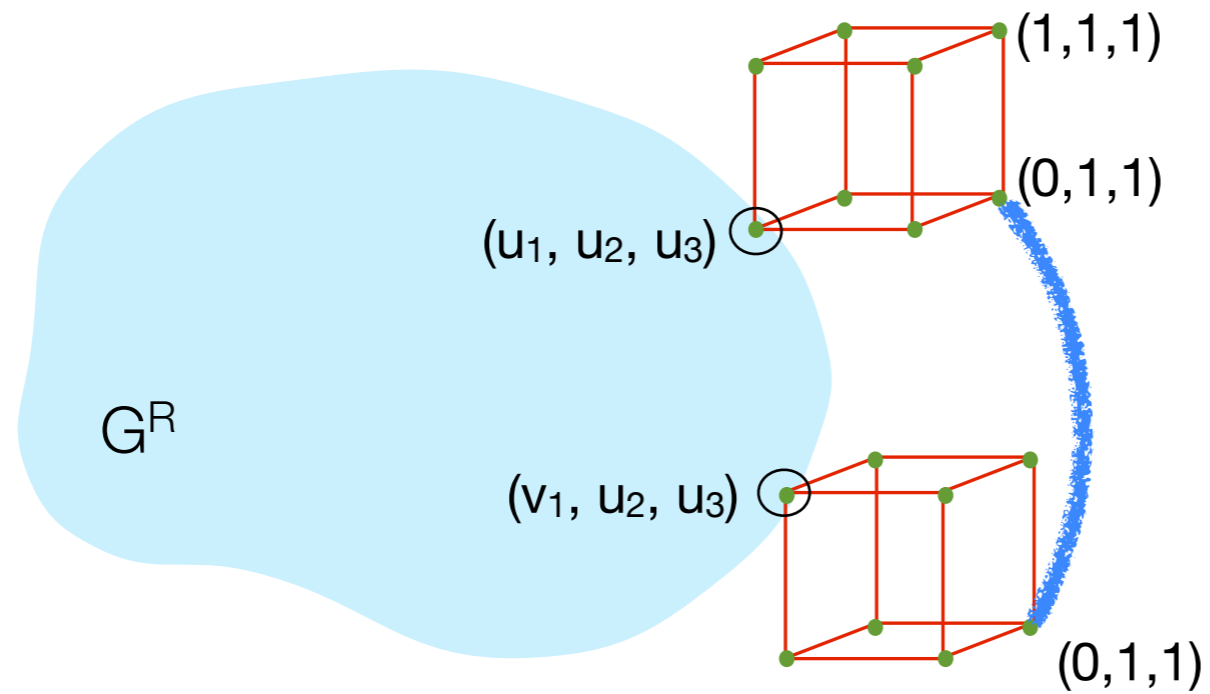


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- Completely destroys the gadget-based nature of the reduction.



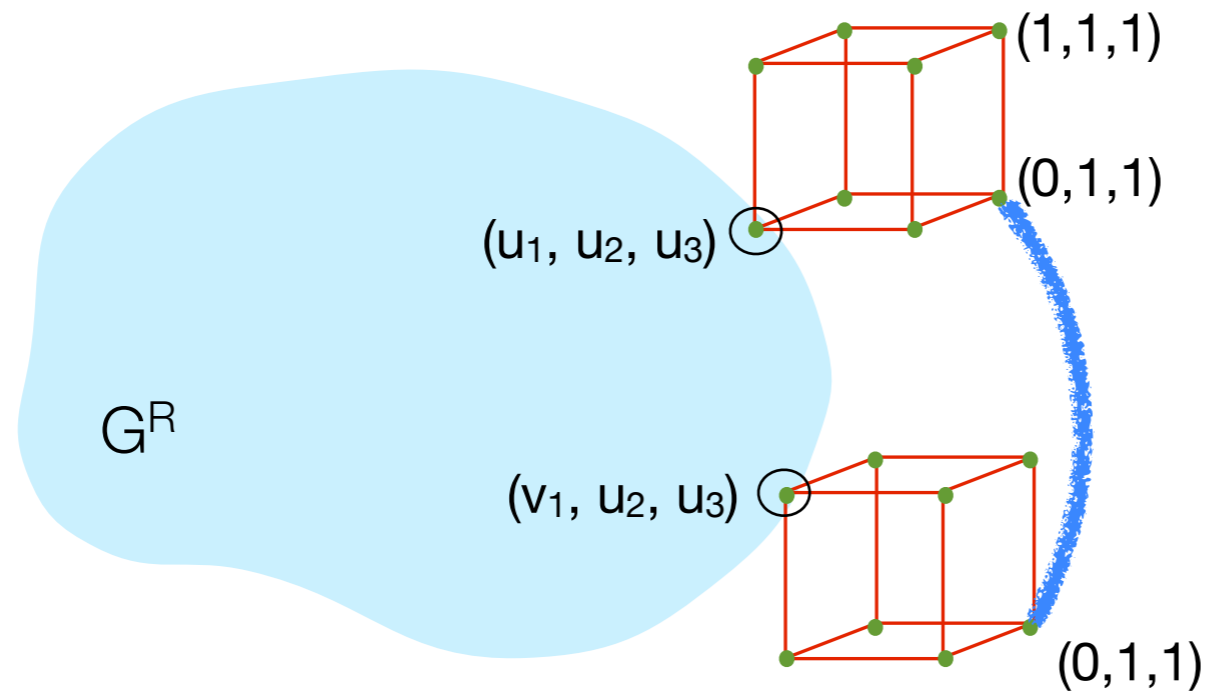
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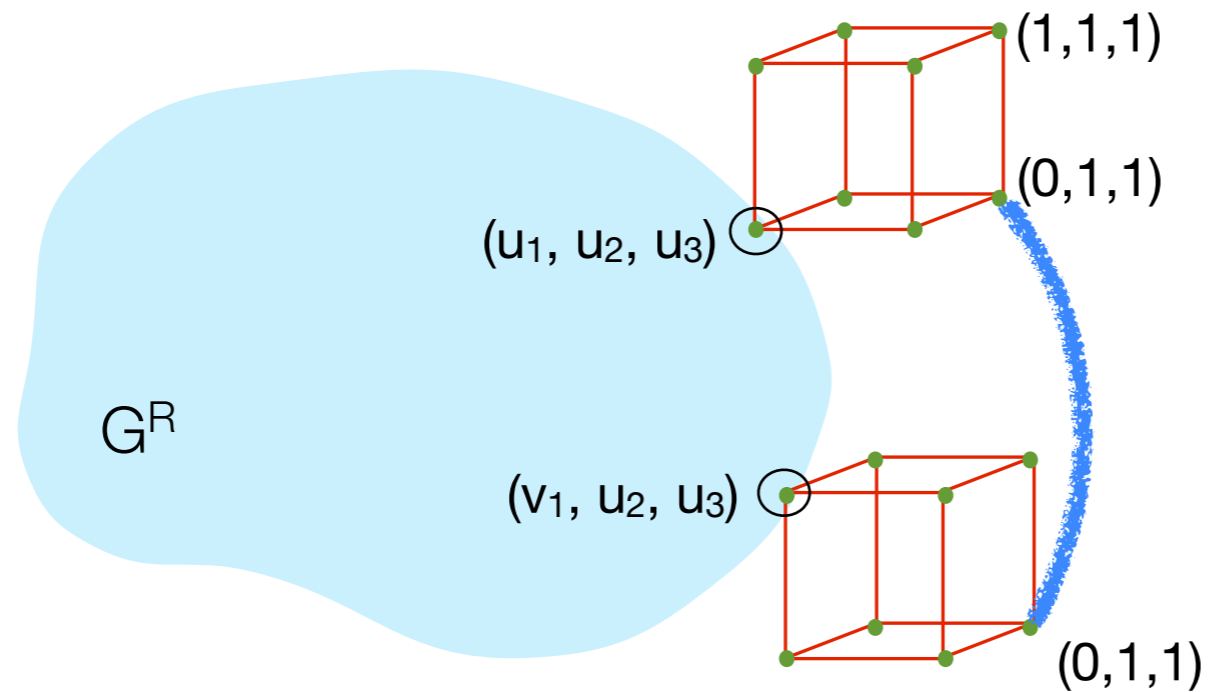
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- Cuts in the new graph correspond to cuts in gadget-based graph, which are invariant under folding.

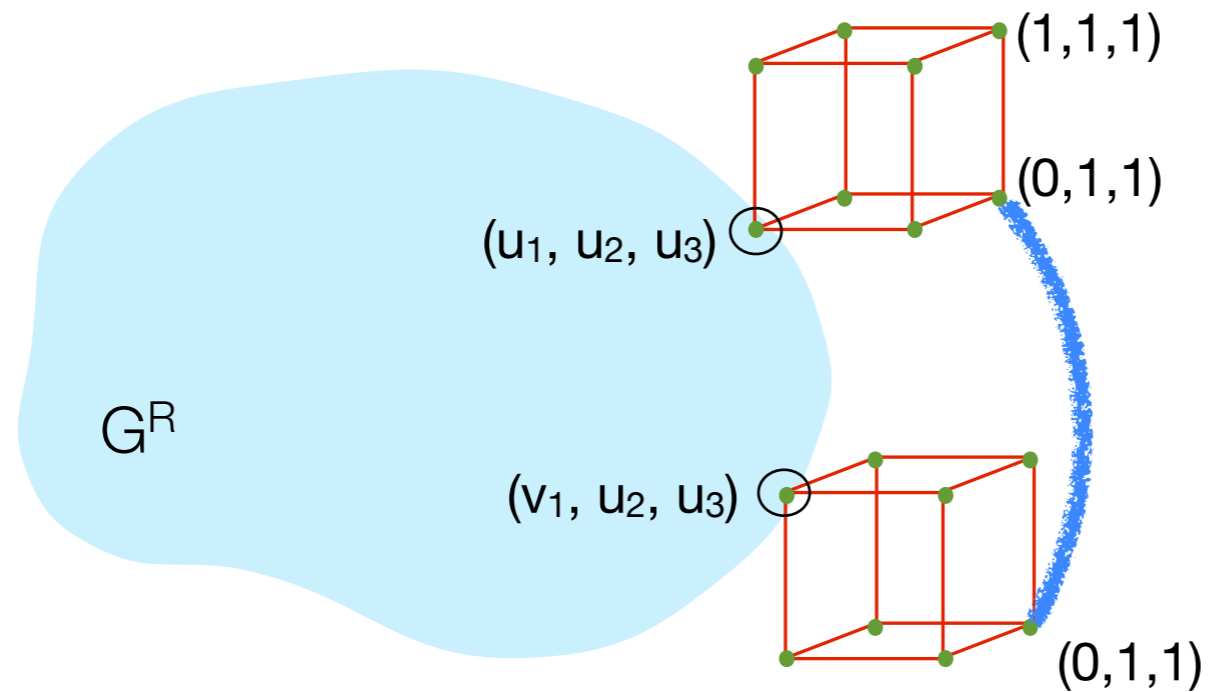
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- Cuts in the new graph correspond to cuts in gadget-based graph, which are invariant under folding.
- Show that any such cut must have large variance in most cubes i.e. cannot be a cut of the underlying graph.
- Obtain full result by using  $q$ -ary cubes and more general folding.

# Conclusions

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# Conclusions

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- Can the folding operation be generalized to other classes of Unique Games where deleting part of the question still preserves a Unique Game.
- Reduction between scales in the other direction (Balanced Separator to SSE)?



Thank You

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Questions?